

**SOCIALIST REPUBLIC OF VIETNAM**  
**Independence – Freedom – Happiness**

**NOTICE OF FORMING A GROUP OF SHAREHOLDERS**

**To:** The FY 2014 AGM Organizing Committee of HoChiMinh City Securities Corporation

**I. THE INFORMATION OF GROUP OF SHAREHOLDER:**

We are:

1. Name of shareholder:

ID/Passport No.: ..... date ..... by.....

Contact address:.....

Number of shares owned at HSC: .....

2. Name of shareholder:

ID/Passport No.: ..... date ..... by.....

Contact address:.....

Number of shares owned at HSC: .....

3. Name of shareholder:

ID/Passport No.: ..... date ..... by.....

Contact address:.....

Number of shares owned at HSC: .....

Total number of shares: .....

Percentage: ..... % charter capital of HSC

We agree to form a group of shareholders and nominate the following candidates who are the authorized representative of the group to participate to HSC BOD voting at the FY 2014 Annual General Meeting of Shareholders to be hold on April 16<sup>th</sup> 2015.

**II. THE INFORMATION OF VOTING AUTHORIZED REPRESENTATIVE:**

Based on Law on Enterprise, HSC Charter and BOD Election Regulations at the FY 2014 Annual General Meeting of Shareholders, we would like to nominate the following candidates:

1. Mr./Ms.:

ID/Passport No.: ..... date ..... by.....

Permanent address:.....

Contact address:.....

Number of shares owned at HSC: .....

2. Mr./Ms.:

ID/Passport No.: ..... date.....by.....

Permanent address:.....

Contact address:.....

Number of shares owned at HSC: .....

3. Mr./Ms.:

ID/Passport No.: ..... date.....by.....

Permanent address:.....

Contact address:.....

Number of shares owned at HSC: .....

It is voluntary for us to form a group of shareholders and to nominate these above candidates. All nominees have met the BOD voting criteria as defined in HSC Charter and BOD Election Regulations at the FY 2014 Annual General Meeting of Shareholders.

I/We hereby undertake and take responsibility that the above declaration is fully truthful, accurate and reasonable.

Best Regards

....., day ..... month ..... year 2015  
**Representative of shareholder group**  
(Signature, Full name, seal if shareholder being an institution)

**Signatures of shareholders**

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**Attached documents:**

- (i) Curriculum vitae of the nominee (HSC's form);
- (ii) The notarized copy of Permanent Residence Registration/ Temporary Residence Registration;
- (iii) The proxy (if shareholder authorize another person to nominate);
- (iv) The notarized copy of ID/Passport and educational/training certificates.