



# On-Road Fleet Modernization 2018 PROGRAM ELIGIBILITY

For more information  
please contact the APCD at  
805-781-5912

## 1. Maximum Funding Amounts:

The maximum funding amount for most trucks will be based on the certified NOx emission levels of the new engine according to the following table (the actual award amount may be less than the maximum shown):

	<b>NOx Emission Level Not to Exceed</b>	<b>Maximum Eligible Funding Amount</b>	<b>Equivalent Emissions Model Year</b>
<b>HEAVY HEAVY-DUTY (HHD) OVER 33,000 LBS GVWR</b>	0.20 g/bhp-hr	\$60,000	2013 - newer
	0.10 g/bhp-hr	\$70,000	2016 - newer
	0.05 g/bhp-hr	\$80,000	2016 - newer
	0.02 g/bhp-hr	\$100,000	2016 - newer
	Zero emission	\$200,000	2016 - newer
<b>MEDIUM HEAVY-DUTY (MHD) 19,501 - 33,000 LBS GVWR</b>	0.20 g/bhp-hr	\$40,000	2013 - newer
	0.10 g/bhp-hr	\$50,000	2016 - newer
	0.05 g/bhp-hr	\$60,000	2016 - newer
	0.02 g/bhp-hr	\$80,000	2016 - newer
	Zero emission	\$150,000	2016 - newer
<b>LIGHT HEAVY-DUTY (LHD) 14,001 - 19,500 LBS GVWR</b>	0.20 g/bhp-hr	\$30,000	2013 - newer
	0.10 g/bhp-hr	\$40,000	2016 - newer
	0.05 g/bhp-hr	\$50,000	2016 - newer
	0.02 g/bhp-hr	\$70,000	2016 - newer
	Zero emission	\$80,000	2016 - newer

## 2. Funding Limitations:

- Grant-funded projects must be completed at least one year in advance of any emission-reduction requirements including the California Air Resources Board (ARB) Truck and Bus Regulation.
- The actual award amount may be substantially less than shown in the table and is subject to the program cost-effectiveness limit and maximum award amounts stipulated in the RFP. For replacement trucks with 0.20 g/bhp-hr diesel engines, the award will not exceed 65% of the



eligible project expenses for fleets of 10 or fewer trucks, or 50% for fleets of more than 10 trucks.

- Priority will be given to small fleets. Applications will be taken from all sizes of fleets when the program opens, and projects will be placed in the funding queue according to the date they are deemed complete (per APCD P&Ps); however:
  - For the first 90 days from the program start date, on-road funds will be awarded only to fleets of 1 – 3 vehicles.
  - After 90 days and until 180 days from the program start date, on-road funds will be awarded only to fleets of 1 – 10 vehicles.
  - After 180 days, funds will be released to fund all project categories, including on-road fleets of any size.
- In fleets with more than ten vehicles, the replacement vehicle must be an optional low-NOx or zero-emission technology, unless the baseline vehicle falls within certain operating vocations and locations defined in the Statewide Truck and Bus Regulation (i.e., school buses, log trucks, low mileage work trucks, agricultural vehicles, and NOx Exempt Area vehicles).

### 3. Existing Vehicle Requirements:

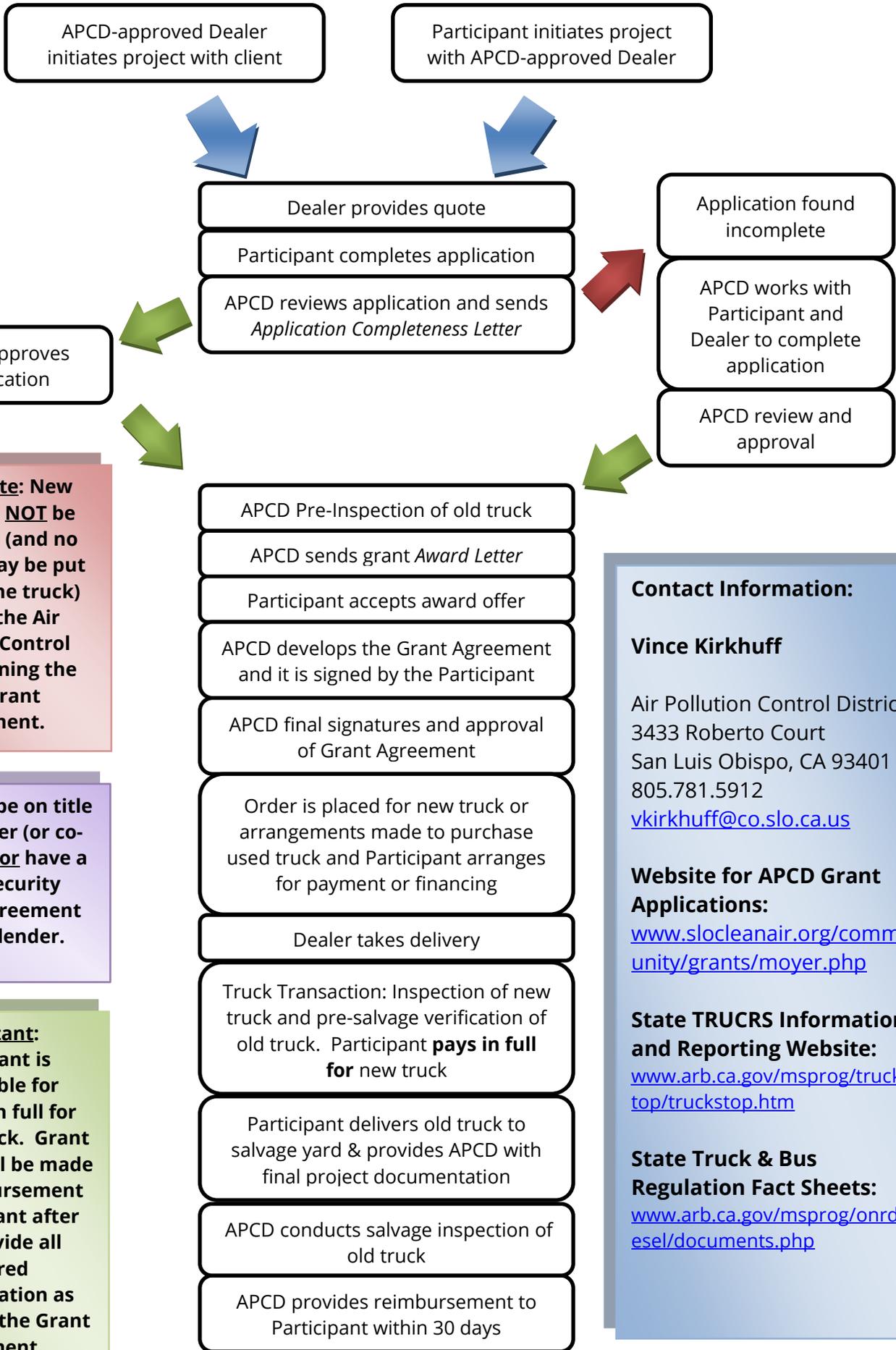
- Old truck must be part of a fleet subject to an ARB emission reduction regulation.
- Old truck must have engine of model year 2010 or older.
- Old truck must be in operational condition and in regular use, with at least 51% of operation in California.
- Old truck must be currently registered in San Luis Obispo County, and show evidence of in-county registration for the previous 2 years.
- Upon delivery of the new truck, the old truck will be destroyed at an approved salvage yard, unless APCD approves an alternative disposal method that ensures emission reductions.

### 4. Replacement Vehicle Requirements:

- Must have a 2013 or newer model year engine certified to a FEL or STD level of 0.20 g/bhp-hr NOx and 0.01 g/bhp-hr PM, or cleaner.
- Must operate in a manner consistent with historic usage for the life of the Grant Agreement, with at least 51% of operation in California.
- Must have the same axle and body configuration, and be in the same weight class as the old truck.
- Replacement engine hp may not be greater than 125% of original rated hp of the old engine.
- Used replacement truck must have less than 500,000 miles (HHD), 250,000 miles (MHD) or 150,000 miles (LHD).
- Replacement trucks must be purchased from a pre-approved participating dealer. See current list at: [www.slocleanair.org/community/grants/moyer.php](http://www.slocleanair.org/community/grants/moyer.php).
- Trucks in fleets of more than 10 vehicles can only be replaced with optional low-NOx (<0.20 g/bhp-hr) or zero emission vehicles, unless the baseline vehicle is a NOx Exempt Area vehicle, low mileage work truck or registered agricultural vehicle.



# SLOAPCD ON-ROAD FLEET MODERNIZATION PROGRAM GENERAL GUIDANCE FLOWCHART FOR PROJECT COMPLETION



**Please Note:** New truck may **NOT** be purchased (and no deposits may be put down on the truck) prior to the Air Pollution Control Officer signing the final Grant Agreement.

APCD must be on title as lienholder (or co-lienholder) or have a signed security interest agreement with the lender.

**Important:** Participant is responsible for payment in full for the new truck. Grant payment will be made as a reimbursement to participant after they provide all required documentation as specified in the Grant Agreement.

**Contact Information:**

**Vince Kirkhuff**

Air Pollution Control District  
3433 Roberto Court  
San Luis Obispo, CA 93401  
805.781.5912  
[vkirkhuff@co.slo.ca.us](mailto:vkirkhuff@co.slo.ca.us)

**Website for APCD Grant Applications:**  
[www.slocleanair.org/community/grants/moyer.php](http://www.slocleanair.org/community/grants/moyer.php)

**State TRUCRS Information and Reporting Website:**  
[www.arb.ca.gov/msprog/trucks\\_top/truckstop.htm](http://www.arb.ca.gov/msprog/trucks_top/truckstop.htm)

**State Truck & Bus Regulation Fact Sheets:**  
[www.arb.ca.gov/msprog/onrdiesel/documents.php](http://www.arb.ca.gov/msprog/onrdiesel/documents.php)



# On-Road Fleet Modernization 2018 APPLICATION CHECKLIST

Applicant Information	Dealer Information
Company name:	Dealership company:
Contact name:	Dealer rep:
Phone:	Phone:
Fax:	Fax:
E-mail:	E-mail:
<i>Option: Attach business card</i>	<i>Option: Attach business card</i>

✓	<b>Application Requirements</b>
<input type="checkbox"/>	<b>Completed Application:</b> Complete and submit this checklist and ALL application pages, sign and date in ink.
<input type="checkbox"/>	<b>Identify NOx Emission Level of Replacement Engine:</b> Replacement vehicles, whether new or used, must be purchased from a pre-approved participating dealer. The list of approved dealers is available on the APCD web site: <a href="http://www.slocleanair.org/community/grants/moyer.php">www.slocleanair.org/community/grants/moyer.php</a> . Work with one of these dealers to help identify the desired type of truck and options. The dealer will help you determine the emission levels of the replacement engine, which will be used to calculate your grant award amount. Eligible engines will be certified to the 0.20 g/bhp-hr NOx emission level, or cleaner. Optional low-NOx or zero emission vehicles may qualify for additional funding; see table above. Once you identify the emission level of the replacement engine, mark your commitment to it in the "Replacement Engine Information" section (page 9) of this application form.
<input type="checkbox"/>	<p><b>Participating Dealer Quote &amp; Supporting Documents for Replacement Truck:</b></p> <p><b>If you are planning on purchasing a NEW truck</b>, please work with a participating dealer to provide the following <b>with</b> your application:</p> <ul style="list-style-type: none"> <li>• Quote for the replacement truck, itemizing all standard equipment and options, including tax and shipping costs (warranty costs are not eligible for grant funding);</li> <li>• Manufacturer's specification sheet for replacement truck and engine, with drawing or image showing truck body style and dimensions;</li> <li>• Availability of the specified truck and the anticipated delivery schedule;</li> <li>• Copy of ARB Emissions Executive Order for replacement engine, indicating the model of the engine; and</li> <li>• Documentation of warranty with minimum coverage of one year or 100,000 miles, parts and labor, on engine and drive train (hybrid and electric vehicles must have a minimum of three years/50,000 miles).</li> </ul> <p><b>If you are purchasing a USED truck</b>, you must submit a quote for the proposed replacement truck from a participating dealer within a reasonable amount of time <b>after</b> the project is awarded funds. If a truck cannot be found and quoted within a reasonable amount of time, the award will be forfeited and the funds will be made available for other projects. The used truck quote must include all of the elements outlined above for new trucks <b>and</b>:</p> <ul style="list-style-type: none"> <li>• Documentation of the odometer mileage reading; and</li> <li>• A photo of the emissions label on the replacement engine, showing the model year and emission family number.</li> </ul>



<input type="checkbox"/>	<p><b>Applicant's Business Structure:</b> Provide one of the following, depending on the structure of your business:</p> <ul style="list-style-type: none"> <li>• Articles of Incorporation and specific documentation identifying the officers for the corporation</li> <li>• Partnership agreement</li> <li>• Sole proprietors provide a signed W9 form and a copy of a photo ID</li> <li>• Other business structure documentation not listed above</li> </ul>
<input type="checkbox"/>	<p><b>Signature Delegation Letter:</b> If the owner or corporate officer will not be signing the contract, then they must provide a letter naming and authorizing another individual to sign the grant contract and other documents on behalf of the business. General partnerships please provide a letter of authorization for the signing partner, signed by the non-signing partner(s).</p>
<input type="checkbox"/>	<p><b>Authorized Representative Letter:</b> If the owner, partner or corporate officer will not be picking up the new vehicle, then they must provide a letter naming and authorizing another individual to pick up the new vehicle and sign documents on behalf of the business. This may be done on the same letter as the Signature Delegation.</p>
<input type="checkbox"/>	<p><b>W-9 Form:</b> Complete and submit IRS form W-9, available from the IRS web site: <a href="http://www.irs.gov/pub/irs-pdf/fw9.pdf">www.irs.gov/pub/irs-pdf/fw9.pdf</a>. APCD will issue form 1099 as required by law.</p>
<input type="checkbox"/>	<p><b>Vehicle Title:</b> Copy of current Vehicle Title showing applicant's ownership, with no lienholders and no leases.</p>
<input type="checkbox"/>	<p><b>Vehicle Registration:</b> Copy of DMV Vehicle Registration for last two years:  <input type="checkbox"/> Current &amp; <input type="checkbox"/> Prior Year          If registration does not fully cover past 24 months, call APCD to determine eligibility.</p>
<input type="checkbox"/>	<p><b>Operational Condition:</b> Provide a copy of the latest CHP 90 Day Inspection (Form 108 or equivalent), demonstrating that truck is in operational condition. Additionally, owner must sign an Acknowledgement of Vehicle Condition Form upon APCD's pre-inspection of the truck.</p>
<input type="checkbox"/>	<p><b>Documentation of Mileage in California:</b> For the past 2 years, as specified on page 7 of this application  <input type="checkbox"/> 1<sup>st</sup> - Most recent 12 month period &amp; <input type="checkbox"/> 2<sup>nd</sup> - Preceding 12 month period</p>
<input type="checkbox"/>	<p><b>Proof of Vehicle Liability Insurance:</b> Copy of insurance card for the last two years:  <input type="checkbox"/> Current &amp; <input type="checkbox"/> Prior Year</p>
<input type="checkbox"/>	<p><b>Certificates of Insurance:</b> Provide current certificates of insurance with your application as evidence of coverage for General Liability and Workers' Compensation*.  <i>* If Applicant is exempt from the requirement of maintaining workers' compensation insurance, provide evidence of such exemption.</i></p>
<input type="checkbox"/>	<p><b>Demonstration of Compliance with ARB Truck &amp; Bus Reg:</b> Provide detailed information on each truck in your fleet and compliance information for each. Fleets subject to the ARB Truck &amp; Bus Regulation must report fleet in the ARB Truck Regulations Upload and Compliance Reporting System (TRUCRS) in order to qualify for funding. Please provide the following information (available at the ARB web site: <a href="http://ssl.arb.ca.gov/ssltrucrsto/trucrs_reporting/reporting.php">ssl.arb.ca.gov/ssltrucrsto/trucrs_reporting/reporting.php</a>):</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> A copy of the TRUCRS Fleet List located on the Vehicle Info tab showing the compliance option each truck in the fleet is using;</li> <li><input type="checkbox"/> A copy of the TRUCRS General Fleet and Compliance Information Summary showing compliance located on Compliance Status tab ("Meets Small Fleet Option" will specify "yes" if the fleet is using the Small Fleet option); and</li> <li><input type="checkbox"/> A copy of the Compliance Certificate printed from TRUCRS.</li> </ul> <p>If your fleet is subject to other on-road regulations, please contact APCD for demonstration of compliance.</p>



<input type="checkbox"/>	<b>Applications completed by someone other than Applicant:</b> If compensated for completing the application on the owner's/company's behalf, then attach details on the source of payment and the amount paid.
--------------------------	---

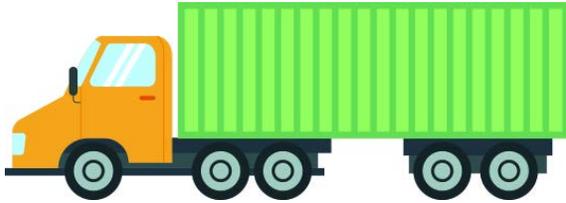
<b>Additional Application Requirements, if your project is awarded funding</b>	
<input type="checkbox"/>	<b>Vehicle Purchase:</b> Do not purchase or put any money down on the replacement truck until your grant agreement is fully executed. <b>You must make payment in full (or make other financing arrangements) when you take delivery of the new truck. Your grant award will be paid to you as a reimbursement, typically 4 to 6 weeks after delivery of the truck.</b>
<input type="checkbox"/>	<b>Certificates of Insurance:</b> Funded projects will be required to provide certificates of insurance endorsing the APCD as additionally insured and loss payee for this project for General Liability, Vehicle Liability and Vehicle Comprehensive and Collision Insurance that covers the replacement cost of the new truck. When these policies, as well as your Workers' Compensation policy are renewed or changed, updated certificates must be submitted to the APCD until the Grant Agreement expires.
<input type="checkbox"/>	<b>ARB Compliance Check:</b> Before your project is awarded funding, all vehicles in your fleet must be checked against the ARB database for compliance with air quality rules and regulations.
<input type="checkbox"/>	<b>Vehicle Financing:</b> You may obtain financing via a conventional loan to assist in the purchase of the replacement truck (leasing is not allowed). You must provide a copy of the signed financing contract, and agree to the release of pertinent information by the finance company to the APCD. If the grant will be used as a down payment for the loan, then you must provide evidence that the grant reimbursement payment has been applied to the loan.
<input type="checkbox"/>	<b>APCD as Lien Holder:</b> If your project is awarded funding, the APCD will hold a security interest in the vehicle and will be registered on the vehicle title as a lien holder (in second position behind your finance company, if applicable) for the life of the project. Some lenders may require a Memorandum of Agreement with the APCD. <b>Please check with your lender to see what their requirements are.</b>

**Loan Assistance:** Loan assistance may be available through the California Capital Access Program (CalCAP). Contact your lender for eligibility requirements and to see if they participate in CalCAP. Additional information on CalCAP loans is available from the ARB at: [www.arb.ca.gov/ba/loan/on-road/on-road.htm](http://www.arb.ca.gov/ba/loan/on-road/on-road.htm) or at 866-6-DIESEL, and from the California Pollution Control Financing Authority at: [www.treasurer.ca.gov/cpcfca/calcap/sb/summary.asp](http://www.treasurer.ca.gov/cpcfca/calcap/sb/summary.asp)

**For more information regarding On-Road Fleet Modernization grants contact Vince Kirkhuff:  
805-781-4247 or vkirkhuff@co.slo.ca.us**

Eligibility and other information presented in this application is supplemental to the full program guidelines which are available from the ARB at [www.arb.ca.gov/msprog/moyer/guidelines/current.htm](http://www.arb.ca.gov/msprog/moyer/guidelines/current.htm).





# On-Road Fleet Modernization 2018 APPLICATION FORM

---

Please print clearly or type all information on this application.

## APPLICANT INFORMATION

Company name		Mailing address			
Contact person		City		State	
Title		Zip code		County	
Phone number	<i>Fill in physical address below if different from mailing address</i>				
Fax number		Physical address			
E-mail address		City		State	
Cell number		Zip code		County	
Authorized representative who will sign the Grant Agreement					
Name:			Title:		

## Contact person who filled out this application (if different from above)

Name		Address			
Company		City		State	
Phone		Zip code			
Fax		E-mail			
Signature: _____ Date: _____					

## Public Funding Disclosure

Will the applicant apply for any other grants or public financial assistance for this project?	<input type="checkbox"/> Yes <input type="checkbox"/> No
--	--

## EXISTING TRUCK AND ENGINE INFORMATION

Truck Make:	Model:	Model year:	GVWR*:
Vehicle identification number:	Fleet identification number:	License plate:	Odometer reading:
Truck body type: <input type="checkbox"/> Tractor <input type="checkbox"/> Other (please describe):		Axle count: <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> Other _____	

\*Please provide the manufacturer's Gross Vehicle Weight Rating, found on a tag on the door frame or in the cab. **This weight rating often differs from what is found on the registration.**

### Existing Engine Information

Engine Make:	Model:	Model year (must be 2010 or older):	Serial number:	HP:
Fuel type:	Engine emission family number:			
Engine currently in daily operation? <input type="checkbox"/> Yes <input type="checkbox"/> No (if no, please explain):				

### Truck's Main Physical Location

GPS UTM coordinates OR Address, City, State, and Zip Code (No PO Boxes):
--

## ACTIVITY INFORMATION

**Vocation(s)** Please describe this truck's uses:

<input type="checkbox"/> Haul truck	<input type="checkbox"/> Agricultural	<input type="checkbox"/> Construction	<input type="checkbox"/> Mining
<input type="checkbox"/> Forestry	<input type="checkbox"/> Public / Municipal	<input type="checkbox"/> School bus	<input type="checkbox"/> Other _____

### Annual Usage Over Last 2 Years for This Truck

Total miles in the past 12 months:	<p><b>Please provide documentation for this truck's annual mileage for each of the two 12-month periods preceding the date of application.</b> More than 24 months' usage can be considered if the usage over that period is more indicative of future usage. Please provide documentation at not more than 12-month intervals.</p> <p>Examples:</p> <ul style="list-style-type: none"> <li>• Driver's logs</li> <li>• IFTA Quarterly Tax Schedules</li> <li>• CHP 90-day inspections</li> <li>• Maintenance records</li> <li>• Job tickets</li> </ul> <p>Documents must be specific to this vehicle and show vehicle identification, dates, odometer reading/mileage, and number of miles traveled in California. If using driver's log or job tickets, a summary (spreadsheet) is acceptable, with copies of oldest and newest records.</p>
Total miles in the preceding 12 months (from 13 to 24 months prior to application):	
Percentage of miles traveled in California:	
Percentage of miles in SLO, Santa Barbara, Monterey, San Benito, Santa Cruz Counties:	



## REPLACEMENT TRUCK AND ENGINE INFORMATION

Truck Make:		Model:	
Model year:	GVWR*:	Axle count: <input type="checkbox"/> 2 <input type="checkbox"/> 3 <input type="checkbox"/> Other _____	

\*Please provide the manufacturer's Gross Vehicle Weight Rating, found on a tag on the door frame or in the cab. **This weight rating often differs from what is found on the registration.**

## Replacement Engine Information

Engine Make:	Model:	Model year:	HP:	Fuel type:
<p>The replacement truck will be:</p> <p><input type="checkbox"/> a brand-new truck (engine meets current standards: <math>\leq 0.20</math> g/bhp-hr NOx and 0.01 g/bhp-hr PM)</p> <p><input type="checkbox"/> a used truck with a 2013 or newer engine (engine meets current standards)</p> <p><input type="checkbox"/> a brand new low-NOx truck (engine meets optional NOx standards of 0.10, 0.05 or 0.01 g/bhp-hr)</p> <p><input type="checkbox"/> a brand-new hybrid or zero-emission vehicle</p>				
<p><b>Note:</b> The replacement engine must be model year 2013 or newer, meeting the 2010 NOx certification level of 0.20 g/bhp-hr or cleaner. To verify the NOx level of the engine and confirm the award amount, the APCD must be provided with the emission family number or Executive Order number of the engine that will be installed in the replacement truck. Your dealer, truck manufacturer, or engine manufacturer should be able to provide this emission information.</p>				

## PROJECT COST INFORMATION

<p>Quoted cost for the new truck. Quote must itemize all standard equipment and options, including tax and shipping costs:</p> <p>\$ _____</p>	<p><b>Note:</b> APCD will determine grant award amount and applicant cost share for the project from the documentation submitted with this application.</p>
--	---

## FLEET INFORMATION

Number of vehicles in your fleet:	<p><b>Note:</b> Count all diesel-powered vehicles over 14,000 lbs. GVWR in your fleet. Fleets of more than 10 are eligible only for optional low-NOx or zero-emission projects, except for certain operating vocations and locations, such as NOx exempt. See eligibility item 3 on page 2.</p>
TRUCRS Fleet Number:	CA Number:
Motor Carrier Number:	DOT Number:

## PSIP Compliance

<p>I have read and understand that I am responsible for meeting the requirements of the Periodic Smoke Inspection Program (PSIP). I am either currently in compliance with PSIP requirements or I have paid all penalties for non-compliance and continue to meet requirements since payment.</p> <p style="text-align: center;"><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>See this advisory from the ARB for more information on the PSIP program: <a href="https://www.arb.ca.gov/enf/hdvp/advs351.pdf">https://www.arb.ca.gov/enf/hdvp/advs351.pdf</a></p>
---

**APPLICANT'S STATEMENT - To be signed by company representative with contract signing authority**

- ❖ I hereby make an application to the San Luis Obispo County Air Pollution Control District (APCD) for emission reduction incentive funding and I understand and agree to the following:
  - In order to receive incentive funds, I must enter into a Grant Agreement (contract) with the APCD and there will be conditions placed upon receiving the grant award. I agree to refund the grant award, or a portion thereof as specified in the Grant Agreement, if it is found that at any time I do not meet those conditions and if directed to do so by the APCD or the California Air Resources Board (ARB).
  - I will not place orders, make purchases or begin any work associated with this project until notified by the APCD that all parties have signed the project's Grant Agreement and it is effective.
  - The replacement truck and its certified emission system must operate in a manner consistent with historic usage of the old truck, with at least 51% of operation in California, for the life of the Grant Agreement.
  - All information provided with this application will be used by the APCD and/or ARB to evaluate the eligibility of this application to receive incentive funds. APCD/ARB will at its sole discretion determine which program funds, if any, will be used for this project. APCD/ARB staff reserves the right to request additional information of the applicant and can deny the application if such requested information is not provided. APCD will contact applicants who submit incomplete or illegible applications and work with them to complete the application. If the applicant does not respond within 30 days, the application will be suspended; in such cases, the applicant can petition the APCD to re-initiate the application if they supply the previously identified missing information. The APCD may require the applicant to provide updated information.
  - Grant programs have limited funds and will terminate upon depletion of program funding. The APCD will honor projects that have been contracted but is under no obligation to honor applications prior to contracting.
  - The APCD will issue IRS form 1099 to grant recipients as required by law. It is the grant recipient's responsibility to determine their tax liability associated with their participation in the grant program.
  - I will deliver the old equipment specified in this application to an APCD-approved salvage yard to be permanently removed from service and destroyed within 14 days of the delivery of the new replacement equipment.
- ❖ I have reviewed the information contained in this application and all attachments. I certify under penalty of perjury that the information contained in this application, including all attachments and the following statements, is complete, accurate and correct:
  - The replacement truck will be of the same body and axle configuration and be used for essentially the same work as the old truck under the vocation(s) specified in this application.
  - At least 51% of the operation of the old truck over the past 24 months has been in California.
  - If the proposed project has been or may be considered for funding by any air district, the ARB, or any other public agency, then I have disclosed the specifics to the APCD.
  - The applicant entity is in compliance and will remain in compliance with all applicable federal, state, and local laws, air quality rules and regulations, and the applicant entity does not have any outstanding/unresolved/unpaid Notices of Violation (NOV) or citations for violations of any federal, state or local air quality regulation.
  - I have the legal authority to apply for incentive funding for the entity described in this application.
- ❖ I agree to the above statements by signing below.

Printed name of company representative with contract signing authority:	Title:
Signature:	Date:

H:\PLAN\Grant Programs\Carl Moyer\!RFPs-Current&Historic\Current RFP Working Copies\Fleet Mod application v3.1 October 2018.docx