

Techniques in Shoulder and Elbow Surgery

“Triple Window” Surgical Approach to the Elbow – A Hybrid Exposure

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Abstract:	Triceps-off approaches of the elbow detach not only the central tendinous insertion but also the medial and lateral peripheral muscular extensions, which are often replaced by scar tissue instead of functioning contractile muscular tissue when re-attached firmly with suture. These muscular extensions have been shown to be important for terminal elbow extension and may explain why triceps-off approaches have a higher rate of triceps insufficiency in terminal, anti-gravity elbow extension. Triceps-on approaches encounter the difficulty of accessing the ulna for preparation and insertion of the ulna component of elbow replacements, with mal-positioning of the ulna component a commoner concern. We present an elbow “triple window” surgical approach that preserves the contractile medial and lateral footprints of the triceps, while affording a better in-line access than a pure ‘triceps on’ approach. This approach only violates 50% of the central tendinous insertion, with the remainder of the central tendon and the medial and lateral muscular extensions preserved.

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1 **“Triple Window” Surgical Approach to the Elbow – A**

2 **Hybrid Exposure**

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31 Edward V. Craig, MD and John W. Sperling, MD
32 Editors-in-Chief
33 *Techniques in Shoulder and Elbow Surgery*

34 August 12, 2019

35 Dear Dr. Edward V. Craig, MD and Dr. John W. Sperling, MD:

37 I am pleased to submit an original research article entitled ““Triple Window” Surgical Approach to the
38 Elbow – A Hybrid Exposure” by Srinath Kamineni MD, MBBCH, FRCS (Orth), Eric Abbenhaus MD, and
39 Ryan Snowden MD, for consideration for publication in *Techniques in Shoulder and Elbow Surgery*. This
40 represents a surgical approach that leaves the tricipital insertion intact while simultaneously allowing
41 adequate exposure of the elbow. This surgical technique is even more relevant in the context of our
42 anatomic study on the tricipital insertion footprint which we also recently submitted.

44 Our anatomic study demonstrates that the tricipital insertion has muscular medial and lateral extensions
45 along the olecranon. This finding is consistent with our previous EMG data and also explains clinical
46 outcomes, namely weakness in terminal extension, seen with triceps-off approaches. These findings
47 suggest that our surgical approach presented here that does not violate these muscular medial or lateral
48 extensions will not lead to terminal extension weakness, providing superior clinical results.

50 This manuscript has not been published and is not under consideration for publication elsewhere. All
51 authors have read and approve this manuscript. All authors believe this represents honest work.

53 Thank you for your consideration!

55 Sincerely,



58 Srinath Kamineni MD, MBBCH, FRCS (Orth)
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60 University of Kentucky

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4 63 **“Triple Window” Surgical Approach to the Elbow – A Hybrid Exposure**

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7 64 **Kamineni S, Abbenhaus E, Snowden R**

8
9 65 **Abstract**

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11 66 Triceps-off approaches of the elbow detach not only the central tendinous insertion but also
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13 67 the medial and lateral peripheral muscular extensions, which are often replaced by scar tissue
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15 68 instead of functioning contractile muscular tissue when re-attached firmly with suture. These
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17 69 muscular extensions have been shown to be important for terminal elbow extension and may
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19 70 explain why triceps-off approaches have a higher rate of triceps insufficiency in terminal, anti-
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21 71 gravity elbow extension. Triceps-on approaches encounter the difficulty of accessing the ulna for
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23 72 preparation and insertion of the ulna component of elbow replacements, with mal-positioning of
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25 73 the ulna component a commoner concern. We present an elbow “triple window” surgical
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27 74 approach that preserves the contractile medial and lateral footprints of the triceps, while
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29 75 affording a better in-line access than a pure ‘triceps on’ approach. This approach only violates
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31 76 50% of the central tendinous insertion, with the remainder of the central tendon and the medial
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33 77 and lateral muscular extensions preserved.
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40 78 Key words: Triceps on approach; triple window

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43 79 **Introduction**

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45 80 Improvements in the understanding of the elbow, with respect to biomechanics of
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47 81 the native joint as well as design of implants, have led to an increase in both indications and use
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49 82 of Total Elbow Arthroplasty (TEA)¹. Originally used to address severe stages of inflammatory
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51 83 arthropathy, indications now include post traumatic osteoarthritis, acute distal humeral
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53 84 fractures, distal humeral nonunion, extreme intrinsic stiffness/ankylosis, large post-traumatic
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55 85 bone defects, primary osteoarthritis, hemophilic arthropathy, and reconstruction after tumor
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4 86 resection²⁻¹¹. However, there are higher complication rates, compared to other joint
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7 87 arthroplasties, following TEA such as 10% readmission rate for short term complications and a
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9 88 90-day re-operation rate of 8%¹². In fact, the overall complication rate has been documented at
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11 89 over 44% with a reoperation rate of 27%¹³.

14 90 One particular complication of interest is triceps insufficiency, which has the potential to
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16 91 occur following an approach that mobilizes the extensor mechanism from the olecranon process.
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19 92 When performing TEA, surgical techniques commonly involve a posterior skin incision and the
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21 93 triceps is generally dealt with in one of three manners: triceps splitting, reflecting, or sparing¹⁴⁻¹⁶.

24 94 Triceps splitting approaches divide or split the triceps muscle and usually its olecranon
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26 95 insertion as well, although some techniques leave the insertion undisturbed¹⁷. Triceps
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29 96 reflecting approaches, the most widely used, keep the triceps in continuity with the anconeus as
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31 97 it is released medial to lateral, with the resultant muscular sleeve reflected laterally¹⁴. Finally,
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34 98 triceps sparing approaches mobilize the medial and lateral borders of the triceps as the
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36 99 epicondylar attachments are released while preserving the triceps insertion^{18, 19}. Recently,
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38 100 “partial” triceps-on approaches have been described which similarly release epicondylar
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41 101 attachments while preserving the triceps insertion²⁰. Difficulties with these approaches, as well
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43 102 complications such as triceps insufficiency, weakness, and risk of instability have all been
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46 103 documented^{14-16, 21}. The advantage of the triceps splitting and reflecting approaches is that good
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48 104 exposure of the distal humeral articular surface is achieved²²⁻²⁴. On the other hand, “triceps on”
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51 105 approaches leave the tricipital insertion intact and decrease the risk of triceps insufficiency²⁵⁻²⁷,
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53 106 at the expense of articular surface exposure^{16, 21}.

55 107 In patients undergoing TEA for rheumatoid arthritis, complications of triceps
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58 108 insufficiency have been reported in 1-5%²¹. Other reviews have noted an overall rate of
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4 109 triceps insufficiency in TEA of 2-3%^{21, 28}, with no significant difference in results according to
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7 110 various approaches. Resolving a triceps insufficiency can be a difficult endeavor.

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9 111 The typical, commonly used, examination of the triceps function is imperfect. Many
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11 112 clinicians assess the range of motion in a gravity dependent manner and test strength in the mid-
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13 113 arc of sagittal plane motion. Both of these issues result in ‘objective’ assessments portraying a
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15 114 better function than the patient experiences. For the past 15 years, we have assessed motion in an
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17 115 anti-gravity plane and assessed strength both in the mid-arc of motion and in the terminal 30
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19 116 degrees of extension, relevant when a patient wants to reach above head level. The complication
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21 117 of triceps insufficiency, if symptomatic, often requires revision operations with surgical
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23 118 reconstruction of the extensor mechanism.

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28 119 The triceps insertion onto the olecranon process has a ‘Crescent-shaped’ footprint
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30 120 (Figures 1.1 and 1.2) , with the central part consistent of the central tendon and the peripheral
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32 121 limbs consistent of muscular extensions inserting almost directly onto bone^{29, 30}. Triceps-on
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34 122 approaches encounter difficulty when accessing the ulna for preparation and insertion of the ulna
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36 123 component of elbow replacements¹⁴⁻¹⁶. The approach to the ulna is with the forearm/ulna rotated,
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38 124 and the reaming/broaching/implantation is from the side, and not in a direct parallel line to the
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40 125 long-axis. It is this non-parallel approach to ulna component implantation that is difficult and
41
42 126 prone to seat the component in mal-rotation. Triceps-off approaches detach not only the
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44 127 central tendinous insertion but also the medial and lateral peripheral muscular extensions, which
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46 128 when re-attached firmly with suture, are often replaced by scar tissue, but not functioning
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48 129 contractile muscular tissue^{2-4, 8, 14}. These muscular extensions have been shown to be important
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50 130 for terminal elbow extension³¹. The medial triceps has been shown to have significantly more
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52 131 electrical activity during the terminal 30° arc of supine motion and during pushing activity³¹. The
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132 medial and lateral triceps were also shown to have a higher EMG activation level than the central
133 triceps in all functional tasks, clearly demonstrating their importance for elbow extension,
134 particularly with terminal elbow extension against gravity³¹. By detaching and re-attaching these
135 medial and lateral muscular elements of triceps, terminal extension is compromised and the
136 muscular balance of the elbow may detrimentally change. We have developed a hybrid approach
137 that only violates 50% of the central tendinous insertion, with the remainder of the central tendon
138 and the medial and lateral muscular extensions preserved. We present an elbow “triple window”
139 surgical approach, clinically utilized for 15 years, that preserves the contractile footprint of the
140 triceps while affording a better in-line access than a ‘triceps on’ approach.

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Materials and methods

143 For illustrative purposes, we utilized fresh frozen cadaveric elbows, for the depicted
144 surgical approach, identical to the clinical situation, in a step by step fashion to allow easy
145 reproducibility by the interested reader. The approach was replicated for
146 Coonrad/Morrey (Zimmer), Nexel (Zimmer), and Latitude (Tornier) total elbow systems to
147 ensure the approach could be replicated with multiple systems, although some systems are more
148 amenable (Coonrad-Morrey) than the others. This approach was then employed in the senior
149 author’s clinical practice for more than 10 years to ensure clinical reproducibility and ensure
150 clinical outcome improvements.

Procedure

152 When positioning to approach the elbow posteriorly, particularly for this approach, we
153 prefer a supine patient, a tourniquet at 250mmHg, and a roll of towels acting as a bolster placed
154 under the flexed elbow/forearm (Figure 2).

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Skin Incision - A midline posterior 10cm skin incision, extending both 5cm proximal and distal to the tip of the olecranon process (Figure 3), which can be a straight incision or curved to avoid the olecranon tip.

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Step 1: Medial Para-Tricipital Window

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A medial full thickness skin flap is elevated over the flexor-pronator mass and the dissection is progressed medially allowing for the identification of the ulnar nerve. Following identification, the ulnar nerve is neurolyzed proximally 5 cm and distally to the first motor branch to the flexor carpi ulnaris. The nerve must be identified proximally after crossing the septum to ensure complete release of both the Arcade of Struthers and the cubital tunnel retinaculum. The ulnar nerve may now be mobilized as needed (medially and laterally) and protected with vessel loops (Figure 4.1). The interval between the triceps and the flexor-pronator mass is identified (Figure 4.2) and the triceps is released from the posterior surface of the humeral shaft with a curved elevator and the posterior capsule/fat pad is excised. The medial epicondyle is enucleated from the flexor-pronator mass origin and the brachialis and the anterior capsule are elevated from the anterior surface of the humerus (Figure 4.3). Following this medial dissection, one should have complete access to the medial epicondyle, medial aspect of the olecranon, and humerus, with the ulna nerve mobile and protected (Figure 4.4, 4.5). In acute fractures, the medial window is ideal for removing medial fracture fragments.

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Step 2: Lateral Para-Tricipital Window

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4 177 A lateral full thickness skin flap is now raised from the posterior incision. The fascia
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7 178 overlying the lateral margin of the triceps proximally and distally over the origin of the
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9 179 proximal extensor mass insertion provides a plane for the flap. A muscular interval is then
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12 180 created by incising the fascia along the most distal/lateral border of the triceps. This fascial
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14 181 incision is carried distally to coincide with the plane between Extensor Carpi Ulnaris and
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16 182 the Anconeus, similar to the Kocher approach (Figure 5.1, 5.2). Carrying this interval deep to
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19 183 underlying structures, the Lateral Collateral Ligament (LCL), Extensor mass origin, and the
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21 184 remainder of the anterior capsule are then sharply incised from their humeral origin and retracted
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24 185 laterally (Figure 5.3, 5.4, 5.5). Care must be taken to bring the origin off as a whole flap as this
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26 186 will need to be repaired at the end of the case. The lateral epicondyle should, at this point, be
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29 187 enucleated. Finally, through the lateral window, blunt stripping of the most distal 5-
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31 188 7cm attachments of the brachialis fibers from the anterior humeral shaft and any remaining
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33 189 attachments of the triceps from the posterior shaft should be performed. At this point the distal
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36 190 humerus should be circumferentially free from ligamentous and muscular connections.
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38 191 The humerus then can be readily disarticulated and delivered through the lateral window to begin
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41 192 implant preparation (Figure 5.6, 5.7).

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43 193 When humeral and ulnar preparation is complete, should a radial head excision
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45 194 or replacement be a part of the operative plan, the lateral window provides excellent access for
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47
48 195 either procedure. The annular ligament is transected obliquely, in line with the Anconeus, which
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51 196 allows subsequent repair as needed.

52 53 197 ***Humeral Preparation***

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55 198 This should be performed per implant specific guidelines, with the humerus accessed
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58 199 through the lateral window. The authors here generally rely on stemmed components requiring
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200 access to the humeral canal for reaming and broaching. The distal humerus is readily available
201 through the lateral window to complete all portions of this preparation, including making distal
202 humeral cuts (elective TEA, or removing fracture fragments in acute fractures), reaming,
203 broaching and trail/final humeral stem implantation.

204 Following preparation of the trochlea, the humerus can be either be maintained in the
205 lateral window or can be reduced. The forearm is then supinated, to allow visualization of the
206 olecranon tip through the lateral window. Any remaining capsule is excised until full
207 visualization of the triceps insertion is obtained. Approximately 5-7mm of olecranon tip, not
208 including triceps insertion, is then removed to create a flat surface in the same plane as the
209 prepared trochlea, flush with the greater sigmoid notch.

210 ***Step 3: Central Triceps Tendon Window***

211 A 5-7cm split is made in the central triceps tendon (Figures 6.1, 6.2). This split is made in
212 the mid to lateral third tendon and is progressed through the full thickness to the olecranon and
213 posterior humeral cortex. The central 50% of the triceps tendinous footprint is elevated
214 at its insertion from the olecranon, leaving the medial and lateral triceps extension footprints
215 undisturbed. The elbow is then fully flexed (Figure 6.3) allowing an in-line view of the ulna,
216 most importantly the whole of the coronoid and greater sigmoid notch. Through this posterior
217 window the non-insertional tip of the olecranon can be excised, if not performed through the
218 lateral window, and a burr is used to enter the ulna intramedullary canal (Figure 6.4).

219 ***Ulnar Preparation***

220 The ulna is easily visible and accessible through both the lateral, central, and medial
221 windows, but caution must be taken to protect the ulnar nerve. It more advantageous to use
222 the lateral window when using recommended barrel reamers for the Latitude and Nexel Impants,

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223 to contour the surface of the trochlea while protecting the radial head. A hand held burr is a
224 viable option through all three windows.

225 Preparation of the ulna canal can take place in its entirety through the central window for
226 the Coonrad-Morrey impant, with final ulna canal preparation for the other two implants. Canal
227 preparation through the central window allows an in-line axial preparation with lesser propensity
228 for implant mal-rotation. For ulna preparation, the humerus is reduced, and the elbow
229 hyperflexed to allow good visualization of the coronoid (Figure 6.3). This step essentially takes
230 place through the arch of the prepared distal humerus (Figure 6.4). The ulna canal is then initially
231 identified and accessed with a bone burr, which then allow access to reamers and broaches.

232 Once the humerus and ulna are prepared, and trail implants are confirmed, the canals are
233 dried, cement restrictors placed appropriately, and the humerus is delivered through the lateral
234 window. Cement is then injected into both canals, the humeral component is inserted, the
235 humerus is reduced, and the ulna component is introduced, through the yolk of the humeral
236 component, into the ulna canal. At this point the components are linked, (Figure 7.1 and 7.2),
237 excess cement removed, and the elbow held in full extension, until the cement is fully cured.

238 After completion of prosthesis implantation, the collateral ligaments with extensor
239 and flexor-pronator masses are repaired through bone tunnels back to their origin, using non-
240 absorbable suture. The ulna nerve is allowed to be placed where it naturally lies, ensuring that
241 this is tension free in all parts of the motion arc, and that there is a thick muscle/tendon sleeve
242 intervening between nerve and implant. Hence a routine transposition is not performed. The
243 central triceps window is closed with a running, locking non-absorbable suture, fixed distally
244 through bone tunnels.

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245 Typical post-operative management includes an anterior extension splint for two weeks if
246 the triceps quality is questionable, or soft bulky dressing if the triceps is of good quality, with
247 motion as tolerated, without weight-bearing. Load bearing is allowed for all patients at 6 weeks.

248 **Discussion**

249 Multiple approaches have been described in the literature for access to the elbow for
250 elbow arthroplasty. Triceps splitting and reflecting approaches both provide good access to the
251 joint surfaces of the elbow³². Triceps splitting approaches divide the triceps longitudinally over
252 the ulna or split the proximal triceps muscle belly with a V-shaped turn down of the central
253 tendon, typically splitting the olecranon insertion as well³². Triceps reflection approaches keep
254 the triceps in continuity with the anconeus, as it is released medial to lateral¹⁴. Finally, triceps
255 sparing approaches seek to prevent issues of triceps insufficiency or repair failure and mobilize
256 the medial and lateral borders of the triceps as the epicondylar attachments¹⁸.

257 Techniques describing triceps sparing approaches working through medial and lateral
258 windows are described often in the setting of distal humeral trauma or substantial bony defects³³.
259 Other triceps sparing approaches that work from a single medial window, while sparing the
260 triceps central tendon and lateral soft tissues, fail to easily access a portion of the articular
261 surface in-line with the long axis of the ulna, compared to triceps splitting and
262 olecranon osteotomy²²⁻²⁴. A more recent lateral para-olecranon approach described by Studer and
263 King avoids central triceps detachment from the olecranon and also provides better articular
264 surface exposure than the more classic “triceps on” approaches, but they violate the medial
265 triceps muscular extension²⁰. A similar approach, the lateral para-tricipital approach, also
266 described by Studer and King likewise avoids central triceps detachment while providing
267 adequate articular exposure, but violates the lateral triceps muscular extension. Studer and King

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268 reported that extension strength using either the medial para-olecranon or lateral para-tricipital
269 approach was better compared to triceps splitting approach²⁰. The authors suggest this finding is
270 due to preservation of the triceps insertion, which is also achieved with our approach described
271 here, while our approach does not violate either the medial or lateral triceps muscular extensions,
272 and prepares and implants the ulna component, in-line with the ulna shaft, and not obliquely, as
273 per other triceps-on approaches²⁰. Based on EMG data, the medial and lateral triceps extensions
274 are important for the terminal 30 degrees of elbow extension³¹, and hence violating these
275 components of the triceps may lead to dysfunction.

CONCLUSION

When the elbow joint is surgically approached posteriorly, the triceps is commonly
elevated from its olecranon footprint, with subsequent reattachment with sutures. The medial and
lateral triceps extensions are active contractile elements³¹; and when muscles are firmly sutured
to bone, they lose their contractility and form static, non-contractile, scar^{34,35}. This latter issue,
the basis of post-operative triceps insufficiency, has spurred “triceps on” approaches. Triceps-on
approaches face the difficulty of preparing and implanting the ulna component of an elbow
arthroplasty from an “non-axial” plane, which has been documented to lead to component mal-
positioning^{15,32,36}. Modified triceps-on approaches which release either the medial triceps
extension or the lateral triceps extension, can be considered to adversely influence the triceps
function when suturing the elevated contractile element of the triceps^{15,20,34,35}. The “triple-
window” approach addresses the short-comings of the “triceps-off” and traditional “triceps-on”
approaches: 1) does not detach the medial and lateral contractile elements of the triceps insertion,
and 2) allows the ulna to be prepared and implanted in-line with the ulna axis, through a direct,

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291 posterior, central window. This surgical approach can be utilized in cases with an intact joint, in-
292 situ distal humeral implants, or distal humeral fractures. The primary goal of this approach is to
293 prevent post-operative triceps insufficiency (Figures 8.1, 8.2), without the difficulty of a
294 traditional triceps-on approach.

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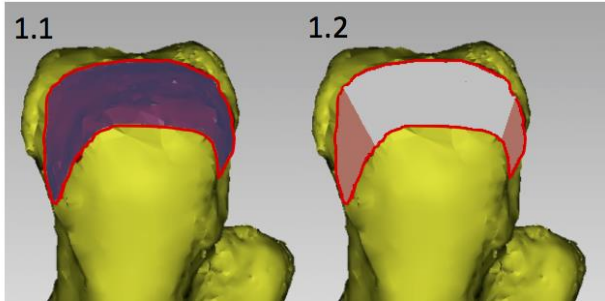
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391 **Appendix A: Figures**

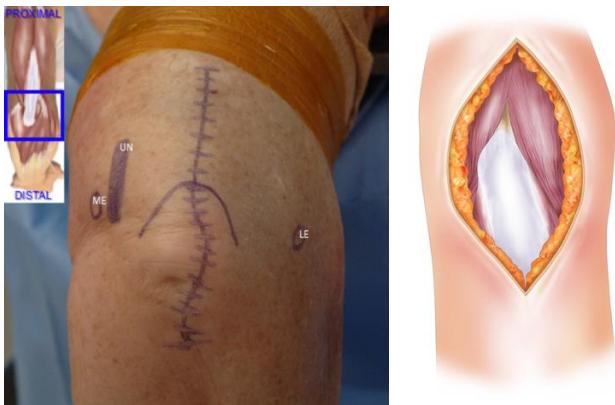
392 Figure 1:1 - 3D rendering of the triceps insertion, 1.2 – grey central tendon with brown medial
393 and lateral muscular extensions



394
395 Figure 2: Elbow positioning with the patient supine, and the arm brought across the chest



396
397 Figure 3a. Midline posterior 10cm skin incision, extending both 5cm proximal and distal to the
398 tip of the olecranon process; LE-lateral epicondyle, UN- ulnar nerve location, ME- medial
399 epicondyle. Inset for picture orientation with arm proximal and forearm distal. Figure 3b. The
400 skin is incised and the musculotendinous layer is exposed



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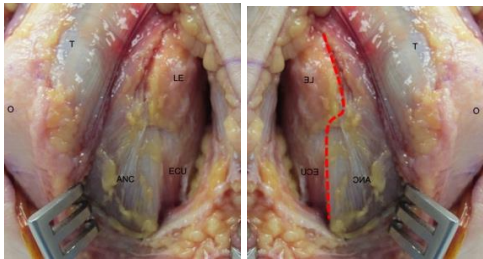
402 **Figure 4.1, 4.2 Step 1 - Medial Window:** The ulnar nerve mobilized and protected. Interval
403 between the triceps and the flexor-pronator mass is identified prior to triceps being released from
404 the posterior surface of the humerus with posterior capsule excision.



405
406 **Figure 4.3, 4.4, 4.5** Medial epicondyle is enucleated from the flexor-pronator mass origin.
407 Anterior surface of the humerus is dissected with an elevator mobilize the brachialis and the
408 anterior capsule, with complete access to the medial epicondyle, olecranon and humerus, with
409 the ulna nerve mobile and protected.



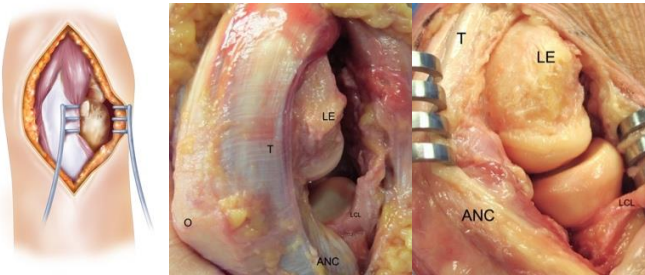
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411 **Figure 5.1, 5.2. Step 2 - Lateral Window:** The fascia overlying the lateral margin of the triceps
412 has been divided proximally and distally over the origin of the proximal extensor mass insertion.
413 A muscular interval is then created by incising the fascia along the most distal/lateral border of
414 the triceps, shown by dotted line. Incision is carried distally to the plane of the Extensor Carpi
415 Ulnaris and the Anconeus. T-triceps, O-Olecranon, LE-lateral Epicondyle



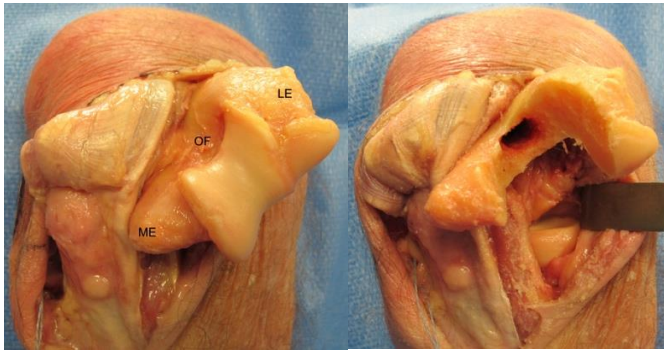
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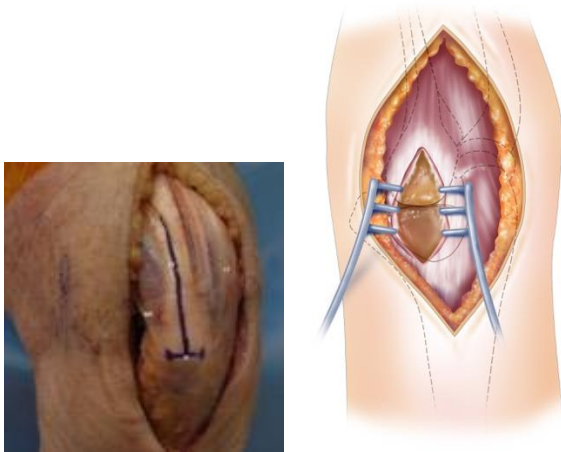
418 Figure 5.3, 5.4, 5.5: Lateral Collateral Ligament(LCL) and Extensor mass origin are identified.
419 The LCL, Extensor mass origin along with the remainder of the anterior capsule are then sharply
420 released from their origin and retracted. T-triceps, O-Olecranon, LE-lateral Epicondyle, ANC-
421 anconeus, LCL-lateral collateral ligament



422
423 Figure 5.6, 5.7: Humerus is disarticulated and delivered through lateral window, (4.7) and
424 prepared for implantation (either in acute fractures or elective arthroplasty)



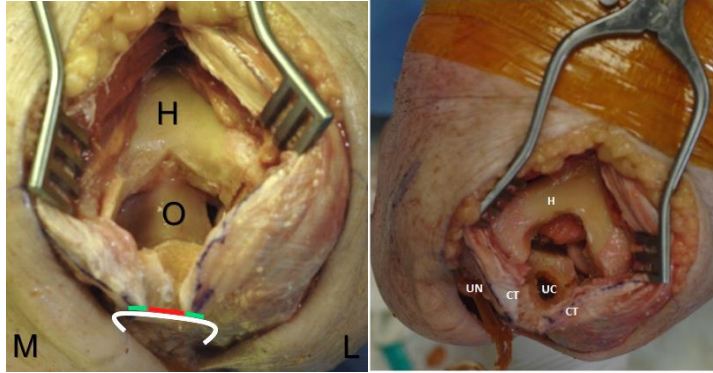
425
426 Figure 6.1, 6.2: **Step 3 - Central Window:** A split is made in the central triceps tendon and
427 carried down to olecranon, without detaching the medial and lateral extensions.



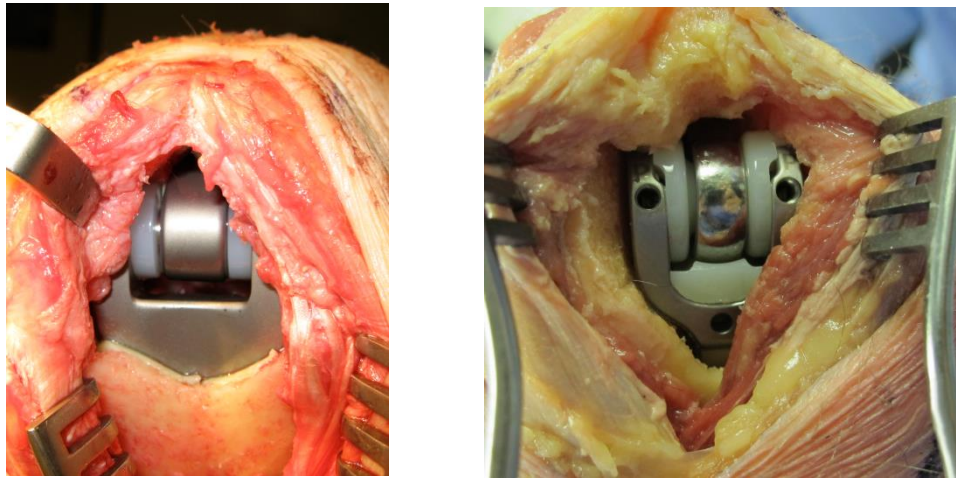
428
429 Figure 6.3: With the medial and lateral footprint intact the elbow should be fully flexed. The
430 triceps footprint is elevated at its central 50% footprint (red line), thereby leaving the medial

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431 (25% - green line) and lateral (25% - green line) central tendon attached. Through the posterior
432 window the ulna is readily visible and accessible. Figure 6.4: The ulna is now prepared, in line
433 with the shaft for easier orientation of the component implantation. H-humerus, O-olecranon, M-
434 medial, L-lateral, UC-ulna intramedullary canal, UN-ulna nerve, CT-central tendon



435
436 Figure 7.1: Implanted Coonrad-Morrey TEA viewed through central window, 7.2: Implanted
437 Nexel TEA



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466 Figure 8.1, 8.2 : Typical overhead active range of motion using Triceps Triple Window approach

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