



WHO FRAMEWORK CONVENTION
ON TOBACCO CONTROL

CONFERENCE OF THE PARTIES TO THE
WHO FRAMEWORK CONVENTION ON TOBACCO CONTROL

FCTC/COP/10/16
7 July 2023

Tenth session
Panama City, Panama, 20–25 November 2023
Provisional agenda item 8.1

Performance and progress reports

Report by the Convention Secretariat

Purpose of the document

The present report submits for consideration by the Conference of the Parties (COP) to the WHO Framework Convention on Tobacco Control (WHO FCTC) information in relation to:

- (a) Performance report for the 2020–2021 Workplan and Budget (Part I, including Annex 1 and Annex 2, immediately following the report);
- (b) Interim Performance report for the 2022–2023 Workplan and Budget (Part II, including Annex 3 and Annex 4, immediately following the report); and
- (c) Global Strategy to Accelerate Tobacco Control: Advancing Sustainable Development through the Implementation of the WHO FCTC 2019-2025 (Part III, including Annex 5).

Action by the Conference of the Parties

The COP is invited to note the performance and progress reports for the reported periods and consider adopting the draft decision contained in Annex 5 of the present report to adopt an extension of the Global Strategy, as recommended by the Bureau.

Contribution to the Sustainable Development Goals (SDGs): All SDGs; in particular SDG 3 and Target 3.a.

Link to Workplan and Budget item: None.

Additional financial implications if not included in the Workplan and Budget: None.

Related document(s): FCTC/COP9(7); FCTC/COP/9/INF.DOC./1; FCTC/COP8(10); FCTC/COP/8/INF.DOC./2; FCTC/COP8(16).

PART I. PERFORMANCE REPORT FOR THE 2020–2021 WORKPLAN AND BUDGET (1 JANUARY 2020 – 31 DECEMBER 2021)

BACKGROUND

1. The present report contains an update of the activities conducted by the Convention Secretariat to support implementation of the WHO Framework Convention on Tobacco Control (WHO FCTC) from 1 January 2020 to 31 December 2021. The report follows the structure of the Workplan and Budget for the financial period 2020–2021 adopted at the Eighth session of the Conference of the Parties (COP8) to the WHO FCTC in decision FCTC/COP8(10) and is aligned with the *Global Strategy to Accelerate Tobacco Control: Advancing Sustainable Development through the Implementation of the WHO FCTC 2019–2025*, adopted in decision FCTC/COP8(16).
2. The Certified Statement of Income and Expenditure for the period 1 January 2020 to 31 December 2021 is included in Annex 1, immediately following the report contained in Part I of the present document. The activity costs for the reported period, including the description of expenditures, are included in Annex 2 immediately following the report contained in Part I of the present document.

GOVERNANCE (PRE-COP ARRANGEMENTS AND CONFERENCE OF THE PARTIES)

3. Prior to the outbreak of the coronavirus disease 2019 (COVID-19) pandemic, the Convention Secretariat and the Government of the Kingdom of the Netherlands finalized the host agreement for the Ninth session of the Conference of the Parties (COP9) to the WHO FCTC and the Second session of the Meeting of the Parties (MOP2) to the Protocol to Eliminate Illicit Trade in Tobacco Products. The agreement was signed on 12 March 2020 at The Hague, in the presence of the Head of the Convention Secretariat and the State Secretary for Health, Ministry of Health of the Kingdom of the Netherlands.
4. In light of restrictions made necessary as a result of the COVID-19 pandemic and its impact on the conduct of international conferences and travel, the Bureau elected by COP8 and the Bureau elected by the First session of the Meeting of the Parties (MOP1) decided during their Third joint meeting to postpone COP9 and MOP2 until November 2021.
5. Four Bureau meetings were conducted in 2020 through virtual means: the Third meeting of the Bureau in April 2020, another meeting in June 2020 focusing exclusively on the proposed WHO FCTC Investment Fund, and two meetings in September and December 2020 focusing on the proposed draft Workplan and Budget for 2022–2023 for the Conference of the Parties (COP) to the WHO FCTC. The Bureau elected by COP8 met virtually for its Fourth meeting in April 2021 and Fifth meeting in November 2021. The Bureau also held daily meetings during COP9, as well as several joint meetings with the Bureau elected by MOP2.
6. The Convention Secretariat provided necessary support for the smooth organization of COP9 in a virtual setting. Preparatory COP regional meetings were organized in all six World Health Organization (WHO) regions in collaboration with WHO regional offices.
7. COP9 was held virtually on 8–12 November 2021, registering the largest number of Parties in attendance in the history of the COP. Representatives of 162 Parties to the WHO FCTC, six State non-Parties, four international intergovernmental organizations (IGOs) and 16 nongovernmental

organizations (NGOs) accredited as observers, as well as WHO, participated. For the first time, accredited journalists were permitted to observe the discussions of public and open sessions of the COP.

STRATEGIC OBJECTIVE 1.1: GIVE PRIORITY TO ENABLING ACTION TO ACCELERATE WHO FCTC IMPLEMENTATION, INCLUDING EFFECTIVE FORMS OF TECHNICAL AND FINANCIAL ASSISTANCE TO SUPPORT PARTIES IN THE IDENTIFIED PRIORITY ACTION AREAS

Intersessional working groups and expert groups – Working Group to develop specific guidelines to address cross-border tobacco advertising, promotion and sponsorship and the depiction of tobacco in the entertainment media under Article 13 of the WHO FCTC

8. Pursuant to decision FCTC/COP8(17) and following a call for nominations issued to the Parties and observers, the Convention Secretariat received nominations for the Working Group to develop specific guidelines to address cross-border tobacco advertising, promotion and sponsorship (TAPS) and the depiction of tobacco in the entertainment media under Article 13 of the WHO FCTC. The composition of the Working Group can be found on the WHO FCTC website.¹

9. The first meeting of the Working Group took place in Mauritius from 30 October to 1 November 2019. At this meeting, the members of and observers to the Working Group discussed the structure and elements to be considered for the content of the specific guidelines under Article 13 of the WHO FCTC. The Working Group requested the Convention Secretariat to further involve lawyers and internet regulation experts in the process, and it also requested the key facilitators of the Working Group to prepare a draft text of the specific guidelines under Article 13 of the WHO FCTC to be discussed at its second meeting, scheduled in Brazil in April 2020.

10. However, due to the COVID-19 pandemic restrictions, the second meeting of the Working Group was cancelled. The key facilitators of the Working Group, with support from the Convention Secretariat, lawyers, and experts in TAPS and media regulation, prepared a draft report, including a draft text of the specific guidelines under Article 13 of the WHO FCTC and a draft decision that was circulated for online consultation with members of the Working Group in April 2020 and with observers in June 2020. After receiving and processing input from the consultation, a revised version of the draft documents prepared by the key facilitators was shared for consideration with the Working Group in December 2020.

11. The Working Group met for a second time, virtually, on 24–25 February 2021, to amend, as necessary, and validate a report containing the draft specific guidelines under Article 13 of the WHO FCTC and a related draft decision, prepared to be submitted for consideration at COP9. In decision FCTC/COP9(2), the COP adopted the provisional agenda contained in document FCTC/COP/9/1, as further articulated in document FCTC/COP/9/1(annotated), including the recommendation of the Bureau to defer several items for consideration at the Tenth session of the COP (COP10). In accordance with this decision, the Working Group report is submitted for consideration at COP10 (document FCTC/COP/10/8).

¹ Available at <https://fctc.who.int/publications/m/item/intersessional-groups-mandated-by-cop8>.

Expert Group to examine the reasons for low implementation of Articles 9 and 10 of the Convention, and their related partial guidelines

12. Pursuant to decision FCTC/COP8(21) and following a call for nominations issued to the Parties, the Convention Secretariat received nominations for the Expert Group to examine the reasons for low implementation of Articles 9 and 10 of the WHO FCTC and related partial guidelines. The composition of the Expert Group can be found on the WHO FCTC website.¹

13. The meeting of the Expert Group took place on 21–22 November 2019 in Bilthoven, Kingdom of the Netherlands, and was hosted by the Government of the Kingdom of the Netherlands at the National Institute for Public Health and the Environment.

14. A consultant was engaged by the Convention Secretariat to carry out desk research and an analysis of a questionnaire sent to Parties in that respect and developed a background report, which informed the discussions of the Expert Group during the meeting. The report gathered information on the challenges for the implementation of Articles 9 and 10 of the WHO FCTC and related partial guidelines, positive experiences and successful international cooperation in the matter. A meeting report was prepared to document the deliberations of the Expert Group and was finalized with input from the experts. Based on the meeting report, the Convention Secretariat supported the Expert Group to finalize its report to COP9.

15. At the recommendation of the Bureau elected by COP8, the report of the Expert Group was submitted to COP9 (document FCTC/COP/9/6) for information only. In decision FCTC/COP9(2), the COP noted the report and deferred related discussion on it until COP10. The report of the Expert Group is re-submitted for consideration to COP10 (document FCTC/COP/10/6).

Direct assistance to Parties

16. The Convention Secretariat offered intensive support through the FCTC 2030 project to 30 Parties eligible to receive official development assistance. Guided by the Global Strategy, the Convention Secretariat assisted these beneficiary countries in defining their tobacco control priorities and, working closely with WHO and the United Nations Development Programme (UNDP), in meeting their defined priorities.

17. Initiatives to provide intensive support under the FCTC 2030 project were launched virtually in Armenia, Costa Rica, Fiji, Mongolia, Panama, Serbia and Suriname. The Convention Secretariat also funded WHO FCTC Investment Cases for Tobacco Control for Armenia, Eswatini, Suriname and Tunisia, which were released during awareness-raising events organized to disseminate findings and recommend further policy actions for tobacco control.

18. In partnership with UNDP, the Convention Secretariat assisted Suriname and Zambia to strengthen multisectoral coordination for tobacco control, as well as Chad and the Lao People's Democratic Republic to develop national tobacco control strategies.

19. The Convention Secretariat also conducted virtual joint needs-assessment missions in Mali, Montenegro, Sao Tome and Principe, and the United Republic of Tanzania.

¹ Available at <https://fctc.who.int/publications/m/item/intersessional-groups-mandated-by-cop8>.

Monitoring and evaluation

20. The University of York undertook an independent external evaluation of the FCTC 2030 project as requested by the United Kingdom of Great Britain and Northern Ireland, finalized its report and made a presentation to the project donors. A consultant engaged to conduct regular internal monitoring and evaluation of the FCTC 2030 project, using outcome mapping methodology, has also documented outcomes and goals accomplished that could be attributed to the presence of the project in the countries.

STRATEGIC OBJECTIVE 1.2: STRENGTHEN SYSTEMS FOR REGULARLY CAPTURING AND SHARING LESSONS AND EVIDENCE ON NEW, INNOVATIVE AND SUCCESSFUL MEANS OF IMPLEMENTING TOBACCO CONTROL MEASURES

Assistance to Parties by sharing lessons and evidence through South–South and Triangular cooperation

21. Through the FCTC 2030 project, the Convention Secretariat has continued to assist Parties interested in South–South and Triangular cooperation. In 2020, Brazil provided support to Cabo Verde on Article 14 of the WHO FCTC and Colombia on Article 5 of the WHO FCTC, and Georgia supported Serbia in its study on the economic burden of tobacco-related diseases.

22. In April 2020, the Knowledge Hub on International Cooperation, based in Uruguay, coordinated a series of teleconferences with the Parties to the WHO FCTC in the Region of the Americas to allow Parties to discuss priorities and challenges in implementation of the Convention. On 1 October 2020, the Knowledge Hub contributed to the organization of a webinar on the Protocol for the Eastern Mediterranean Region and the Region of the Americas. The main organizer of the event was the Framework Convention Alliance, a COP observer.¹ The webinar helped with the sharing of information and experience between the two regions on how to advance implementation of the Protocol, as part of comprehensive tobacco control measures and complementary to Article 15 of the WHO FCTC. It also served as a platform for discussing challenges, such as the interference of the tobacco industry and the promotion by the industry of tracking and tracing systems that do not meet the standards defined by the Protocol.

Assistance to Parties through training, knowledge sharing and sharing of good practices and networking

23. The Convention Secretariat collaborated with WHO on several capacity-building activities which benefitted countries beyond those receiving intensive support under the FCTC 2030 project. Specifically, it collaborated with: (1) the WHO for the Intensive Virtual Plain Packaging Workshop for FCTC 2030 project countries in December 2021; (2) the WHO Regional Office for the Eastern Mediterranean for the Workshop on Legal Aspects of WHO FCTC Implementation in November–December 2021 and the Meeting on Implementation of the WHO FCTC by Parties Experiencing Complex Emergencies in September 2021; (3) the Pan American Health Organization/WHO Regional Office for the Americas on an initiative, Strengthening Multisectoral Action for Tobacco Control, in the Region of the Americas in March 2021; and (4) the WHO Regional Office for Europe on the promotion of video case studies with the aim of strengthening enforcement. Further, the Convention Secretariat

¹ The organization is currently known as the Global Alliance for Tobacco Control.

facilitated the participation of five country teams in the Sixth (Online) Workshop on the Economics of Tobacco Control, organized by the WHO FCTC Knowledge Hub on Tobacco Taxation in August 2021.

24. In 2020, the WHO FCTC Knowledge Hub on Tobacco Taxation organized a series of three webinars on the following topics: (1) global trends in tobacco taxation, including trends in tobacco tax structures, and providing evidence of the effectiveness of tobacco taxation; (2) measuring illicit trade, including an explanation of the various methods for measuring illicit trade, as well as the advantages and drawbacks of each; and (3) an overview of the Protocol. In collaboration with the Knowledge Hub, the Convention Secretariat also successfully organized the Virtual Workshop on Tobacco Taxation Modelling in December 2020 with nearly 250 registrants, which was organized through the FCTC 2030 project.

25. The WHO FCTC Knowledge Hub on Smokeless Tobacco in June 2020 launched an online course on smokeless tobacco cessation, offering its participants an understanding of this matter. The Knowledge Hub also organized a webinar around World No Tobacco Day 2020.

26. The Convention Secretariat has worked extensively with all eight WHO FCTC Knowledge Hubs. The WHO FCTC Knowledge Hub for Article 5.3 of the WHO FCTC organized a series of five webinars between October 2019 and September 2020 aimed at sharing knowledge and drawing on the panellists' expertise on the progress in implementing Article 5.3, especially during the COVID-19 pandemic. The Knowledge Hub developed and launched an online course titled Implementing Article 5.3 of the WHO Framework Convention on Tobacco Control: from Policy to Practice.

27. Despite the fact that the COVID-19 pandemic had made in-person training impossible, the WHO FCTC Knowledge Hub on Legal Challenges continued to deliver training on law and noncommunicable diseases (NCDs) in 2020 through its first-ever online legal training programme. Thirty-five lawyers, policy-makers and WHO staff registered for the six-week course beginning in September 2020, which covered various topics related to law and NCDs, including the WHO FCTC and legal challenges. The Knowledge Hub also organized a workshop on plain packaging and the WTO panel ruling, from 30 November to 3 December 2020, for government officials, civil society and tobacco control experts from around the world.

28. In 2020, a virtual signing ceremony was organized between the Convention Secretariat and Fundação Oswaldo Cruz (Fiocruz), Ministry of Health of Brazil, to launch the eighth WHO FCTC Knowledge Hub, which focuses on Articles 17 and 18 of the Convention. Negotiations were conducted with the Government of France to set up a ninth Knowledge Hub related to implementation of Article 12 of the WHO FCTC. The annual meetings with the managers of the WHO FCTC Knowledge Hubs was conducted virtually in December 2020 and September 2021. The Convention Secretariat undertook work to streamline the policies and institutional arrangements in relation to the set-up and operationalization of the WHO FCTC Knowledge Hubs.

Assistance to Parties through the development of toolkits, manuals and publications

29. In terms of publications and resources, the Convention Secretariat released the following publications: the *2021 Global Progress Report on Implementation of the WHO Framework Convention on Tobacco Control*; the *Information Kit for the WHO FCTC Technical Focal Points*; and the *Guide for WHO FCTC Parties on including SDG Target 3.a in voluntary national reviews*.

30. In collaboration with UNDP, the Convention Secretariat published 18 WHO FCTC Investment Cases for Tobacco Control. Further, 23 country briefs are being prepared on the topic "Tobacco Control

as an Accelerator for the Sustainable Development Goals for Countries Receiving Intensive Support from FCTC 2030”. These publications will contribute to scaling up national multisectoral action for tobacco control and promoting the WHO FCTC as an “accelerator” for the Sustainable Development Goals (SDGs).

31. Furthermore, in decision FCTC/COP8(18), the COP requested the Convention Secretariat to comply with decision FCTC/COP7(11), which mandated the Secretariat, among other actions, to “develop a database of experts and institutions that can provide Parties, upon request, with technical assistance related to tobacco industry liability”. The Convention Secretariat collected nominations by Parties of public experts and institutions for the database of experts, and recommendations by Parties of resources related to liability and other legal challenges. Work was undertaken to plan the inclusion of data in the “Coordination platforms” section of the WHO FCTC website.

Support for Parties in fulfilling their reporting obligations and global progress reports on implementation of the Convention

32. In the context of the postponement of COP9 to 2021, Parties were requested in early 2021 to provide any relevant updates to complement information submitted by the Parties in the 2020 reporting cycle. These were reflected in the *2021 Global Progress Report on Implementation of the WHO FCTC*, which included baseline data for the indicators under the Global Strategy. In addition, the Convention Secretariat submitted to COP9 the report titled *Contribution and impact of implementing the WHO FCTC on achieving the noncommunicable disease global target on the reduction of tobacco use*, prepared jointly with WHO.

33. Work was undertaken to migrate the content of the untobaccocontrol.org website to the WHO server, under the new Coordination platforms section of the WHO FCTC website. These platforms comprise very rich content including the sites of the WHO FCTC Knowledge Hubs and the WHO FCTC implementation database, along with a number of resources related to the various articles of the Convention.

34. Results from a *Scoping study on the future WHO FCTC data quality assurance framework* was presented at the Third meeting of the COP8 Bureau and discussed at a special joint meeting of the COP8 and MOP2 Bureaus in June 2021. At the latter meeting, it was agreed that the Convention Secretariat would submit a report to COP10 with a proposal on how to improve the reporting system for the WHO FCTC.

STRATEGIC OBJECTIVE 2.1: MOBILIZE INTERNATIONAL, INTERGOVERNMENTAL AND DEVELOPMENTAL PARTNERS TO INTEGRATE THE WHO FCTC INTO THEIR WORK, AND/OR THEIR SDG RESPONSES

Promoting international cooperation including with IGO observers to the COP

35. The Convention Secretariat continued to closely cooperate with WHO to promote synergistic work between both entities. The Convention Secretariat attended the resumed Seventy-third World Health Assembly in November 2021 and submitted statements at the meetings of the regional committees for the African Region, the Region of the Americas, the Eastern Mediterranean Region, the European Region and the Western Pacific Region.

36. The Convention Secretariat also participated in the Gulf Health Council's Gulf Tobacco Control Committee Meeting that took place in March 2021.

37. The Convention Secretariat delivered statements at the United Nations Human Rights Council Third Intersessional Meeting for Dialogue and Cooperation on Human Rights and the 2030 Agenda for Sustainable Development, and at the Forty-second session of the Food and Agriculture Organization of the United Nations Conference. Bilateral meetings were also held with the Economic Community of West African States (ECOWAS) Bank for Investment and Development, including the ECOWAS Commission, and the West African Health Organization.

38. The Convention Secretariat reached out and had bilateral virtual meetings with the Secretariat of the Basel, Rotterdam and Stockholm Conventions (July and August 2020), the Secretariat of the International Plant Protection Convention (August 2020), the Secretariat of the Ramsar Convention (August 2020), the Secretariat of the United Nations Convention to Combat Desertification (August 2020), the Joint SDG Fund (September 2020) and the Secretariat of the Joint UNECE – WHO/Europe Protocol on Water and Health (October 2020).

39. The Convention Secretariat contributed to the United Nations Convention to Combat Desertification (UNCCD) Desertification and Drought Day and began developing a joint campaign with the United Nations Environment Programme (UNEP) Clean Seas Campaign to highlight the issue of plastics pollution from cigarette filters.

Coordination with UNIATF and promotion of NCDs global agenda

40. The Convention Secretariat continued to engage actively with the United Nations Inter-Agency Task Force on Prevention and Control of Non-communicable Diseases (UNIATF) through the Thematic Working Group on Tobacco Control. The Convention Secretariat attended the 12th and 13th meetings of the UNIATF on 6–7 March 2019 and 22–23 October 2019 respectively, and the 14th and 15th meetings of the UNIATF on 4–5 March 2020 and 3–5 November 2020 respectively.

41. The Convention Secretariat continued to engage actively with the WHO WIN NCD Network and the WHO Global Coordination Mechanism on the Prevention and Control of Noncommunicable Diseases.

42. The Convention Secretariat supported the President of the COP to provide a written submission to the United Nations Economic and Social Council (ECOSOC) High-level Political Forum on the SDGs in April 2021.

43. The Convention Secretariat participated in a United Nations General Assembly virtual side-event in September 2020 that reviewed the current work of the UNIATF and agreed on the ways to provide more effective United Nations system support to enable countries to scale up their responses to NCDs during and beyond the COVID-19 pandemic.

44. On the occasion of the High-level Political Forum 2021, organized under the auspices of ECOSOC, the Convention Secretariat organized a successful virtual side event on 6 July 2021, highlighting the key role of the WHO FCTC to recovery from COVID-19 and building back better.

Action in support of SDG 3, Target 3.a

45. On the occasion of the High-Level Political Forum 2020, under the auspices of ECOSOC, the Convention Secretariat together with the UNIATF held a virtual side event on 7 July 2020 to highlight progress made in the integration of SDG Target 3.a into national and global agendas. The side event was also the opportunity to launch the *Guide for WHO FCTC Parties on including SDG Target 3.a in voluntary national reviews*.

46. The Convention Secretariat organized the webinar titled “Showcasing SDG Target 3.a in Parties’ voluntary national reviews” on 21 June 2021, aimed at presenting the *Guide for WHO FCTC Parties on including SDG Target 3.a in voluntary national reviews* in the six official languages of the United Nations. The Guide was also broadly promoted during the above-mentioned side event at the High-level Political Forum 2021.

Communications plan on the Global Strategy

47. In line with Specific Objective 2.1.5 of the Global Strategy, the Convention Secretariat engaged a communications agency to help develop a communications plan for the Global Strategy, with the overall aim of raising the profile and visibility of the Convention. A page dedicated to the Global Strategy, which is available in the six official United Nations languages, was created on the WHO FCTC website. During what would have been the week of COP9 in November 2020, at The Hague in the Kingdom of the Netherlands, the Convention Secretariat launched a teaser campaign titled *It’s Time* to promote awareness around the Global Strategy and the importance of accelerating implementation of the Convention as a means of advancing sustainable development. The full set of teaser campaign materials in six languages is available for download on the WHO FCTC website.¹

Coordination platform

48. The Coordination platform dedicated to the WHO FCTC, aimed at compiling technical resources and tools to assist Parties with implementation of WHO FCTC, was enhanced, while its content was being migrated to a more secure platform. The Convention Secretariat carried out work to further update its content to include, for instance, new databases such as those mandated by COP8, the Information Hub for Article 13 of the WHO FCTC (cross-border tobacco advertising, promotion and sponsorship) and the Resource Database on Tobacco Industry Interference.

STRATEGIC OBJECTIVE 2.2: CATALYZE AND LEVERAGE THE CONTRIBUTIONS OF EXTERNAL STAKEHOLDERS, PARTICULARLY CIVIL SOCIETY, TO ACHIEVE THE AIMS OF THE CONVENTION

Promoting international cooperation including with NGO observers to the COP

49. The Convention Secretariat organized virtual meetings with NGO observers on 17 June 2020, 16 December 2020 and 22 June 2021, with the objective of facilitating stronger cooperation and increasing collaboration in the implementation of the Convention. In addition, bilateral meetings with individual NGOs were also organized in the lead-up to COP9 to provide updates and share information on the proceedings of the sessions. By the deadline of 8 August 2021, the Convention Secretariat

¹ Available at <https://fctc.who.int/who-fctc/overview/global-strategy-2025>.

received, through the online submission portal on the WHO FCTC website, 11 NGO applications and one application by an organization under the IGO category, but the status of which was considered by the Bureau elected by the Seventh session of the Conference of the Parties (COP7) as neither an IGO nor an NGO. Based on the report of the Convention Secretariat, and at the recommendation of the Bureau, COP9 granted observer status to five new NGOs.

STRATEGIC OBJECTIVE 3.1: IMPROVE THE GOVERNANCE AND ADMINISTRATIVE MECHANISMS OF THE WHO FCTC

Implementation Review Mechanism (IRM) Pilot Project

50. The Convention Secretariat conducted 12 independent reviews of Parties' implementation of the WHO FCTC – two from each WHO Region – that volunteered to take part in the exercise. Each Party received in the first quarter of 2021 tailored reports, with observations on their achievements and recommendations on future actions.

51. The Convention Secretariat submitted to COP9 a report (document FCTC/COP/9/11) containing draft terms of reference and a costed strategy for the proposed model for an Implementation Review Mechanism, in line with Global Strategy Objective 3.1.2. In decision FCTC/COP9(2), the COP deferred the discussion and decision on the report to COP10. The Convention Secretariat report is re-submitted for consideration at COP10 (document FCTC/COP/10/14).

STRATEGIC OBJECTIVE 3.2: SUPPORT AND ENCOURAGE PARTIES IN THEIR EFFORTS TO REMOVE BARRIERS TO COUNTRY-LEVEL TOBACCO CONTROL EFFORTS

Article 5.3 of the WHO FCTC

52. The Convention Secretariat continued efforts to counter interference by the tobacco industry and groups that further its interests in tobacco control policies and programmes. The Convention Secretariat further promoted the uptake of the *Model Policy for United Nations Agencies on Preventing Tobacco Industry Interference* through work at UNIATF. The Convention Secretariat has also developed, under the guidance of the Bureaus, the documents and processes to operationalize – and it has begun implementing – the COP8 decisions on this matter, namely FCTC/COP8(12) in relation to maximizing transparency of delegations to the COP, its subsidiary bodies and other WHO FCTC meetings, and FCTC/COP8(4) in relation to the Code of Conduct and Declaration of Interests for the Members of the Bureau and the Regional Coordinators of the COP and the proposed measures preventing and addressing possible conflicts of interest at the Convention Secretariat.

53. In collaboration with the Knowledge Hub for Article 5.3 of the WHO FCTC, the Convention Secretariat promoted tobacco industry monitoring efforts, in line with the *Guidelines for Implementation of Article 5.3 of the WHO FCTC* and the *Guide for Parties to the WHO FCTC on How to Conduct Tobacco Industry Monitoring*, available in the six official languages of the United Nations. In addition, the three tobacco industry monitoring centres (observatories), coordinated by the Knowledge Hub for Article 5.3 of the WHO FCTC with the support of the Convention Secretariat, continued to assist with monitoring and alerting policy-makers to tobacco industry tactics meant to undermine implementation of WHO FCTC.

54. In accordance with decision FCTC/COP8(18), the Convention Secretariat continued to work with the Knowledge Hub for Article 5.3 of the WHO FCTC to set up a Resource Database on Tobacco Industry Interference, comprising evidence-based information on issues such as tobacco industry policy submissions and related tactics employed by tobacco-funded groups. This resource was integrated in the Coordination platforms section of the WHO FCTC website.

OPERATIONAL OBJECTIVE 1: SUSTAINABLE FINANCING – MANAGE THE FINANCES OF THE CONVENTION TO MAXIMIZE ITS EFFICIENCY AND EFFECTIVENESS AND FIND NEW REVENUE STREAMS TO SUPPORT WHO FCTC IMPLEMENTATION ACTIVITIES

55. The Convention Secretariat continued to make efforts to collect Assessed Contributions and followed up with Parties to settle the arrears of Assessed Contributions. Regular updated information in that regard was made available on the WHO FCTC website.

56. The Convention Secretariat continued its fundraising efforts, through engagement with donors and partners, to mobilize the Extra-budgetary Contributions necessary for implementation of the Workplan and Budget adopted by COP8.

57. On the basis of a Convention Secretariat report requested by COP8, the WHO FCTC Investment Fund was launched at COP9 to provide sustainable funding to the COP to implement the WHO FCTC.

OPERATIONAL OBJECTIVE 2: OPERATIONAL EFFECTIVENESS: PROMOTE OPTIMAL OPERATION OF THE COP AND THE CAPACITY AND ABILITY OF THE CONVENTION SECRETARIAT TO PROVIDE SUPPORT TO THE COP AND TO THE PARTIES IN THEIR IMPLEMENTATION, MONITORING AND REPORTING WORK

58. The Head of the Convention Secretariat further promoted personnel development and emphasized the need for transparency, while fostering accountability and a positive working environment. The Convention Secretariat has ensured that proper budget planning is aligned with human resources planning and that positions are filled and/or extended in a timely manner. The Convention Secretariat completed all remaining final recruitments in 2021.

59. The Convention Secretariat continued to work with the Office of the Director-General of WHO to inform WHO staff about the WHO Hosting Terms for the Convention Secretariat, which were promulgated by the WHO Director-General in October 2019, including through dissemination to WHO units, departments, programmes and regional offices.

60. The WHO FCTC website has been continuously updated in the six United Nations official languages, while social media accounts on Twitter, Facebook and YouTube have been promoted, attracting a growing number of followers. The Head of the Convention Secretariat has given media interviews to explain the role and policies of the Convention Secretariat and has written a number of articles and editorials to promote the WHO FCTC, as well as having spoken as a panellist and delivering presentations at various webinars. A number of changes have been introduced to improve the user-friendliness of the WHO FCTC website, including through migration to the new WHO content management system (Sitefinity).

61. To mark the 15th anniversary of the entry into force of the WHO FCTC, on 5 March 2020, the Convention Secretariat and WHO co-organized an event with high-level participation. Furthermore, this event was also the first public appearance of Dr Adriana Blanco Marquizo as the newly appointed Head of the Convention Secretariat, who took office on 1 March 2020. This celebration was co-hosted by H.E. Mr Esmail Baghaei Hamaneh, President of the Conference of the Parties, and Dr Tedros Adhanom Ghebreyesus, WHO Director-General. The event was well attended with over 100 participants representing Parties, IGOs and NGOs, and stakeholders who have played and continue to play a key role in the implementation of the WHO FCTC. The event was livestreamed in three United Nations languages (English, French and Spanish). The event provided an opportune occasion to review the achievements in tobacco control over the past 15 years, and to look at the future opportunities and priorities in strengthening implementation of the Convention in line with the Global Strategy.

62. The Convention Secretariat developed branding and visual guidelines to harmonize the look and feel of the information products of the Convention Secretariat.

ANNEX 1

**CERTIFIED STATEMENT OF INCOME AND EXPENDITURE FOR THE PERIOD
1 JANUARY 2020 TO 31 DECEMBER 2021 (EXPRESSED IN US\$)**



Secretariat of the WHO Framework Convention on Tobacco Control (FCTC) –
Conference of the Parties (COP)

Statement of Income and Expenditure as at 31 December 2021
(expressed in US dollars)

Opening Balance 1 January 2020		11,979,813
Revenue		
FCTC Assessed Contributions	8,800,522	
Voluntary Contributions	10,534,525	
	Total Revenue	19,335,047
Expense (details attached - page 2)		
2020-2021		17,964,173
Fund balance at 31 December 2021		<u>13,350,687</u>
Less:		
Receivable from AC prior biennia (2006-2019)		(501,069)
Reserve for non-payment 2020-2021 (2%)		(176,010)
Funds available at 31 December 2021 (details attached - page 3)		<u>12,673,608</u>

I certify that the above statement correctly reflects the revenue and expenditure recorded in the WHO Global Accounting System.

George Kyriacou
05 September 2022

**World Health
Organization**
Comptroller and Director of Finance

FCTC COP Financial Statement as at 31 December 2021 – Interim.doc

**STATEMENT OF INCOME AND EXPENDITURE FOR THE PERIOD
1 JANUARY 2020 TO 31 DECEMBER 2021 (EXPRESSED IN US\$)**

		Total
Opening balance, 1 January 2020		11 979 813
Revenue		
Assessed Contributions (AC) COP8 approved	8 800 522	
Extra-budgetary Contributions	10 534 525	19 335 047
Total Revenue		31 314 860
Expenses at 31 December 2021	16 815 542	
Recovery costs January 2020–December 2021	1 148 631	
Total expenses		17 964 173
Fund balance at 31 December 2021		13 350 687
Less		
Receivable from AC prior biennia (2006–2019)	-501 069	
Reserve for non-payment AC 2020–2021 (2%)	-176 010	-677 079
Funds available at 31 December 2021		12 673 608

Status of Assessed Contributions 2020–2021

Approved Assessed Contributions		8 800 522
Collected at 31 December 2021	7 541 238	
Outstanding	1 259 284	

Expenditure details for the period 1 January 2020 to 31 December 2021

Expenditure type	Total
Staff costs	8 652 202
Medical supplies and materials	11 729
Equipment, vehicles and furniture	31 034
Contractual services	4 902 624
Travel	168 637
Transfers and grants	2 872 709
General operating costs	176 607
Recovery costs	1 148 631
Grand total	3 901 020

Funds available as at 31 December 2021 in US\$

	Balance 1 January 2020	Revenue 2020–2021	Expenditure 2020–2021	Balance as at 31 December 2021	Adjustment	Funds available as at 31 December 2021
Assessed Contributions (AC)	4 653 955	8 800 522	5 721 687	7 732 790	(677 079)	7 055 711
Extra-budgetary Contributions (EB)	7 325 858	10 534 525	12 242 486	5 617 897	-	5 617 897
Total	11 979 813	19 335 047	17 964 173	13 350 687	(677 079)	12 673 608

Notes:

The total amount receivable from Member States at 31 December 2021 amounts to US\$ 1 760 352, however, based on experience from prior collections, only the following is adjusted for:

- Amounts outstanding from prior biennia: US\$ 501 069
- 2% withholding on 2020–2021 invoicing: US\$ 176 010.

ANNEX 2

WORKPLAN AND BUDGET FOR THE FINANCIAL PERIOD FROM 1 JANUARY 2020 TO 31 DECEMBER 2021
ACTIVITY COSTS (EXPRESSED IN US\$)

Global Strategy headings	Assessed Contributions		Extra-budgetary Contributions		Total	
	Approved	Expenditures Jan 2020–Dec 2021	Approved	Expenditures Jan 2020–Dec 2021	Total Approved	Total Expenditures Jan 2020–Dec 2021
1. Governance	1 757 001	658 785	660 402	50 721	2 417 403	709 506
2. Accelerate implementation	50 000	14 979	2 674 370	7 477 106	2 724 370	7 492 085
3. Sharing lessons	150 000	109 995	2 810 000	329 458	2 960 000	439 453
4. Building partnerships	0	0	200 000	7 320	200 000	7 320
5. Protecting integrity	150 000	89 164	530 000	2 140	680 000	91 304
6. Sustainable funding	0	0	100 000	81 586	100 000	81 586
7. Optimal effectiveness of operations	75 872	72 537	433 830	193 490	509 702	266 027
Total activity costs	2 182 873	945 460	7 408 602	8 141 821	9 591 475	9 087 281
Staff costs	5 605 200	4 776 227	4 257 000	2 952 034	9 862 400	7 728 261
Total activity and staff costs	7 788 073	5 721 687	11 665 602	11 093 855	19 453 675	16 815 542
Recovery costs	1 012 449	0	1 516 554	1 148 631	2 529 003	1 148 631
Total	8 800 522	5 721 687	13 182 156	12 242 486	21 982 678	17 964 173

DESCRIPTION OF EXPENDITURES

Activity	Assessed Contributions (AC) approved	Extra-budgetary Contributions (EB) Approved	Total approved	Available AC	Available EB	% mobilized	Total Available Funds	Total Spent	Total Balance
1. Governance									
1.1 Pre-COP arrangements									
1.1.1 Six Preparatory COP regional meetings									
(a) Two Convention Secretariat staff members' travel and per diem to each region and travel support and per diem for eligible Parties	0	176 150	176 150	0	0	0	0	0	0
1.1.2 Online tool (Training for delegations' preparations)									
(a) Development, creation	0	68 200	68 200	0	0	0	0	0	0
(b) Distribution, training and online support to users	0	0	0	0	0	0	0	0	0
1.2 Conference of the Parties									
1.2.1 Logistics – overall organization									
(a) Rentals, services, salaries of short-term conference staff and overtime of staff	367 960	0	367 960	367 960	0	100	367 960	38 906	329 054
(b) Interpreters salaries	340 026	0	340 026	340 026	0	100	340 026	170 106	47 565
(c) Security	51 000	0	51 000	51 000	0	100	51 000	0	51 000
(d) Travel support for eligible Parties	304 550	0	304 550	304 550	0	100	304 550	0	304 550
(e) Per diem for low- and middle-income (LMIC) countries	0	101 052	101 052	0	0	0	0	0	0
(f) Reception	0	90 000	90 000	0	0	0	0	0	0

Activity	Assessed Contributions (AC) approved	Extra-budgetary Contributions (EB) Approved	Total approved	Available AC	Available EB	% mobilized	Total Available Funds	Total Spent	Total Balance
(g) Screening of Declaration of Interests (DOI) forms for delegates as appropriate	25 000	0	25 000	25 000	0	100	25 000	20 000	5 000
1.2.2 Evening session (4 evening sessions)	65 000	0	65 000	65 000	0	100	65 000	0	65 000
1.2.3 Documentation (pre-, in- and post-session)									
(a) Editing and translation of COP official documentation (pre-session)	200 000	0	200 000	200 000	0	100	200 000	200 000	0
(b) Editing, translation and printing of COP official documentation (in-session)	185 000	0	185 000	185 000	0	100	185 000	87 937	97 063
(c) Salary of précis writers	27 300	0	27 300	27 300	0	100	27 300	13 435	13 865
(d) Editing and translation of COP report and verbatim (post session)	25 000	0	25 000	25 000	0	100	25 000	25 000	0
1.2.4 Invited speakers	0	5 500	5 500	0	0	0	0	0	0
1.2.5 High-level Segment									
(a) Travel and per diem for one VIP and one entourage per region	0	27 500	27 500	0	0	0	0	0	0
(b) Security	0	10 000	10 000	0	0	0	0	0	0
1.2.6 COP9 campaign and media									
(a) Creative idea, campaign strategy, visuals, booth, promotion materials, website, two photographers, videographer and exhibition	0	45 000	45 000	0	14 780	33	14 780	14 780	0

Activity	Assessed Contributions (AC) approved	Extra-budgetary Contributions (EB) Approved	Total approved	Available AC	Available EB	% mobilized	Total Available Funds	Total Spent	Total Balance
(b) Six months of Communications/media relations services (either by secondment or direct contract)	0	137 000	137 000	0	19 333	14	19 333	19 333	0
(c) COP9 software application (APP)	1 000	0	1 000	1 000	16 608	1 760	17 608	16 608	1 000
1.2.7 Bureau of the COP/meetings									
(a) Prepare and support the work of the Bureau and convene meetings	26 500	0	26 500	26 500	0	100	26 500	11 767	14 733
(b) Travel support and per diem for the Bureau Members	124 665	0	124 665	0	0	0	0	0	0
(c) Interpretation (3 languages)	11 000	0	11 000	100 000	0	909	100 000	91 634	8 366
(d) Set-up and maintenance of the secured online platform	3 000	0	3 000	3 000	0	100	3 000	0	3 000
2. Protocols, guidelines and other possible instruments for implementation of the Convention									
2.1 Intergovernmental working/expert groups									
(a) Expert Group (EG) on Articles 9 and 10 as decided by COP8, one meeting of the group and intersessional work of the key facilitators and the Convention Secretariat	0	100 000	100 000	0	25 993	25.9	25 993	25 993	0
(b) Working Group (WG) on Article 13 as decided by COP8, one meeting of the group and intersessional work of the key facilitators and the Convention Secretariat	50 000	35 000	85 000	50 000	62 491	132	112 491	67 431	45 060

Activity	Assessed Contributions (AC) approved	Extra-budgetary Contributions (EB) Approved	Total approved	Available AC	Available EB	% mobilized	Total Available Funds	Total Spent	Total Balance
(c) WG on a topic on implementation assistance or the implementation review mechanism, if established by the COP. There needs to be a body that monitors the implementation of the Medium-term Strategic Framework (MTSF)	150 000	130 000	280 000	150 000	15 000	59	165 000	89 164	75 836
3. Reporting under the WHO FCTC									
3.1 Support for Parties in fulfilling their reporting obligations and global progress reports on implementation of the Convention									
(a) Conduct the biennial reporting cycle in 2020	50 000	0	50 000	50 000	0	100	50 000	46 892	3 108
(b) Analyse Parties' reports and develop the 2020 Global Progress Report (identify trends, areas of progress, challenges in implementation and areas/articles of the Convention proposed for further exploration)	100 000	0	100 000	100 000	0	100	100 000	63 098	36 902
(c) Strengthen capacity of Parties to report	0	250 000	250 000	0	40 000	16	40 000	0	40 000
4. Facilitate enhanced implementation of the WHO FCTC through the provision of technical assistance to Parties in implementing provisions of the Convention with particular focus on developing country Parties and Parties with economies in transition (including but not limited to activities of the FCTC 2030)									
4.1. Direct Assistance to Parties									
(a) Needs assessments missions, post-needs assessment assistance and follow-up support to Parties	0	800 000	800 000	0	230 892	29	230 892	230 892	0

Activity	Assessed Contributions (AC) approved	Extra-budgetary Contributions (EB) Approved	Total approved	Available AC	Available EB	% mobilized	Total Available Funds	Total Spent	Total Balance
(b) Development of investment/business cases and follow-up support to Parties	0	800 000	800 000	0	2 301 746	288	2 301 746	2 301 746	0
(c) Provide technical assistance to Parties for a specific issue upon request giving priority to the time-bound measures	0	900 000	900 000	0	4 027 335	473	4 027 335	4 027 335	0
(d) Direct assistance to Parties for the implementation of Articles 17 and 18	0	240 000	240 000	0	0		0	0	0
4.2 Assistance to Parties by sharing lessons and evidence through South–South and Triangular cooperation									
(a) Assist and facilitate interested partners in South–South and Triangular Cooperation	0	500 000	500 000	0	72 033	14	72 033	72 033	0
(b) Encourage the use of the South–South networking platform on the WHO FCTC Coordination Platform	0	50 000	50 000	0	75 448	151	75 448	75 448	0
(c) Evaluate efficacy and sustainability of South–South projects	0	40 000	40 000	0	0	0	0	0	0
4.3 Assistance to Parties through training, knowledge sharing and sharing of good practices and networking									
(a) Global, regional or subregional workshops on specific challenges in the implementation of the WHO FCTC	0	600 000	600 000	0	222 789	37	222 789	222 789	0
(b) KHs: Coordinate the work of the existing KHs and their provision of assistance to Parties in line with COP decisions	0	300 000	300 000	0	117 485	39	117 485	117 485	0

Activity	Assessed Contributions (AC) approved	Extra-budgetary Contributions (EB) Approved	Total approved	Available AC	Available EB	% mobilized	Total Available Funds	Total Spent	Total Balance
(c) Establishment of a Hub for Article 13	0	50 000	50 000	0	42 766	86	42 766	42 766	0
(d) Observatories on Article 5.3: coordinate and assist the Knowledge Hub for Article 5.3 to oversee and assist the work of existing observatories and advise those Parties that would like to engage in tobacco industry monitoring	0	250 000	250 000	0	0	0	0	0	0
(e) Sustain appropriate communication channels to enhance communication with the Parties	0	50 000	50 000	0	0	0	0	0	0
(f) Development of e-learning tools	0	300 000	300 000	0	0	0	0	0	0
4.4 Assistance to Parties through the development of toolkits, manuals and publications									
(a) Preparation of toolkits and manuals to assist in the implementation	0	300 000	300 000	0	110 000	37	110 000	108 030	1 970
(b) Publication of global, regional and national studies	0	120 000	120 000	0	0	0	0	0	0
(c) Preparation of policy recommendation report on novel tobacco products	0	50 000	50 000	0	50 000	100	50 000	0	50 000
(d) Preparation of policy recommendation options report on Article 17 and 18	0	50 000	50 000	0	80 500	161	80 500	80 500	0

Activity	Assessed Contributions (AC) approved	Extra-budgetary Contributions (EB) Approved	Total approved	Available AC	Available EB	% mobilized	Total Available Funds	Total Spent	Total Balance
4.5 Monitoring and evaluation									
(a) Monitoring and evaluation of projects and activities to assist Parties on the implementation of the WHO FCTC	0	100 000	100 000	0	449 467	449	449 467	449 467	0
5. Coordination with international and regional intergovernmental organizations and other bodies									
5.1. Promoting international cooperation including with IGOs and NGO observers to COP									
(a) Develop an operational plan on international cooperation by the Convention Secretariat	0	0	0	0	0	0	0	0	0
(b) Apply for observer status for all relevant international intergovernmental organizations (IGOs) for the COP; with a specific focus for the UN General Assembly (UNGA) and the United Nations Economic and Social Council (ECOSOC)	0	0	0	0	0	0	0	0	0
(c) Invite relevant IGOs to become observers to the COP	0	0	0	0	0	0	0	0	0
(d) Increase visibility of the Convention Secretariat	0	15 000	15 000	0	0	0	0	0	0
(e) Increase visibility of the Convention in meetings organized by civil society	0	15 000	15 000	0	7 320	49	7 320	7 320	0

Activity	Assessed Contributions (AC) approved	Extra-budgetary Contributions (EB) Approved	Total approved	Available AC	Available EB	% mobilized	Total Available Funds	Total Spent	Total Balance
(f) Increase visibility and activities in ECOSOC and UNGA to increase action on SDG agenda	0	10 000	10 000	0	0	0	0	0	0
(g) Promote international multisectoral approach to tobacco control	0	0	0	0	0	0	0	0	0
(h) Increase cooperation with other secretariats of UN treaty bodies including human rights treaties	0	10 000	10 000	0	70 000	700	70 000	0	70 000
5.2 Coordination with UNIATF and promote NCDs global agenda									
(a) Continue to implement multisectoral assistance activities to Parties in cooperation with members of the UN Interagency Task Force on the Prevention and Control of Noncommunicable Diseases (UNIATF) and the Global Coordinating Mechanism on NCDs (NCD Global Coordination Mechanism)	0	10 000	10 000	0	50 000	500	50 000	13 000	37 000
(b) Maintain working relationships concerning tobacco-related data exchange with UN agencies to assist with the monitoring and evaluation of progress made in WHO FCTC implementation and to strengthen implementation of the WHO FCTC	0	0	0	0	0	0	0	0	0
5.3 Action in support of SDG 3, Target 3.a									

Activity	Assessed Contributions (AC) approved	Extra-budgetary Contributions (EB) Approved	Total approved	Available AC	Available EB	% mobilized	Total Available Funds	Total Spent	Total Balance
(a) Awareness raising and resource mobilization for SDG 3, Target 3.a and Financing for Development Action Agenda	0	30 000	30 000	0	0	0	0	0	0
(b) Monitoring SDGs	0	110 000	110 000	0	0	0	0	0	0
6. Administration and management, and other arrangements and activities									
6.1 General administration and management									
(a) General administration, staff and finance management	60 000	0	60 000	60 000	0	100	60 000	60 000	0
(b) Resource mobilization	0	14 000	14 000	0	5 660	40	5 660	5 660	0
(c) Investment Trust Fund (ITF)	0	100 000	100 000	0	100 000	100	100 000	75 926	24 074
(d) Prepare portfolio of grant proposals	0	0	0	0	0	0	0	0	0
6.2. Awareness raising, publications, website and web applications and implementation of communications plan									
(a) Disseminate information to the WHO FCTC technical and official focal points and to observers to COP focal points	0	152 000	152 000	0	0	0	0	0	0
(b) Updating of the WHO FCTC website in the six WHO official languages	0	100 000	100 000	0	38 501	38.5	38 501	52 792	0
(c) Feeding WHO FCTC social media channels on Facebook, Twitter and YouTube	0	50 000	50 000	0	0	0	0	0	0
(d) Printing and disseminating technical WHO FCTC basic and technical publications	0	40 000	40 000	0	0	0	0	0	0
(e) Increase profile and visibility of the Convention internationally at conferences and other events	0	50 000	50 000	0	100 000	200	100 000	95 433	4567

Activity	Assessed Contributions (AC) approved	Extra-budgetary Contributions (EB) Approved	Total approved	Available AC	Available EB	% mobilized	Total Available Funds	Total Spent	Total Balance
(f) World No Tobacco Day (WNTD)	0	40 000	40 000	0	0	0	0	0	0
(g) Celebrating WHO FCTC anniversaries	0	84 000	84 000	0	41 864	50	41 864	41 864	0
(h) Printing and disseminating rules of procedure if amended by COP	0	10 000	10 000	0	0	0	0	0	0
(i) UN tobacco control newsroom	0	10 000	10 000	0	0	0	0	0	0
(j) Office equipment for the Secretariat including information technology devices	15 872	20 000	35 872	15 872	20 000	100	35 872	15 948	19 924

	AC approved	EB approved	Total approved	Available AC	Available EB	% mobilized	Total available funds	Total spent	Total balance
Staff	5 605 200	4 257 000	9 862 400	5 605 200	2 952 034	87	8 557 234	7 728 261	828 973

AC – Assessed Contributions

EB – Extra-budgetary Contributions

**ACCRUALS FROM THE 2018–2019 WORKPLAN AND BUDGET PAID
AGAINST THE 2020–2021 BIENNIUM (EXPRESSED IN US\$)**

Activity	Assessed Contributions	Extra-budgetary Contributions	Total
	Expenditures Jan 2020–Dec 2021	Expenditures Jan 2020–Dec 2021	Total expenditures Jan 2020–Dec 2021
1. Governance	-592	162	-430
2. Protocols, guidelines and other possible instruments for implementation of the Convention	21	3 541	3 562
3. Reporting under the WHO FCTC	-	22 042	22 042
4. Facilitate enhanced implementation of the WHO FCTC through the provision of technical assistance to Parties in implementing provisions of the Convention with particular focus on developing country Parties and Parties with economies in transition (including but not limited to activities of the FCTC 2030)	-2 730	14 975	12 245
5. Coordination with international and regional intergovernmental organizations and other bodies	-	-	-
6. Administration and management, and other arrangements and activities	-	63 970	63 970
Recovery costs	0	43 841	43 841
Total	-3 301	148 531	145 230

PART II. INTERIM PERFORMANCE REPORT FOR THE 2022–2023 WORKPLAN AND BUDGET (1 January 2022–31 December 2022)

BACKGROUND

63. The present report contains an update of the activities conducted by the Convention Secretariat to support implementation of the WHO FCTC from 1 January to 31 December 2022. The report follows the structure of the Workplan and Budget for the financial period 2022–2023 adopted at COP9 in decision FCTC/COP9(7) and is aligned with the Global Strategy, adopted in decision FCTC/COP8(16).

64. The Interim Statement of Income and Expenditure for the period 1 January 2022 to 31 December 2022 is included in Annex 3, immediately following the report contained in Part II of the present document. In relation to activity costs for the reported period, the interim description of expenditures for the period from 1 January to 31 December 2022 is contained in Annex 4, immediately following the report contained in Part II of the present document.

GOVERNANCE (PRE-COP ARRANGEMENTS AND CONFERENCE OF THE PARTIES)

65. An induction meeting for the Bureau elected by COP9 and the Bureau elected by MOP2 was held on 3 February 2022.

66. The First and Second meetings of the Bureau elected by COP9 was held on 25–26 April 2022 and 24–25 November 2022, respectively, both in person and virtually.

67. The Convention Secretariat conducted its first visit to Panama, the host country of COP10 and MOP3, on 4–8 April 2022, to view the venue and discuss logistical arrangements with the Government of Panama in relation to the organization of the sessions. A second visit was conducted on 13–18 November 2022, during which the host agreement for COP10 and MOP3 was signed on 17 November 2022 with the Ministry of Health, Panama.

STRATEGIC OBJECTIVE 1.1: GIVE PRIORITY TO ENABLING ACTION TO ACCELERATE WHO FCTC IMPLEMENTATION, INCLUDING EFFECTIVE FORMS OF TECHNICAL AND FINANCIAL ASSISTANCE TO SUPPORT PARTIES IN THE IDENTIFIED PRIORITY ACTION AREAS

Direct assistance to Parties

68. The Convention Secretariat offered intensive support through the FCTC 2030 project to 17 Parties eligible to receive official development assistance. Guided by the Global Strategy, the Convention Secretariat assisted these beneficiary countries in defining priorities and workplans for implementation of the WHO FCTC, and worked in close cooperation with WHO and UNDP to offer technical assistance to support countries to deliver their workplans.

69. The FCTC 2030 project officially concluded in Phase 1 countries on 31 March 2022. The Convention Secretariat participated in an event to formally close the FCTC 2030 project in Colombia on 11 May 2022. The Convention Secretariat conducted a needs assessment mission in Ghana during

the week of 12 September 2022. Technical support missions were also conducted in Fiji (15–18 November 2022), the Lao People’s Democratic Republic (16–18 November 2022) and the United Republic of Tanzania (12–16 December 2022). The Convention Secretariat also began preparations to conduct a needs assessment exercise in Mozambique, as well as technical missions to support accelerated WHO FCTC implementation in Mongolia and Montenegro, planned for 2023.

STRATEGIC OBJECTIVE 1.2: STRENGTHEN SYSTEMS FOR REGULARLY CAPTURING AND SHARING LESSONS AND EVIDENCE ON NEW, INNOVATIVE AND SUCCESSFUL MEANS OF IMPLEMENTING TOBACCO CONTROL MEASURES

Assistance to Parties by sharing lessons and evidence through South–South and Triangular cooperation

70. Through the FCTC 2030 project, the Convention Secretariat has continued to assist and facilitate engagement in South–South and Triangular cooperation to support WHO FCTC implementation. Through the FCTC 2030 project, South–South cooperation between Brazil and Mozambique had been facilitated in 2022.

Assistance to Parties through training, knowledge sharing and sharing of good practices and networking

71. The Convention Secretariat organized capacity-building activities, including: (1) a Workshop on Tobacco Taxation, delivered in partnership with the WHO FCTC Knowledge Hub on Tobacco Taxation and attended by 200 participants from over 80 countries, held 14–18 March 2022; and (2) two Workshops on Strategic Communication for WHO FCTC Implementation, held 23–24 March and 5–6 April 2022. Over 460 participants from over 80 countries attended the two workshops.

72. The Convention Secretariat, in partnership with WHO, provided support in the preparation of: (1) an update of the WHO Regional Office for the Americas’ virtual course titled Tobacco and Public Health: From Theory to Practice; (2) an e-learning course on enforcement of tobacco control legislation with the WHO Regional Office for Europe; and (3) a workshop on implementing health taxes and other fiscal measures for prevention of NCDs in the Pacific, which took place in November 2022.

73. Through the FCTC 2030 project, the Convention Secretariat, in partnership with WHO, organized a workshop on tobacco taxation for Tunisia on 13–14 October 2022. In collaboration with the WHO FCTC Knowledge Hub on Tobacco Taxation, the Convention Secretariat organized a virtual workshop on tobacco taxation for Mongolia on 28 November 2022.

74. The Convention Secretariat, in partnership with WHO, provided support in the preparation of a workshop on implementing health taxes and other fiscal measures for the prevention of NCDs in the Pacific, which took place in Fiji on 15–18 November 2022.

75. The ninth knowledge hub, the WHO FCTC Knowledge Hub for Article 12, hosted by France and Santé Publique France, was launched during an in-person ceremony held on 5 July 2022 in Paris. Managers of WHO FCTC Knowledge Hubs also met in a virtual meeting on 30 September 2022 to discuss issues related to their operation and coordination with the Convention Secretariat and the Parties. All WHO FCTC Knowledge Hubs were trained on the use of the new online platform. In addition, a

training session was held for the WHO FCTC Knowledge Hubs on WHO house style rules on 12 December 2022.

76. Upon request, the Convention Secretariat has continued to facilitate the use of pictorial health warnings by and among Parties, including through sharing images from relevant databases.

Assistance to Parties through the development of toolkits, manuals and publications

77. In terms of publications and resources, the Convention Secretariat finalized the publication of *Implementation practices in smokeless tobacco control*, including organizing a launch webinar on 6 October 2022.

78. In collaboration with UNDP, the Convention Secretariat published WHO FCTC Investment Cases for Tobacco Control for 18 Parties.

79. The Convention Secretariat provided a demonstration of the database of experts and institutions that can provide Parties, upon request, with technical assistance related to tobacco industry liability (in relation to decision FCTC/COP8(18)) at the Second meeting of the Bureau and is implementing the proposed adjustments agreed by the Bureau at that meeting. Work has been undertaken to develop a digital platform to contain information provided by Parties in relation to experts and institutions that could support Parties with implementation of Article 19 of the WHO FCTC, to be included under the new Coordination platforms section of the WHO FCTC website.

Support for Parties in fulfilling their reporting obligations and global progress reports on implementation of the Convention

80. A note verbale was sent to all Parties on 26 May 2022 announcing the 2023 reporting cycle. An information session was organized for Parties to the WHO FCTC on 28 November 2022 on practical details related to the preparation of the submission of the WHO FCTC implementation reports in the 2023 reporting cycle. Accordingly, the reporting instrument of the WHO FCTC, as well the accompanying step-by-step instructions, were updated for the 2023 reporting cycle.

81. The WHO FCTC implementation database, also containing the WHO FCTC implementation reports submitted by Parties to the Convention Secretariat as well as other information received from the Parties, began its migration from the untobaccocontrol.org website (which will be discontinued) to the new Coordination platforms section of the WHO FCTC website, which will be hosted on the WHO server.

82. The Convention Secretariat is currently working to further develop and improve the reporting system of the WHO FCTC, and a report will be submitted to COP10 on this matter.

STRATEGIC OBJECTIVE 2.1: MOBILIZE INTERNATIONAL, INTERGOVERNMENTAL AND DEVELOPMENTAL PARTNERS TO INTEGRATE THE WHO FCTC INTO THEIR WORK, AND/OR THEIR SDG RESPONSES

Promoting international cooperation including with international intergovernmental organization (IGO) observers to the COP

83. The Convention Secretariat submitted its report, *Strengthening synergies between the World Health Assembly and the Conference of the Parties to the WHO Framework Convention on Tobacco Control*, to the Seventy-fifth World Health Assembly, highlighting the outcomes of COP9 and MOP2. The Convention Secretariat attended the Seventy-fifth World Health Assembly and engaged with Parties to the WHO FCTC and the Protocol through several high-level meetings.

84. The Convention Secretariat continued to closely cooperate with WHO to promote synergistic work between both entities, for example, through strengthening collaboration with the WHO Department of Health Promotion and the Department of Environment, Climate Change and Health, as well as the WHO Gender, Equity and Human Rights Team, the WHO regional offices, and the WHO Office at the United Nations in New York. The Convention Secretariat has also participated in the WHO technical working group on small island developing States.

85. The Convention Secretariat participated in the Second meeting of the High-level Ministerial Group on the Control of Tobacco and Emerging Tobacco and Nicotine Products in the WHO Eastern Mediterranean Region, which took place on 10 October 2022.

86. Bilateral meetings were held with the World Customs Organization in March and August 2022 and with the United Nations Children's Fund and UNDP in June 2022. Bilateral meetings were also held in August 2022 with the United Nations Global Compact, the Southern African Customs Union, the United Nations Conference on Trade and Development, and the United Nations Office on Drugs and Crime.

87. The Convention Secretariat launched a joint campaign with the UNEP – the Clean Seas campaign – to highlight the issue of plastics pollution in cigarette filters, as well as a broader campaign focusing on tobacco and the environment, in line with the theme of World No Tobacco Day 2022. The Convention Secretariat organized a side event, in collaboration with WHO, on Tobacco Product Waste: A Threat to the Environment, on 10 June 2022, during the meetings of the conferences of the parties to the Basel, Rotterdam and Stockholm conventions. The Convention Secretariat participated in the ENSP 2022 European Conference on Tobacco Control on 7–8 July 2022 and organized a session titled “Tobacco Control: A Way Forward to Protect our Environment”. The Convention Secretariat also organized a side event highlighting the impact of tobacco on the environment on 17 November 2022 at the SDG Pavilion of the United Nations Climate Change Conference (COP27) held in Sharm el-Sheikh, Egypt. The Convention Secretariat was also invited to speak at a side event organized by WHO Egypt at the World Youth Forum Green Zone of COP27 and at a side event organized by the Turkish Green Crescent Society at the COP27 Türkiye Pavilion.

Coordination with UNIATF and promotion of NCD global agenda

88. The Convention Secretariat continued to engage actively with UNIATF through the Thematic Working Group on Tobacco Control, and contributed, as a member of the task force, to the 18th and 19th meetings of the UNIATF on 29–31 March 2022 and 1–3 November 2022,

respectively. The Convention Secretariat, together with members of the UNIATF, developed a toolkit for Article 17 of the WHO FCTC, which is in the process of being finalized for release. It also engaged with the WHO internal horizontal network for collective action to help countries accelerate structural transformation for SDG Target 3.4 (WHO WIN NCD Network) and the WHO Global Coordination Mechanism on the Prevention and Control of Noncommunicable Diseases.

89. The Convention Secretariat participated in several additional activities coordinated by WHO to promote global NCD prevention and control. The Convention Secretariat provided input to and worked with WHO colleagues – as part of a dedicated working group – on the updating of Appendix 3 of the WHO *Global Action Plan for the Prevention and Control of Noncommunicable Diseases 2013–2030* that was presented to the World Health Assembly in May 2022.

Action in support of SDG 3 Target 3.a

90. The Convention Secretariat organized a webinar on 17 November 2022 showcasing SDG Target 3.a in Parties' voluntary national reviews (VNRs) and to highlight the *Guide for WHO FCTC Parties on including SDG Target 3.a in voluntary national reviews*. This webinar informed the Parties on the most recent developments on the matter and further provided examples from the Parties on how Target 3.a could be referred to in VNRs.

91. The Convention Secretariat provided support for the submission of the President of the COP to the thematic review of the 2022 United Nations High-level Political Forum on Sustainable Development on the theme Building back better from the coronavirus disease (COVID-19) while advancing the full implementation of the 2030 Agenda for Sustainable Development.

Communications plan on the Global Strategy

92. A page dedicated to the Global Strategy, available in the six official United Nations languages, was created on the WHO FCTC website.

Coordination platform

93. The Coordination platform dedicated to the WHO FCTC, aimed at compiling technical resources and tools to assist Parties with implementation of WHO FCTC was enhanced; it now includes an announcement board, a space for Parties to request and offer assistance, webpages of the WHO FCTC Knowledge Hubs, and databases with resources and information on specific areas of the Convention.

STRATEGIC OBJECTIVE 2.2: CATALYSE AND LEVERAGE THE CONTRIBUTIONS OF EXTERNAL STAKEHOLDERS, PARTICULARLY CIVIL SOCIETY, TO ACHIEVE THE AIMS OF THE CONVENTION

Promoting international cooperation including with nongovernmental organization (NGO) observers to the COP

94. The Convention Secretariat organized virtual meetings with NGO observers to the COP and the MOP on 29 June 2022 and 8 December 2022 respectively, with the objective of facilitating stronger cooperation and increasing collaboration in the implementation of the Convention.

STRATEGIC OBJECTIVE 3.2: SUPPORT AND ENCOURAGE PARTIES IN THEIR EFFORTS TO REMOVE BARRIERS TO COUNTRY-LEVEL TOBACCO CONTROL EFFORTS

Support and encourage Parties in their efforts to remove barriers to country-level tobacco control efforts

95. The Head of Convention Secretariat continued to engage with Parties to build political support for implementation of the WHO FCTC at high levels. For example, during the Seventy-fifth World Health Assembly, she held strategic bilateral discussions with 10 Parties. She also undertook a high-level mission to Kazakhstan in May 2022 and another mission to the WHO Region of the Americas in June 2022.

Article 5.3 of the WHO FCTC

96. The Convention Secretariat further promoted the uptake of the *Model Policy for United Nations Agencies on Preventing Tobacco Industry Interference* through work at UNIATF. The Convention Secretariat has established and coordinated an Alert Network for Tobacco Industry Involvement (ANTII) within UNIATF to alert United Nations entities to potential affiliation with the tobacco industry at United Nations or other events, and to support United Nations entities in addressing and further preventing such situations.

97. In collaboration with the Knowledge Hub for Article 5.3 of the WHO FCTC, the Convention Secretariat organized a virtual workshop for Parties in December 2022.

98. In accordance with decision FCTC/COP8(18), the Convention Secretariat continued to work with the Knowledge Hub for Article 5.3 of the WHO FCTC to set up a Resource Database on Tobacco Industry Interference.

99. Several Parties receiving support through the FCTC 2030 project have included implementation of Article 5.3 of the WHO FCTC as a priority area in their work programmes. Technical assistance has been mobilized to support the inclusion of Article 5.3 in national legislation and the preparation of codes of conduct for public officials.

OPERATIONAL OBJECTIVE 1: SUSTAINABLE FINANCING – MANAGE THE FINANCES OF THE CONVENTION TO MAXIMIZE ITS EFFICIENCY AND EFFECTIVENESS AND FIND NEW REVENUE STREAMS TO SUPPORT WHO FCTC IMPLEMENTATION ACTIVITIES

100. The Convention Secretariat continued to make efforts to collect Assessed Contributions and followed up with Parties to settle arrears in the payment of Assessed Contributions. Regular updated information in that regard was made available on the WHO FCTC website.

101. The Convention Secretariat has finalized a comprehensive fundraising strategy which helps to guide its fundraising efforts through engagement with donors and partners. Extra-budgetary Contributions were mobilized from Canada and Panama. A dedicated page on the WHO FCTC website has been set up to heighten donor visibility.

102. Work has been completed to develop the Global Strategy indicator on the global funding gap for implementation of the WHO FCTC through development of the methodology and calculation of the funding gap.

OPERATIONAL OBJECTIVE 2: OPERATIONAL EFFECTIVENESS: PROMOTE OPTIMAL OPERATION OF THE COP AND THE CAPACITY AND ABILITY OF THE CONVENTION SECRETARIAT TO PROVIDE SUPPORT TO THE COP AND TO THE PARTIES IN THEIR IMPLEMENTATION, MONITORING AND REPORTING WORK

103. The Head of the Convention Secretariat continued to promote personnel development and emphasize the need for transparency, while fostering accountability and a positive working environment. The Convention Secretariat has ensured that proper budgetary planning is aligned with human resources planning and that positions are filled and/or extended in a timely manner.

104. The WHO FCTC website has been continuously updated in the six United Nations official languages, while social media accounts on Twitter, Facebook and YouTube have been promoted, attracting a growing number of followers. The Head of the Convention Secretariat has given media interviews to explain the role and work of the Convention Secretariat and has written a number of articles and editorials¹ to promote the WHO FCTC, as well as participated as a panellist and contributed as a moderator in various webinars. A web information architecture specialist has been hired to provide recommendations to help improve the user-friendliness of the WHO FCTC website.

105. The Convention Secretariat has developed a Photo Library similar to WHO's Photo Library² in order to have a digital archive of photographs related to the history of the Convention.

¹ Available at <https://fctc.who.int/secretariat/head-of-the-secretariat>.

² Available at <https://photos.hq.who.int>.

ANNEX 3

**INTERIM STATEMENT OF INCOME AND EXPENDITURE FOR THE PERIOD
1 JANUARY TO 31 DECEMBER 2022 (EXPRESSED IN US\$)**

		Total
Opening balance, 1 January 2022		13 350 687
Revenue		
Assessed Contributions (AC) COP9 approved	8 801 093	
Extra-budgetary Contributions (EB)	2 902 661	
Total revenue		25 054 441
Expenses	6 282 513	
Recovery costs	332 676	
Total expenses		6 615 189
Fund balance at 31 December 2022		18 439 252
Less		
Receivable from AC prior biennia (2006–2021)	-995 915	
Reserve for non-payment AC 2022–2023 (2%)	-176 022	-1 171 937
Funds available, as at 31 December 2022		17 267 315

ANNEX 4

**WORKPLAN AND BUDGET FOR THE FINANCIAL PERIOD 2022 – 2023 ACTIVITY COSTS:
INTERIM DESCRIPTION OF EXPENDITURES FOR THE PERIOD FROM 1 JANUARY TO 31 DECEMBER 2022 (EXPRESSED IN US\$)**

	Assessed Contribution (AC)		Extra-budgetary Contributions (EB)		Total	
	Approved	Expenditures Jan–Dec 2022	Approved	Expenditures Jan–Dec 2022	Total Approved	Total Expenditures Jan–Dec 2022
1.1 Strategic Objective 1.1: Give priority to enabling action to accelerate WHO FCTC implementation, including effective forms of technical and financial assistance to support Parties in the identified priority action areas	0	0	3 600 000	1 801 608	3 600 000	1 801 607
1.2 Strategic Objective 1.2: Strengthen systems for regularly capturing and sharing lessons and evidence on new, innovative and successful means of implementing tobacco control measures	75 000	10 971	290 000	176 689	365 000	187 660
2.1 Strategic Objective 2.1: Mobilize international, intergovernmental and developmental partners to integrate the WHO FCTC into their work, and/or their Sustainable Development Goals (SDGs) responses	96 975	27 051	448 025	174 139	545 000	201 190
2.2 Strategic Objective 2.2: Catalyse and leverage the contributions of external stakeholders, particularly civil society, to achieve the aims of the Convention	0	0	65 000	5 559	65 000	5 559
3.1 Strategic Objective 3.1: Improve the governance and administrative mechanisms of the WHO FCTC to ensure that all WHO FCTC-related activities undertaken are prioritized, effective and sustainable, and insulated from any influence by the tobacco industry	0	0	0	0	0	0

	Assessed Contribution (AC)		Extra-budgetary Contributions (EB)		Total	
	Approved	Expenditures Jan–Dec 2022	Approved	Expenditures Jan–Dec 2022	Total Approved	Total Expenditures Jan–Dec 2022
3.2 Strategic Objective 3.2: Support and encourage Parties in their efforts to remove barriers to country-level tobacco control efforts	0	0	2 340 000	56 253	2 340 000	56 253
4.1 Operational Objective 1: Sustainable Funding – Manage the finances of the Convention to maximize its efficiency and effectiveness and find new revenue streams to support WHO FCTC implementation activities	5 000	418	64 000	81 694	69 000	82 112
4.2 Operational Objective 2: Operational Effectiveness – Promote optimal operation of the COP and the capacity and ability of the Convention Secretariat to provide support to the COP and to the Parties in their implementation, monitoring and reporting work	1 505 328	168 956	717 168	0	2 222 496	168 956
Total activity costs	1 682 303	207 396	7 524 193	2 295 942	9 206 496	2 503 337
Staff costs	6 106 275	2 925 358	1 591 380	664 791	7 697 655	3 590 150
Total activity and staff costs	7 788 578	3 132 754	9 115 573	2 960 733	16 904 151	6 093 487
Recovery costs	1 012 515	0	1 185 024	323 721	2 197 539	323 721
Total	8 801 093	3 132 754	10 300 597	3 284 454	19 101 690	6 417 208

DESCRIPTION OF EXPENDITURES

Activity	AC approved	EB approved	Total approved	Total AC spent	Total EB spent	Total spent
1.1.1.1 Provide needs assessment missions upon request	0	320 000	320 000	0	214 294	214 294
1.1.1.2 Provide technical assistance to Parties on Article 5.1 (develop costed national tobacco control strategies), upon request	0	320 000	320 000	0	104 138	104 138
1.1.1.3 Provide technical assistance to Parties on the Articles most important in the national context, upon request	0	160 000	160 000	0	18 827	18 827
1.1.2.1 Provide technical assistance to Parties on Article 6, upon request (including coordination with stakeholders and partners that can provide tobacco taxation advice to Parties)	0	800 000	800 000	0	226 525	226 525
1.1.3.1 Provide technical assistance to Parties on Articles 8, 11 and 13, upon request	0	1 200 000	1 200 000	0	855 910	855 910
1.1.3.2 Prepare materials (including e-learning tools, toolkits, policy briefs and manuals) to assist in the implementation of the WHO FCTC and maintain existing tools	0	200 000	200 000	0	46 970	46 970
1.1.3.3 Organize workshops to address specific challenges identified by Parties in the implementation of the WHO FCTC and to engage in South–South and Triangular cooperation	0	600 000	600 000	0	248 507	248 507
1.2.1.1 Assist and facilitate interested partners in South–South and Triangular cooperation	0	0	0	0	0	0
1.2.2.1 Coordinate the work of the existing Knowledge Hubs and their provision of assistance to Parties in line with COP decisions	0	140 000	140 000	0	0	0

Activity	AC approved	EB approved	Total approved	Total AC spent	Total EB spent	Total spent
1.2.2.2 Establish new Knowledge Hubs in line with COP decisions	0	100 000	100 000	0	0	0
2.1.1.1 Implement the Convention Secretariat's operational plan on international cooperation	10 000	90 000	100 000	2 127	27 783	27 783
2.1.1.2 Produce advocacy materials for WHO country offices to promote the inclusion of WHO FCTC in their Country Cooperation Strategy (CCS)	0	10 000	10 000	0	556	556
2.1.2.1 Organize side events during the relevant meetings of United Nations agencies	10 000	10 000	20 000	2 308	0	2 308
2.1.2.2 Disseminate the Guide for WHO FCTC Parties on including SDG Target 3.a in Voluntary National Reviews (VNRs)	0	100 000	100 000	0	17 267	17 267
2.1.2.3 Provide contributions to pertinent resolutions of relevant United Nations agencies	0	0	0	0	0	0
2.1.3.1 Participate in the United Nations Inter-agency Task Force on NCDs (UNIATF) and the Global Coordination Mechanism on NCDs (GCM/NCD)	5 000	20 000	25 000	0	10 319	10 319
2.1.4.1 Stimulate applications from IGOs and other relevant institutions for observer status	0	0	0	0	0	0
2.1.5.1 Continue implementation of the Corporate Communications Strategy	66 975	218 025	285 000	20 882	121 036	141 918
2.2.1.1 Reach out to new nongovernmental organizations (NGOs) to apply for observer status to the COP	0	0	0	0	0	0
2.2.1.2 Participating in key global and regional conferences and events organized by civil society such as World Conference on Tobacco or Health	0	50 000	50 000	0	5 559	5 559
2.2.1.3 Organize meetings for NGOs to discuss plans of cooperation	0	5 000	5 000	0	0	0

Activity	AC approved	EB approved	Total approved	Total AC spent	Total EB spent	Total spent
2.2.1.4 Co-organize or involve NGOs in workshops, training or awareness raising events	0	10 000	10 000	0	0	0
2.2.2.1 Operate and maintain the Coordination Platform	0	50 000	50 000	0	113 430	113 430
2.2.3.1 Organize virtual meetings with Parties and researchers to identify research gaps (particularly in priorities set out in the Global Strategy)	0	0	0	0	0	0
3.1.1.1 Align agendas, workplans and budgets of the COP with the Global Strategy	0	0	0	0	0	0
3.1.3.1 Collect and process DOI forms and perform due diligence, as appropriate	0	0	0	0	0	0
3.2.1.1 High-level engagement by the Head of the Secretariat with Parties to build political support for WHO FCTC implementation	5 000	15 000	20 000	1 734	19 402	21 136
3.2.2.1 Provide technical assistance to Parties on Article 5.2 (establishment of national coordinating mechanism) upon request	0	400 000	400 000	0	53 330	53 330
3.2.3.1 Assist Parties to develop investment cases for tobacco control	0	800 000	800 000	0	79 917	79 917
3.2.4.1 Provide technical assistance to Parties on Article 5.3 upon request	0	400 000	400 000	0	56 253	56 253
3.2.4.2 Establish and assist ongoing projects of tobacco industry monitoring at the national level including observatories	0	50 000	50 000	0	0	0
3.2.4.3 Implement the communications plan for Article 5.3 as per COP decision FCTC/COP8(18)	0	25 000	25 000	0	0	0
3.2.4.4 Promote and follow up on the uptake of the Model Policy for the United Nations System on Preventing Tobacco Industry Interference	0	0	0	0	0	0

Activity	AC approved	EB approved	Total approved	Total AC spent	Total EB spent	Total spent
3.2.5.1 Develop a global investment case for tobacco control	0	200 000	200 000	0	0	0
3.2.5.2 Implement workshops/webinars on reporting	0	450 000	450 000	0	0	0
3.2.5.3 Conduct the biennial reporting cycle in 2023	15 000	0	15 000	10 971	0	10 971
3.2.5.4 Develop the 2023 Global Progress Report	60 000	0	60 000	0	0	0
4.1.1 Management and administration of the Investment Fund, subject to approval by the COP	0	50 000	50 000	0	75 500	75 500
4.1.2 Implement a fundraising plan as per COP decision FCTC/COP7(25)	5 000	14 000	19 000	418	6 194	6 612
4.1.3 Follow up on collection of Assessed Contributions	0	0	0	0	0	0
4.2.1.1 Provide general management and administration to the Convention Secretariat	50 000	0	50 000	34 000	0	34 000
4.2.1.2 Conduct strategic planning and team-building retreats for the Convention Secretariat	10 000	0	10 000	0	0	0
4.2.2 Organize the Tenth Session of the Conference of the Parties (COP10)	1 171 163	427 168	1 598 331	40 645	0	40 645
4.2.3 Organize pre-COP regional meetings	0	240 000	240 000	0	0	0
4.2.4 Prepare and support the work of the Bureau and convene meetings, including through videoconferences and teleconferences	274 165	0	274 165	94 311	0	94 311
4.2.5 Support the work of the Expert Group on Articles 9 and 10 during the intersessional period, if decided by the COP	0	50 000	50 000	0	0	0

**ACCRUALS FROM THE 2020–2021 WORKPLAN AND BUDGET PAID
AGAINST THE 2022–2023 BIENNIUM (EXPRESSED IN US\$)**

	Assessed Contributions	Extra-budgetary Contributions	Total
Activity	Expenditures Jan 2022–Dec 2022	Expenditures Jan 2022–Dec 2022	Expenditures Jan 2022–Dec 2022
1.1 Strategic Objective 1.1: Give priority to enabling action to accelerate WHO FCTC implementation, including effective forms of technical and financial assistance to support Parties in the identified priority action areas	0	167 947	167 947
2.1 Strategic Objective 2.1: Mobilize international, intergovernmental and developmental partners to integrate the WHO FCTC into their work, and/or their Sustainable Development Goals (SDGs) responses	200	0	200
4.2 Operational Objective 2: Operational Effectiveness – Promote optimal operation of the COP and the capacity and ability of the Convention Secretariat to provide support to the COP and to the Parties in their implementation, monitoring and reporting work	19 023	1 856	20 879
Recovery costs	0	8 955	8 955
TOTAL	19 223	178 758	197 981

PART III. GLOBAL STRATEGY TO ACCELERATE TOBACCO CONTROL: ADVANCING SUSTAINABLE DEVELOPMENT THROUGH THE IMPLEMENTATION OF THE WHO FCTC 2019–2025

106. At its seventh session, the COP adopted decision FCTC/COP7(13), which established a working group to develop a medium-term strategic framework (MTSF) to guide the development of biennial workplans, budgets and implementation support for consideration by the COP.

107. As reported in document FCTC/COP8/11, considered at COP8, the Working Group met twice and benefited from the input of the Parties to the WHO FCTC during three rounds of consultations.

108. As highlighted in that report, the Working Group members shared the view that the strategy should be high-level and strategic in nature, and that it should not include a “wish list of action” or a rigid action plan. Instead, the draft strategy that had been submitted for consideration by the COP set a clear direction for implementation, while workplans and budgets would determine specific aspects of implementation.

109. The Working Group indicated that “the value of a treaty’s strategic plan lies in its ability to: (1) articulate a shared vision of the Parties to the treaty in relation to the treaty’s implementation; (2) rally all Parties to adopt shared goals and objectives, set priority areas of focus for the implementation of the treaty for a stated period of time, identify areas for improvement and focus, and target existing resources to where they are most important; and (3) provide a focus for the mobilization of resources (for example, as a basis for fund-raising efforts)”.

110. The draft MTSF, referred to as the *Global Strategy to Accelerate Tobacco Control: Advancing Sustainable Development through Implementation of the WHO FCTC 2019–2025*, was adopted by COP8 in decision FCTC/COP8(16). The Global Strategy is intended to guide the implementation of the WHO FCTC, supported by collective principles and priorities, and to guide discussions at the COP with a focus on implementation of the treaty.

SUMMARY OF CURRENT STATUS AND OPTIONS FOR A POSSIBLE EXTENSION OF THE GLOBAL STRATEGY

111. The COP Workplan and Budget for the financial period 2022–2023, as adopted in decision FCTC/COP9(7), and the proposed COP Workplan and Budget for the financial period 2024–2025, to be considered at COP10 (document FCTC/COP/10/17), is fully aligned with the priorities outlined in the Global Strategy.

112. An indicator compendium, describing the indicators to monitor progress used in the Global Strategy, has been developed. Baseline data for these indicators have been collated in the period leading up to the preparation of the *2021 Global Progress Report on Implementation of the WHO FCTC*. The baseline data for each of the 20 indicators were collated in accordance with the methods of measurement described in the indicator compendium and were published in the *2021 Global Progress Report*. Work is ongoing to undertake a critical analysis of the experience of collecting the baseline data for the Global Strategy indicators.

113. As part of the Agenda Item 21.1 at the Seventy-second World Health Assembly,¹ the Convention Secretariat invited senior leadership at all levels of WHO – headquarters, regional offices and country offices – to consider in their future planning exercises the alignment of their workplans and support with the Global Strategy in order to better serve Member States that are party to the WHO FCTC. For example, the Western Pacific Region’s *Regional Action Plan for Tobacco Control in the Western Pacific (2020–2030)* is well aligned with the Global Strategy.

114. As the current cycle of the Global Strategy is scheduled to end in 2025, this is an opportune time to discuss the possibility of a next iteration of the Global Strategy.

115. At the Third meeting of the Bureau elected by COP9, the Bureau discussed the potential extension of the duration of the Global Strategy by another five years until 2030. The COVID-19 pandemic has likely disrupted progress in tobacco control and subsequent implementation of the WHO FCTC and its Global Strategy. The five-year extension would provide an opportunity for Parties to recalibrate and to focus efforts to accelerate implementation of the treaty. This would also align the Global Strategy with the timeline of the *2030 Agenda for Sustainable Development* and the Sustainable Development Goals. Examples of strategies that have been extended include the WHO Thirteenth General Programme of Work that was extended from 2023 to 2025² and the WHO *Global Action Plan for the Prevention and Control of Noncommunicable Diseases*, which was extended from 2020 to 2030.³ This option would not have financial implications.

116. The Bureau noted that the strategic objectives in the Global Strategy remained relevant, and that it would be more efficient to continue to work towards the same goals. The Bureau recommended that the Global Strategy be extended to 2030 to ensure coherence with the *2030 Agenda for Sustainable Development*. The Bureau considered possible arrangements to extend the Global Strategy with minimal financial implications and sufficient flexibility to monitor its relevance for the next five years. In that regard, the Bureau recommended that an advisory group of experts and stakeholders involved in the development of the Global Strategy could be consulted by the Convention Secretariat to assess the Global Strategy and provide suggestions concerning any adjustments, as necessary. Should COP10 adopt the proposed approach, the Bureau elected by COP10 would advise on how to undertake consultation with relevant experts and stakeholders in the process of extending the duration of the Global Strategy. Such approach would be in line with decision FCTC/COP8(16), which adopted the Global Strategy as a “living document to be reviewed as necessary”.

ACTION BY THE CONFERENCE OF THE PARTIES

117. The COP is invited:

- (a) to note the performance and progress reports for the financial period 2020–2021 and the financial period 2022–2023; and
- (b) to consider adopting the draft decision contained in Annex 5 of the present report, to extend the Global Strategy, as recommended by the Bureau.

¹ Available at https://apps.who.int/gb/ebwha/pdf_files/WHA72/A72_57-en.pdf.

² Resolution WHA75.6

³ Decision WHA72(11)

ANNEX 5

**DRAFT DECISION:
GLOBAL STRATEGY TO ACCELERATE TOBACCO CONTROL:
ADVANCING SUSTAINABLE DEVELOPMENT THROUGH THE
IMPLEMENTATION OF THE WHO FCTC 2019–2025**

The Conference of the Parties (COP),

Recalling Article 23.5 of the WHO Framework Convention on Tobacco Control (WHO FCTC), which stipulates that the COP shall keep under regular review the implementation of the Convention and take the decisions necessary to promote its effective implementation;

Recalling also decision FCTC/COP7(13), which established a working group to develop a medium-term strategic framework (MTSF) to guide the development of biennial workplans, budgets and implementation support for consideration by the COP;

Recalling further decision FCTC/COP8(16), in which the COP adopted the MTSF, also known as the *Global Strategy to Accelerate Tobacco Control: Advancing Sustainable Development through Implementation of the WHO FCTC 2019–2025*, as a living document to be reviewed as necessary;

Recognizing that the Global Strategy guides Parties in setting national priorities and developing programmes and plans for the implementation of the WHO FCTC, as well as in monitoring these using indicators proposed in the Global Strategy;

Noting report FCTC/COP/10/16, in which the Bureau recommends the extension of the Global Strategy, considering the relevance of its objectives for the Parties and to align the Global Strategy with the timeline of the *2030 Agenda for Sustainable Development*,

1. DECIDES to extend the Global Strategy until 2030 to ensure coherence and alignment with the *2030 Agenda for Sustainable Development*;
2. REQUESTS the Convention Secretariat:
 - (a) under the guidance of the Bureau, to consult a group of experts and stakeholders, such as those involved in the development of the Global Strategy, in order to assess the Global Strategy as a “living document to be reviewed as necessary” and provide suggestions concerning any necessary adjustments;
 - (b) to report, on a biennial basis, on progress in the implementation of the extended Global Strategy, as part of its regular biennial global reports on the implementation of the Convention.

(X plenary meeting, XX November 2023)

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