

Off-Road Equipment Replacement 2023 PROGRAM ELIGIBILITY

For more information
please contact the APCD at
805-781-5912

1. Funding Opportunity Limitations:

- Replacement projects for Agricultural Equipment as defined in the CARB Off-Road Regulation currently have no time limitation on funding eligibility.
- Funding opportunities to replace construction or other non-ag equipment are limited. For small fleets, projects must be completed 2 years in advance of the emission-reduction requirements of the CARB Off-Road Regulation. To be eligible for funding, large and medium fleets must meet the final compliance requirements of the Off-Road Regulation.

2. Maximum Eligible Funding Amounts:

- Funding is available for up to 65% of eligible costs of the new equipment, subject to the cost-effectiveness limit and maximum award amounts stipulated in the RFP. Replacement equipment with program-eligible engines that do not meet the Tier 4 final emission standards will have a maximum award of 40% of the eligible costs.
- Eligible costs are defined as the purchase price of the new equipment, taxes, and reasonable delivery charges. Grant funding is only to be used to pay for items essential to the operation of the equipment.

3. Existing Equipment Requirements:

- Must be self-motive, heavy-duty off-road equipment (e.g. agricultural tractor or construction equipment) with an uncontrolled (Tier 0), Tier 1 or Tier 2 engine of at least 25 hp.
- Old equipment must be in operational condition and in regular use in California for the previous two years.
- Equipment must be owned by a company with a physical address in SLO County.
- Old equipment must be registered, if required by CARB regulation (e.g. Diesel Off-road On-line Reporting System), and fleet must be in compliance with all applicable rules and regulations, including the CARB Off-Road Regulation. All stationary or portable diesel engines 50 horsepower or more on the property must be registered with or permitted by the APCD.
- Old equipment and engine must be destroyed and delivered to an approved salvage yard. Find the link to the current list at: www.slocleanair.org/community/grants/agriculture.php
- The replacement of two (or more) pieces of old, like equipment with one piece of equipment may be eligible for funding.

4. Replacement Equipment Requirements:

- Replacement equipment must be purchased through an APCD-approved dealer. Find the link to the current list at www.slocleanair.org/community/grants/agriculture.php
- This Program does not provide funding for used equipment.

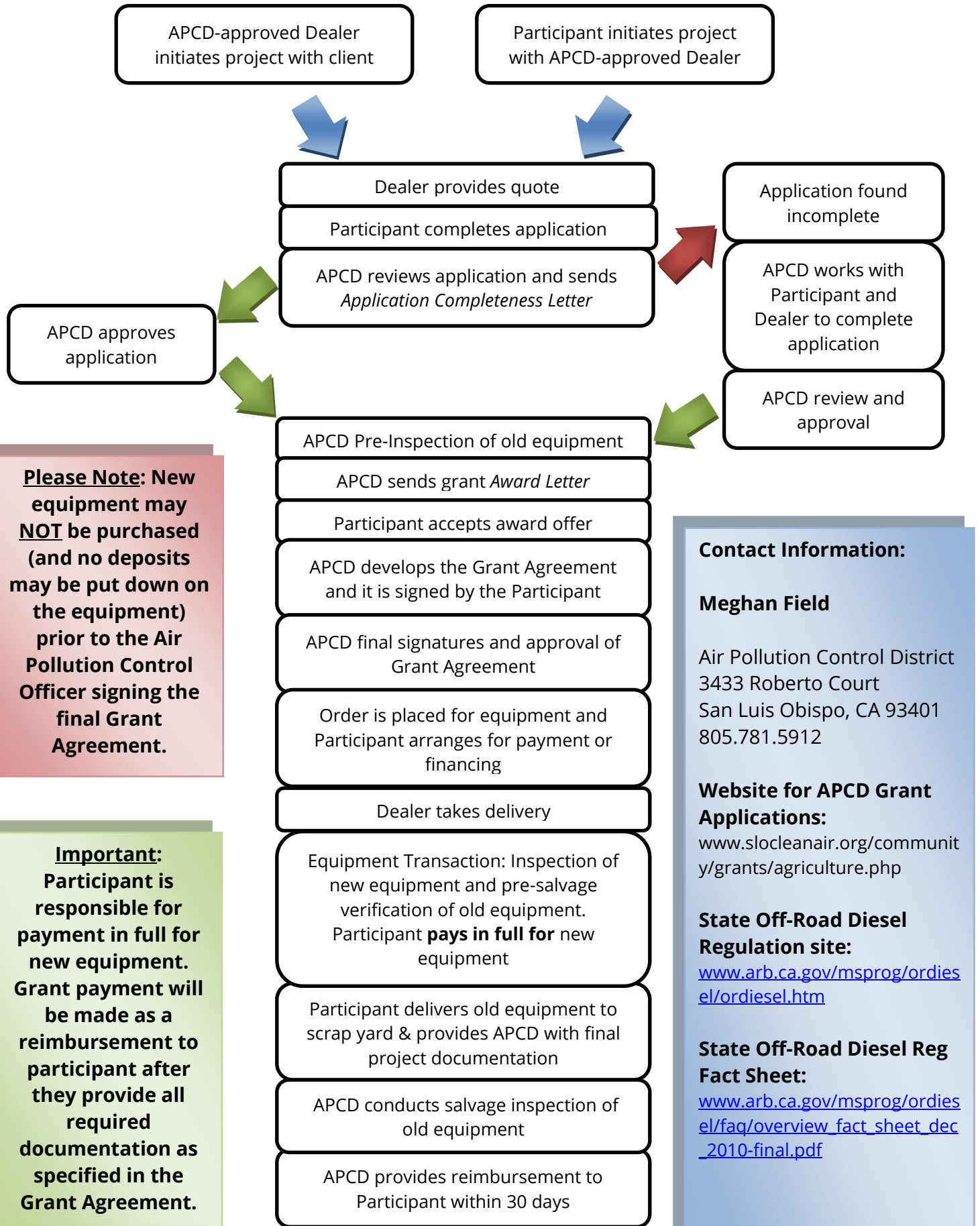


- Replacement equipment must have an engine meeting Tier 4 final emission standards, or cleaner. Replacement equipment with program-eligible engines that do not meet the Tier 4 final emission standards may be eligible for funding at a reduced rate.
- Replacement equipment must serve the same function and perform equivalent work as the old equipment (i.e. like for like functionality), with at least 75% of operation in California.
- Horsepower of new engine in replacement equipment must not be greater than 125% of the original manufacturer rated hp for the old engine.
- Replacement equipment must have a minimum one year or 1600 hour engine and drivetrain warranty covering parts and labor.
- Replacement equipment must be equipped with a fully operational, non-resettable hour meter.

For more information regarding Off-Road Equipment Replacement grants contact Meghan Field: 805-781-1003 or mfield@co.slo.ca.us.

This information is a summary. The full program requirements are available from the California Air Resources Board (CARB) at www.arb.ca.gov/msprog/moyer/guidelines/current.htm.

SLOPCD OFF-ROAD EQUIPMENT REPLACEMENT PROGRAM GENERAL GUIDANCE FLOWCHART FOR PROJECT COMPLETION



Please Note: New equipment may NOT be purchased (and no deposits may be put down on the equipment) prior to the Air Pollution Control Officer signing the final Grant Agreement.

Important: Participant is responsible for payment in full for new equipment. Grant payment will be made as a reimbursement to participant after they provide all required documentation as specified in the Grant Agreement.

Contact Information:

Meghan Field

Air Pollution Control District
3433 Roberto Court
San Luis Obispo, CA 93401
805.781.5912

Website for APCD Grant Applications:

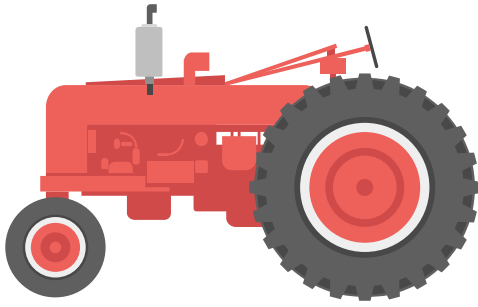
www.slocleanair.org/community/grants/agriculture.php

State Off-Road Diesel Regulation site:

www.arb.ca.gov/msprog/ordiesel/ordiesel.htm

State Off-Road Diesel Reg Fact Sheet:

www.arb.ca.gov/msprog/ordiesel/faq/overview_fact_sheet_dec_2010-final.pdf



Off-Road Equipment Replacement 2023 APPLICATION CHECKLIST

Applicant Information	Dealer Information
Company name:	Dealership company:
Contact name:	Dealer rep:
Phone:	Phone:
E-mail:	E-mail:
<i>Option: Attach business card</i>	<i>Option: Attach business card</i>

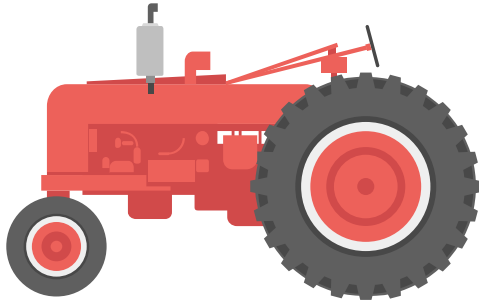
<input checked="" type="checkbox"/>	Application Requirements
<input type="checkbox"/>	Completed Application: Complete and submit this checklist and ALL application pages, sign and date in ink.
<input type="checkbox"/>	<p>Participating Dealer Quote & Supporting Documents for New Equipment: New equipment must be purchased from a pre-approved participating dealer. Find the link to the current list at: www.slocleanair.org/community/grants/agriculture.php</p> <ul style="list-style-type: none"> <input type="checkbox"/> Quote for the new equipment, itemizing all standard equipment and options, including tax and delivery. <input type="checkbox"/> Evidence of warranty with minimum parts and labor coverage on engine and drivetrain for 1 year, 1600 hours. Warranty costs are not eligible for grant funding. <input type="checkbox"/> Copy of CARB Emissions Executive Order for new engine. <input type="checkbox"/> Manufacturer's specification sheet for the new equipment and engine.
<input type="checkbox"/>	<p>Applicant's Business Structure: Provide one of the following, depending on the structure of your business:</p> <ul style="list-style-type: none"> • Articles of Incorporation and specific documentation identifying the officers for the corporation • Partnership agreement • Sole proprietors provide a signed W9 form and a copy of a photo ID. • Other business structure documentation not listed above
<input type="checkbox"/>	DOORS Report: Attach report from CARB Diesel Off-road, On-line Reporting system, if required (see application section D).
<input type="checkbox"/>	Signature Delegation Letter: If the owner or corporate officer will not be signing the contract, then they must provide a letter naming and authorizing another individual to sign the grant contract and other documents on behalf of the business. General partnerships please provide a letter of authorization for the signing partner, signed by the non-signing partner(s).

<input type="checkbox"/>	<p>W-9 Form: Complete and submit IRS form W-9, available from the IRS web site: www.irs.gov/pub/irs-pdf/fw9.pdf. APCD will issue form 1099 as required by law.</p>
<input type="checkbox"/>	<p>Documentation of Ownership: Provide the following documentation that demonstrates that you have owned the old equipment in California for the previous two years:</p> <p><input type="checkbox"/> Bill of sale or purchase receipt for the old equipment, or:</p> <p><input type="checkbox"/> Two years of documentation for at least one item in the following list:</p> <ul style="list-style-type: none"> • Tax depreciation logs; • Property tax records; • Equipment insurance records; • Bank appraisals for equipment; • Maintenance/service records; • General ledgers; • Fuel records specific to the old equipment (To be used as evidence of California residency the fuel records must also identify the equipment owner).
<input type="checkbox"/>	<p>Documentation of Operation and Annual Usage: Please provide records that document the engine hour meter readings from an installed and fully functioning hour meter for the equipment collected at a minimum of once per year for at least the twenty-four (24) month period immediately prior to the application date. (A current hour meter reading, one from 12 months prior, and one from 24 months prior.) More than 24 months' usage can be considered if the average over that period is more indicative of future usage. If an hour meter log is provided, please also provide the source documentation (repair work orders, employee time sheets, etc.) used to generate the usage log. Prior to contracting, the APCD will conduct a pre-inspection of the old equipment to verify its operational status.</p> <p>If the equipment does not have a functioning hour meter, APCD suggests that you install an hour meter on the equipment to track its usage and re-apply when you have 24 months of usage records.</p> <p>Contact the APCD if the above specified documentation is not available – limited usage documentation or other circumstances may be considered on a case-by-case basis.</p>
<input type="checkbox"/>	<p>Applications completed by someone other than Applicant: If compensated for completing the application on the owner's/company's behalf, then attach details on the source of payment and the amount paid.</p>



	Additional Application Requirements, if your project is selected for funding
<input type="checkbox"/>	Equipment Purchase: Do not purchase or put any money down on the new equipment until your grant agreement is fully executed. You must make payment in full (or make other financing arrangements) when you take delivery of the new equipment. Your grant award will be paid to you as a reimbursement, typically 4 to 6 weeks after delivery of the equipment.
<input type="checkbox"/>	Equipment Financing: You may obtain financing via a conventional loan to assist in the purchase of the replacement equipment (leasing is not allowed). You must provide a copy of the signed financing contract and agree to the release of pertinent information by the finance company to the APCD. If the grant will be used as a down payment for the loan, then you must provide evidence that the grant reimbursement payment has been applied to the loan.
<input type="checkbox"/>	UCC-1 Financing Statement: To protect its financial interest, APCD will perfect its lien against the funded equipment through a UCC-1 financing statement filed with the Secretary of State of California, for the duration of the term of the grant agreement.





Off-Road Equipment Replacement 2023 APPLICATION FORM

Please fill out one application for each piece of equipment. Please print clearly or type all information on this application.

A. APPLICANT INFORMATION

1. Company or organization name:		
2. Business type:		
3. Contact name and title:		
4. Person who filled out funding application:		
5. Person with contract signing authority (if different from above):		
6. Business mailing address and contact information:		
Street:		
City:		State: Zip code:
7. Phone for project contact:		8. Alternate phone:
9. E-mail*:		
10. Project address (if different from above):		
11. How many pieces of equipment are being applied for? (Use a separate application for each)		
12. Public Funding Disclosure: Will the applicant apply for any other grants or public financial assistance for this project? <input type="checkbox"/> Yes <input type="checkbox"/> No		
If yes, please provide:		
Source of funds: _____ Expected amount: \$ _____		
The state grant guidelines require the disclosure of all sources of public funds for this project and set limits on the total amount of public funds. Failure to provide this information would be a breach of the grant agreement which may lead to sanctions including repayment of excess grant awards.		

***Please note:** Failure to provide a valid e-mail address may delay time-sensitive correspondence.



B. EXISTING (OLD) EQUIPMENT INFORMATION

1. Equipment type and function:	
2. Equipment make:	
3. Equipment model:	4. Equipment model year:
5. Equipment serial number:	
6. DOORS Equipment Identification Number (EIN):	
7. <input type="checkbox"/> 2WD <input type="checkbox"/> 4WD <input type="checkbox"/> Other	8. <input type="checkbox"/> Open Operator's Station <input type="checkbox"/> Enclosed Cab
9. Number of engines on this equipment:	Main: Auxiliary:
10. Engine make:	11. Engine model:
12. Engine model year:	13. Engine tier (if known):
14. Engine family number (if known):	
15. Engine serial number:	16. Hour Meter Reading:
17. Engine horsepower:	18. Fuel type:
19. Percent operation in San Luis Obispo County for this piece of equipment:	
20. Percent operation in California for this piece of equipment:	
Note: APCD will determine the historical annual usage for this equipment from documentation submitted with this application.	



C. REPLACEMENT (NEW) EQUIPMENT INFORMATION

1. Equipment type and function:	
2. Equipment make:	
3. Equipment model:	4. Equipment model year:
5. Equipment serial number:	
6. Number of main engines on this equipment:	7. Number of auxiliary engines:
8. Engine make:	9. Engine model:
10. Engine model year: Note: Engine must meet California Tier 4 final emission standards	11. Engine tier:
12. Engine family number:	
13. Engine serial number:	14. Hour Meter Reading:
15. Engine horsepower: Note: Must not be more than 125% of old engine h.p.	16. Fuel type:
17. Percent operation in San Luis Obispo County for this piece of equipment:	
18. Percent operation in California for this piece of equipment:	
19. Quoted cost for the replacement equipment. Please attach dealer quote. \$ _____	Note: APCD will determine grant award amount and applicant cost share for the project from the quote and other documentation submitted with this application.

D. FLEET INFORMATION

Does your fleet operate exclusively (100%) in agricultural operations as defined in the CARB Off-Road Regulation?
 Yes No

If **yes**, skip to section **E**; if **no**, then:

- Attach a report from the CARB Diesel Off-road On-line Reporting System ("DOORS"), indicating your fleet ID and listing the CARB-assigned Equipment Identification Number (EIN) for each off-road vehicle or equipment in the company's fleet, the fleet size information (total horsepower), as well as the DOORS "compliance snapshot" for the company's fleet. Indicate the EIN of any equipment which has received a previous grant of public funds. By signing this application, you certify that all of the information provided from DOORS is accurate and complete; and,
- Does your off-road fleet operate solely in the following counties:
 San Luis Obispo
 Santa Barbara Yes No
 Monterey
 Santa Cruz
 San Benito
 If **yes**, will you claim your fleet a Captive Area Attainment fleet for the State Off-Road Regulation Yes No

E. AGRICULTURAL APPLICANTS

1. How many acres do you farm? 100 or fewer More than 100

2. Would you have scrapped your existing equipment and purchased new equipment without funding from this program? Yes No

Agricultural Engine Registration

All stationary or portable agricultural diesel engines 50 horsepower or more (water well pumps, booster pumps, irrigation engines, generators, etc.) must be registered with or permitted by the APCD. Please list information for any of these engines residing on the property in the fields below. If the registration number is unknown, please list the owner or entity name under which it is registered. (Do not list the project engine. Use additional sheet if necessary.)

Engine Make	Engine Model Year	Registration or Permit Number

Third Party Application Certification

To be completed if application was completed by other than the business or individual listed in "Applicant Information", above.

Print name of third party:	Title:
Signature of third party:	Date:
Amount paid to third party:	Source of funding to third party:

F. APPLICANT'S STATEMENT - To be signed by company representative with contract signing authority

- ❖ I hereby make an application to the San Luis Obispo County Air Pollution Control District (APCD) for emission reduction incentive funding and I understand and agree to the following:
 - In order to receive incentive funds, I must enter into a Grant Agreement (contract) with the APCD and there will be conditions placed upon receiving the grant award. I agree to refund the grant award, or a portion thereof as specified in the Grant Agreement, if it is found that at any time I do not meet those conditions and if directed to do so by the APCD or the California Air Resources Board (CARB).
 - I will not place orders, make purchases or begin any work associated with this project until notified by the APCD that all parties have signed the project's Grant Agreement and it is effective.
 - The replacement equipment and its certified emission system must operate in a manner consistent with historic usage of the old equipment, with at least 75% of operation in California, for the life of the Grant Agreement.
 - All information provided with this application will be used by the APCD and/or CARB to evaluate the eligibility of this application to receive incentive funds. APCD/CARB will at its sole discretion determine which program funds, if any, will be used for this project. APCD/CARB staff reserves the right to request additional information of the applicant and can deny the application if such requested information is not provided. APCD will contact applicants who submit incomplete or illegible applications and work with them to complete the application. If the applicant does not respond within 30 days, the application will be suspended; in such cases, the applicant can petition the APCD to re-initiate the application if they supply the previously identified missing information. The APCD may require the applicant to provide updated information.
 - Information collected under the auspices of this grant program, with the exception of confidential or personally identifiable information, may be made publicly available to the extent allowed by federal, State, and local laws and regulations.
 - To expedite application processing, APCD's preferred method of communication is through electronic mail. Failure by applicant to provide a valid e-mail address may delay time-sensitive correspondence.
 - Grant programs have limited funds and will terminate upon depletion of program funding. The APCD will honor projects that have been contracted but is under no obligation to honor applications prior to contracting.
 - The APCD will issue IRS form 1099 to grant recipients as required by law. It is the grant recipient's responsibility to determine their tax liability associated with their participation in the grant program.
 - I will deliver the old equipment specified in this application to an APCD-approved salvage yard to be permanently removed from service and destroyed within 14 days of the delivery of the new replacement equipment.
- ❖ I have reviewed the information contained in this application and all attachments. I certify under penalty of perjury that the information contained in this application, including all attachments and the following statements, is complete, accurate and correct:
 - The new or replacement equipment will be of the same type and be used for essentially the same work as the old equipment specified in this application.
 - At least 75% of the operation of the old equipment over the past 24 months has been in California.
 - If the proposed project has been or may be considered for funding by any air district, the CARB, or any other public agency, then I have disclosed the specifics to the APCD.
 - The applicant entity is in compliance and will remain in compliance with all applicable federal, state, and local laws, air quality rules and regulations, and the applicant entity does not have any outstanding/unresolved/unpaid Notices of Violation (NOV) or citations for violations of any federal, state or local air quality regulation.
 - I have the legal authority to apply for incentive funding for the entity described in this application.
- ❖ I agree to the above statements by signing below.

Printed name of company representative with contract signing authority:	Title:
Signature:	Date:

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