



Investment Guidelines

The Foundation's assets will be managed by Student Investment Groups (SEMG & FIMG). Assets are allocated in accordance with guidelines set by SIAC and approved by the Foundation. Investment Managers of both SEMG & FIMG have the responsibility to manage the assets in each portfolio to achieve investment objectives and to abide by the guidelines set by SIAC.

While SIAC is in the process of developing specific ESG investment guidelines that reflect Ohio University's mission and values, the group is using the investment parameters outlined below. SIAC expects to have a final version of new guidelines by May 2015.

Student Equity Management Group (SEMG) ESG Universe

For the SEMG SRI portfolio, the universe is a subset of the traditional universe, including firms with attractive Environmental, Social, and Governance (ESG) ratings. At this time, this includes the constituents of the MSCI USA IMI ESG Index.

Note: The current SRI universe (constituents of the MSCI USA IMI ESG Index) is unavailable due to licensing and the SEMG is using the holdings in the iShares MSCI KLD 400 Social (DSI) as a high quality proxy.

Fixed Income Management Group

For the SRI (Sustainable) SFIMG portfolio, the universe is a subset of the traditional universe, including firms with attractive Environmental, Social, and Governance (ESG) ratings. Currently, this includes the constituents of the Calvert Social Index Fund.