



Hochiminh City Securities Corporation (HSC)

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No.: 07/2014/TT- HĐQT

Ho Chi Minh City, April 02nd 2014

THE 2013 ANNUAL GENERAL MEETING PROPOSAL

Subject: To appoint the independent audit firm for the fiscal year 2014

Pursuant to:

- *The Law on Enterprises No.60/2005/QH11 issued by the National Assembly of the Socialist Republic of Vietnam on November 29th, 2005 and the documents guiding the implementation of Law on Enterprise;*
- *The Law on Securities No.70/2006/QH11 issued by the National Assembly of the Socialist Republic of Vietnam on June 29th, 2006 and Amendment, Law amending and supplementing a number of articles of Securities Law No.62./2010/QH12 issued by the National Assembly of the Socialist Republic of Vietnam on November 24th, 2010 and some documents guiding the implementation of Securities Law;*
- *The ninth amendment of HSC Charter according to the AGM Resolution No.01/2013/NQ-ĐHĐCĐ dated April 26th, 2013,*

The Board of Directors (*the "BOM"*) would like to propose that the Annual General Meeting of Shareholders (*"the AGM"*) authorizes the BOD to appoint one of the Big 4 independent audit firms, approved by the State Securities Commission of Vietnam (SSC) of auditing issuing organization, listed and securities trading companies, to audit the Financial Statements for the year 2014 and review the quarterly Financial Statements of HSC in accordance with the law regulations.

Based on the quality and the reputation of such audit firms in Vietnam, the BOD would like to nominate the following list for your respective selection:

1. Ernst & Young Vietnam Co., Ltd.
2. KPMG Vietnam Co., Ltd.
3. Price Waterhouse Coopers Vietnam Co., Ltd.
4. Deloitte Vietnam Co., Ltd

The BOD would like to submit to the AGM for your respective approval.

**For and on behalf of the Board of Directors
CHAIRMAN**

(signed and seal)

To:

- *As mentioned above;*
- *BOD Office for record*

ĐỖ HÙNG VIỆT