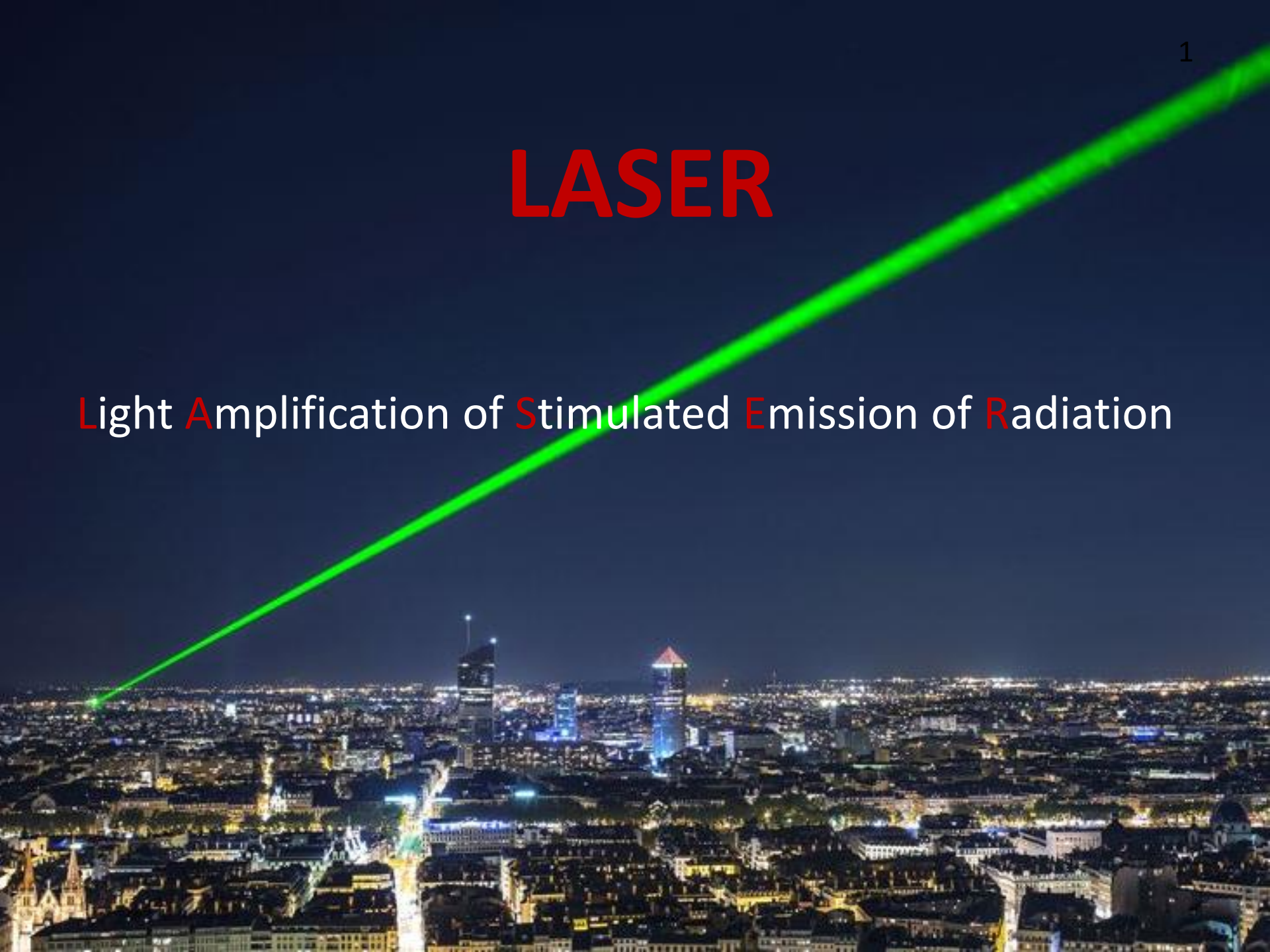


LASER

Light **A**mplification of **S**timulated **E**mission of **R**adiation



Semiconductor Optoelectronics and Dynamics Lab

- Cheng WANG
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- Email: wangcheng1@shanghaitech.edu.cn
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- Website: <http://shanghaitech-sist-chengwang.myfreesites.net/>
- Research field:
 - ✓ Quantum structured semiconductor lasers
 - ✓ High-speed optical communications
 - ✓ Advanced Lidar
 - ✓ Gas spectroscopy
- Courses:
 - ✓ Principles of Lasers (undergraduate)
 - ✓ Optoelectronics Devices (graduate)

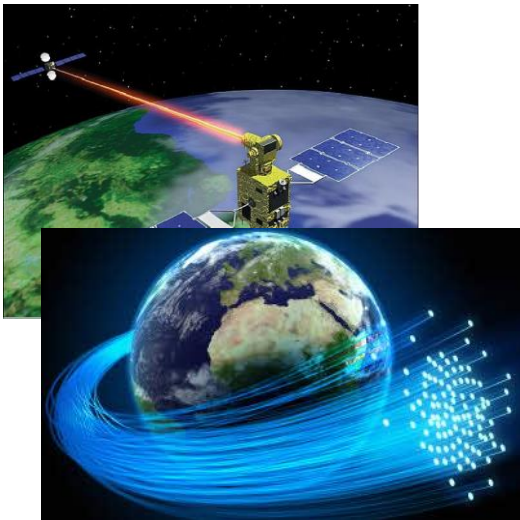
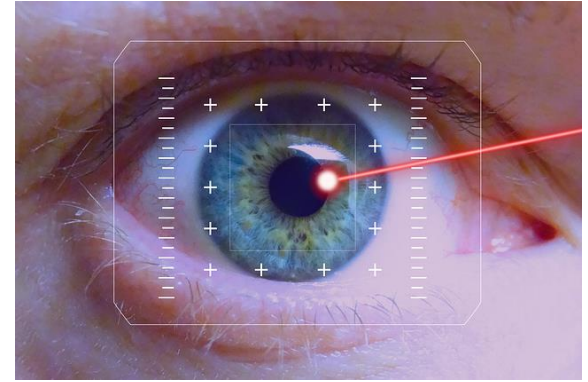


- ❑ Laser concept
- ❑ Laser properties
- ❑ Laser history



Lasers in our life

4



<https://youtu.be/D0DbgNju2wE>



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Electromagnetic spectrum

5

Electromagnetic spectrum			
Name	Wavelength	Frequency (Hz)	Photon energy (eV)
Gamma ray	< 0.02 nm	> 15 EHz	> 62.1 keV
X-ray	0.01 nm – 10 nm	30 EHz – 30 PHz	124 keV – 124 eV
Ultraviolet	10 nm – 400 nm	30 PHz – 750 THz	124 eV – 3 eV
Visible light	390 nm – 750 nm	770 THz – 400 THz	3.2 eV – 1.7 eV
Infrared	750 nm – 1 mm	400 THz – 300 GHz	1.7 eV – 1.24 meV
Microwave	1 mm – 1 m	300 GHz – 300 MHz	1.24 meV – 1.24 μ eV
Radio	1 mm – 100,000 km	300 GHz – 3 Hz	1.24 meV – 12.4 feV

Ref: Wikipedia

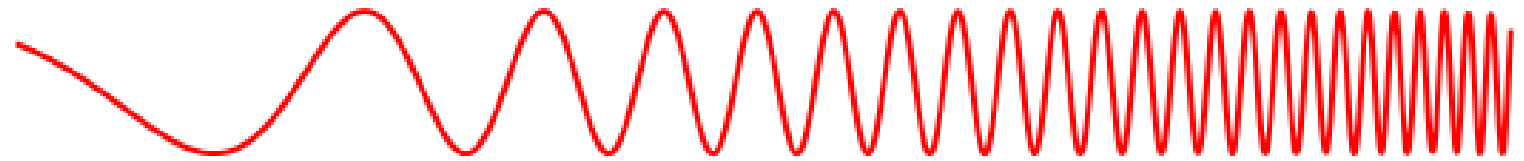


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Electromagnetic spectrum

6

Penetrates Earth's Atmosphere?



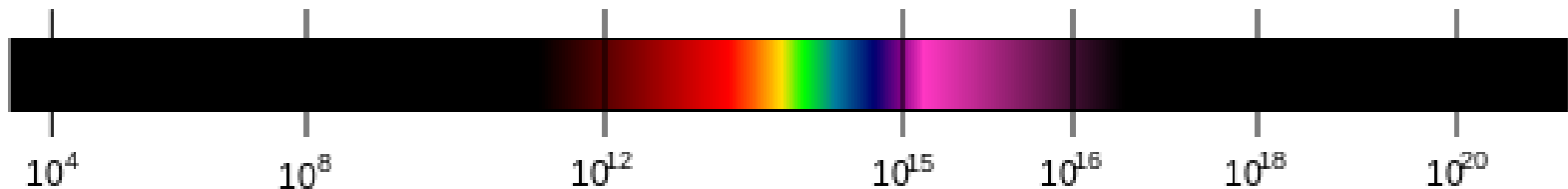
Radiation Type
Wavelength (m)



Approximate Scale
of Wavelength



Frequency (Hz)



- ❑ A photon is an elementary particle, the quantum form of light, it has energy, momentum, and mass.
- ❑ Photon exhibits wave-particle duality:waves and particles.
 - The nature of waves shows frequency, phase, polarization, etc.
 - The nature of particles shows energy, momentum, mass, etc.
- ❑ Photon energy is related to the frequency

$$E = h\nu$$

- ❑ Photon has moving mass, while the rest mass is zero

$$m = E / c^2$$



- The photon momentum is given by

$$\vec{P} = mc\vec{e} = \frac{E}{c}\vec{e} = \frac{h\nu}{c}\vec{e} = \frac{h}{2\pi} \frac{2\pi}{\lambda}\vec{e} = \hbar\vec{k}$$

Wave number $k = 2\pi / \lambda$

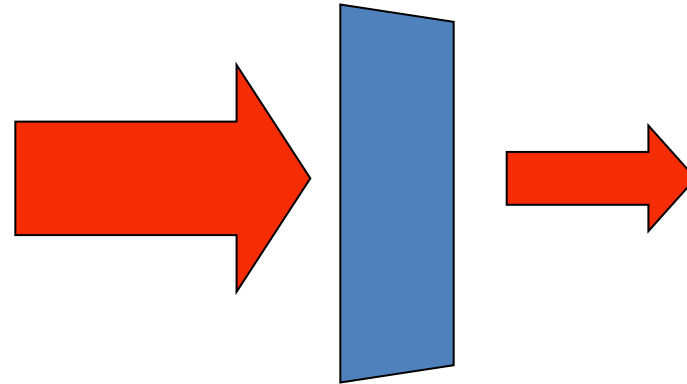
- Photon has two independent polarizations, corresponding to the two polarizations of optical waves.
- Photon has spin, and the quantum number of spin is integer.



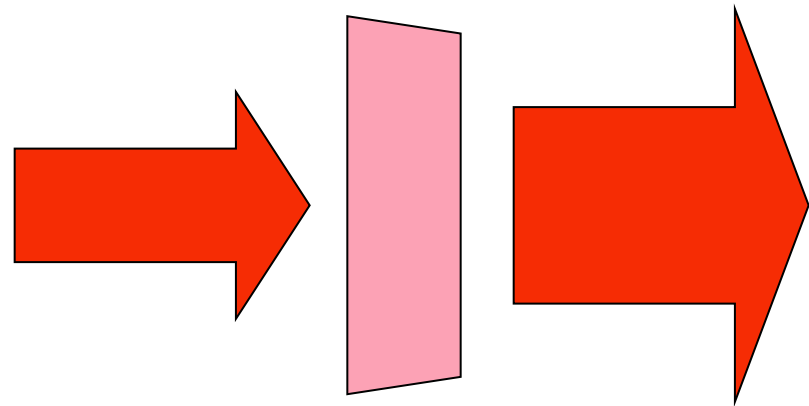
- ❑ The statistics of a large number of photons obey the **Bose-Einstein statistics**, that is, for a certain quantum state, there is no limitation of the photon number.
- ❑ In contrast, electrons, protons, neutrons obey the **Fermi Dirac statistics**, that is, one quantum state can not have two identical fermions (**Pauli exclusion principle**)



When light passes through materials it is usually **absorbed**.



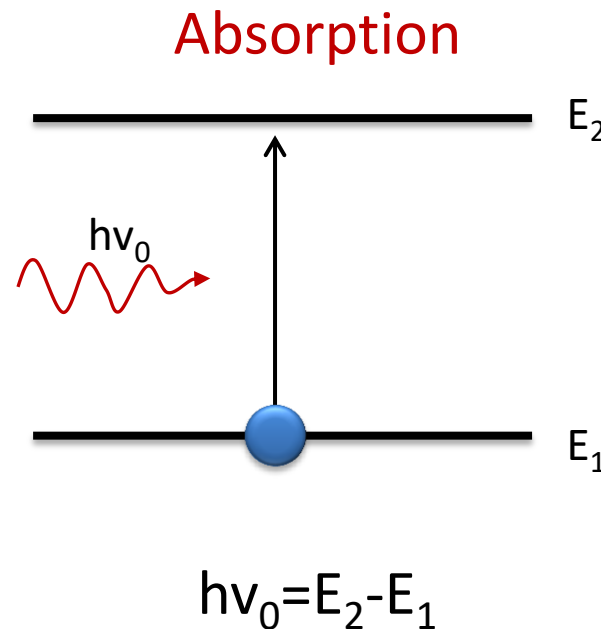
In certain circumstances light may be **amplified**. This is called the material has “**gain**” (**negative absorption**), which is the basis of laser action



Photon absorption process

11

Consider two energy levels of some atom (or molecule) of a material. Assume the atom is initially in level 1.



The photon energy is the same as the energy difference between the two energy levels.

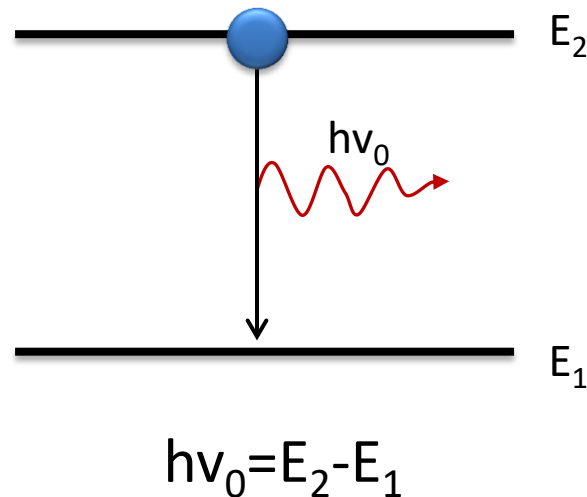


Spontaneous emission process

12

Assume the atom is initially in level 2.

Spontaneous emission



The emitted photons are different from each other (in amplitude, frequency, phase, polarization, direction)

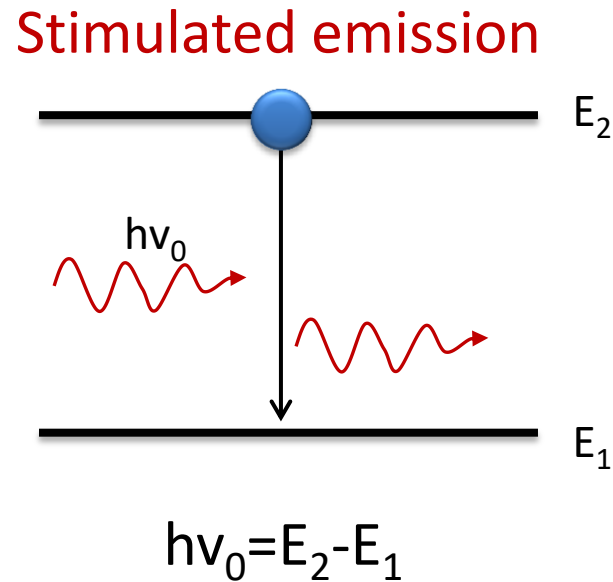


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Stimulated emission process

13

Assume the atom is initially in level 2.

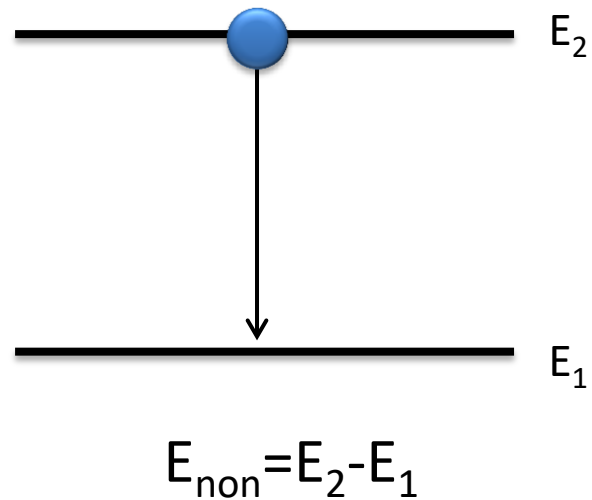


The emitted photon is exactly the same as the incident photon (in amplitude, frequency, phase, polarization, direction)



Assume the atom is initially in level 2.

Nonradiative transition



The lost energy is delivered in some form of energy other than e.m. radiation, such as kinetic energy, thermal energy, or phonon, etc.

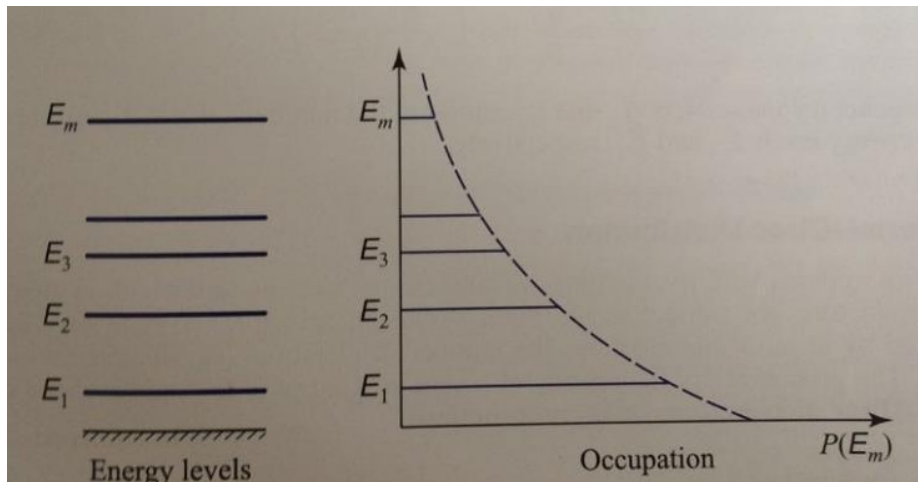


What is the statistics of carrier distributions in a medium, naturally?

❑ **Thermal equilibrium:** A system is said to be in thermal equilibrium if the temperature within the system is spatially and temporally uniform (constant), where the motion of atoms reach a steady state, and the atom fluctuations are, on average, invariant to time.



□ In thermal equilibrium, the populations in energy levels is determined by **Boltzmann statistics**.



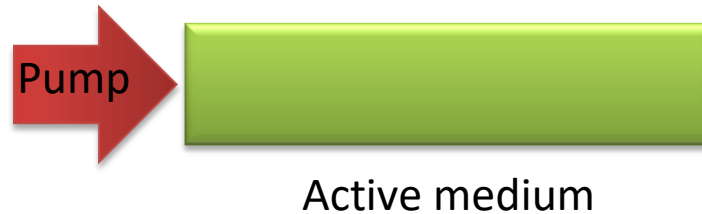
$$P(E_m) = \frac{N_m}{N_T} \propto \exp(-E_m / kT)$$
$$\Rightarrow \frac{N_2}{N_1} = \exp\left(-\frac{E_2 - E_1}{kT}\right)$$

$$kT = 25.7 \text{ meV @298 K}$$

□ Under thermal equilibrium, the material gain is **always** negative, as an absorber.

$$N_2 < N_1 \Rightarrow g = \sigma (N_2 - N_1) < 0$$

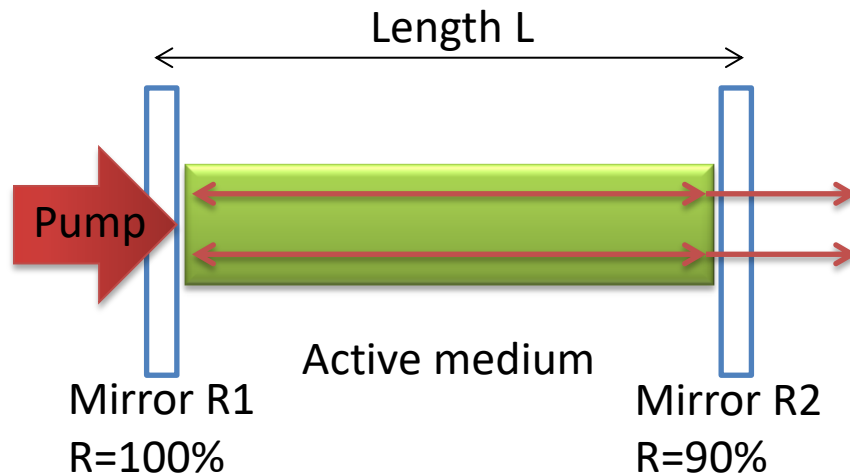
How to have a positive gain?



- ❑ In the non-equilibrium condition, it is possible to achieve a positive gain, then the material will act as an amplifier.
- ❑ In this case, $N_2 > N_1$, it is said there is population inversion in the material.
- ❑ The material with population inversion is called active material/medium.
- ❑ The population inversion can be achieved by the pumping process, either using electrical pumping scheme or the optical pumping scheme.



How to make a laser oscillator?



Laser components:

- ✓ Active medium
- ✓ Resonant cavity (mirrors)
- ✓ Pump source

❑ In order to achieve a laser oscillator from an amplifier, a suitable positive feedback is required. This feedback is usually achieved by placing the active medium between two highly parallel reflecting mirrors.



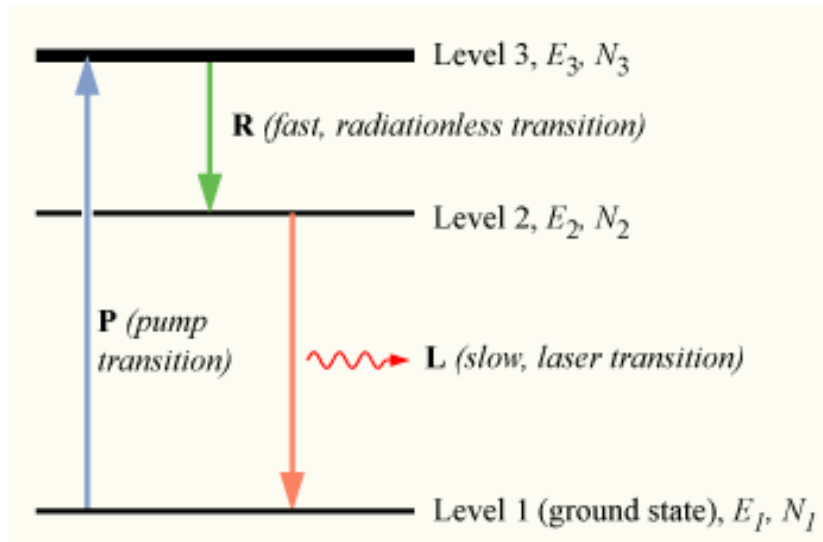
How to get laser emission from a laser oscillator?

- ❑ To achieve laser emission, the gain g of the medium has to overcome the loss α in the cavity (coupling, scattering loss, etc.)
- ❑ The critical pumping condition for g reaches $g = \alpha$ is called **laser threshold**.
- ❑ The critical population inversion condition is called **critical inversion**.

Once the critical inversion is achieved, oscillation will build up from spontaneous emission.



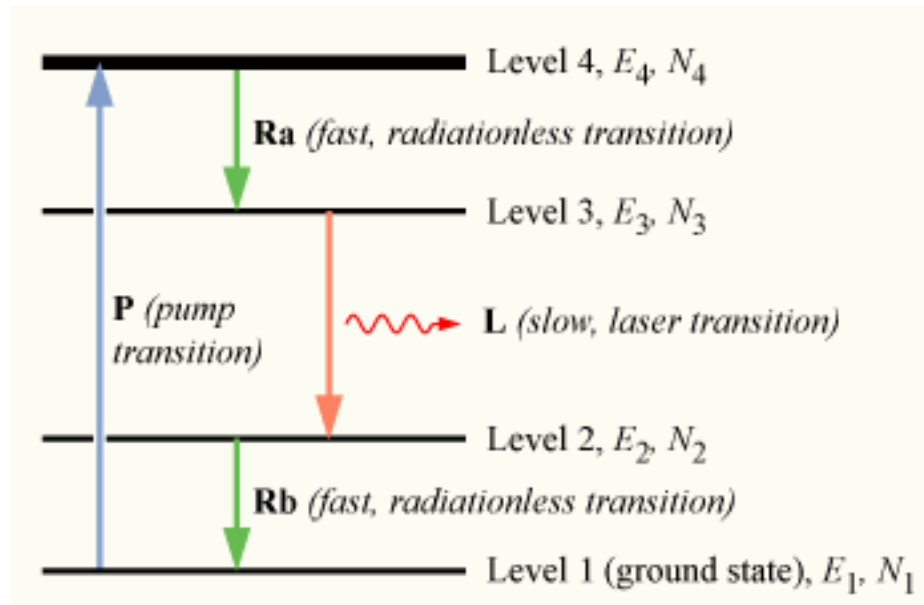
For three-level laser, population inversion is achieved at level 2 and level 1.



$$E_2 - E_1 \gg kT$$

- ❑ Because the energy difference between levels are $\gg kT$, thus most all carriers are initially (at equilibrium) in the ground level (level 1).
- ❑ Assume the total carrier number is N_t , the transparency is achieved when $N_2 = N_1 = N_t/2$, that is, to realize population inversion, at least half of the total carriers in the ground state must be pumped up.

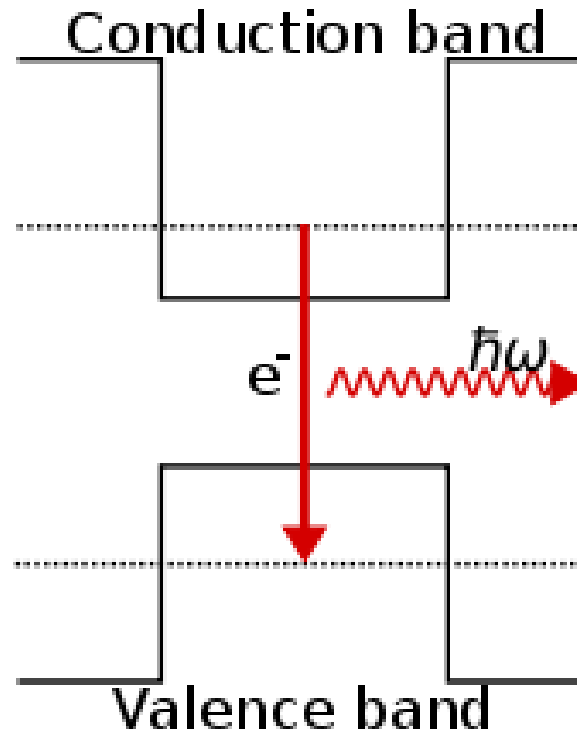
For four-level laser, population inversion is achieved at level 3 and level 2.

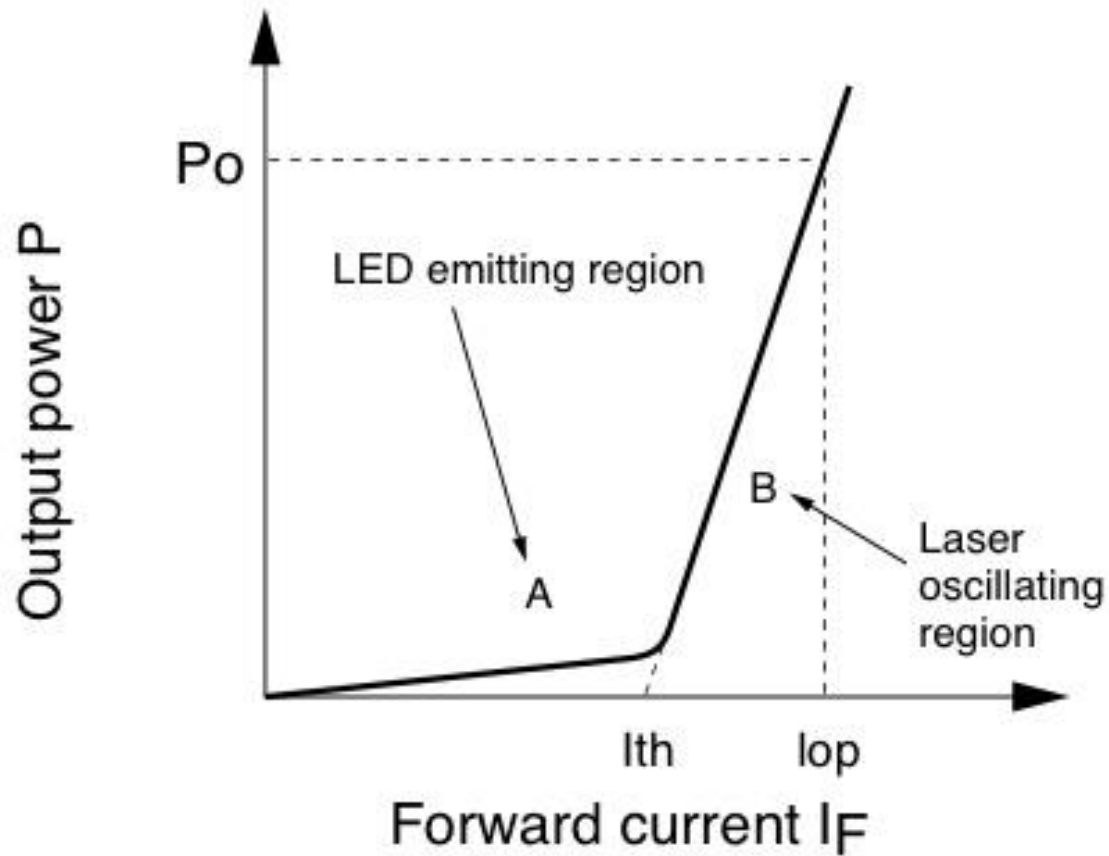


$$E_2 - E_1 \gg kT$$

- ❑ Since level 2 is almost empty, any atom raised to level 3 will contribute to the population inversion.
- ❑ Note that materials have many energy levels, “three” or “four” only refers to those related to the laser emission.

For semiconductor lasers, population inversion is achieved by higher occupation probability of electrons in the conduction band than in the valence band.

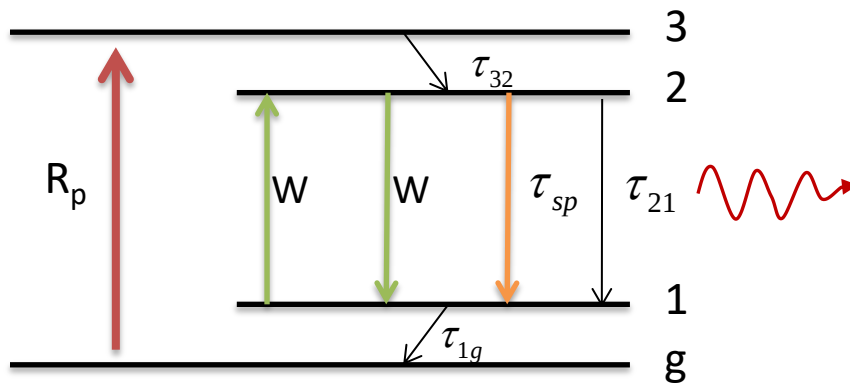




There is always a threshold in any lasers.



The carrier and the photon dynamics can be theoretically described by a set of coupled equations named **rate equations**.



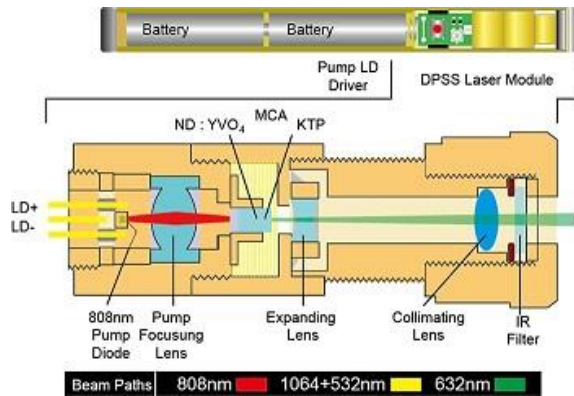
$$v_g g N_P = W (N_2 - N_1)$$

$$\begin{aligned} \frac{dN_3}{dt} &= R_p - \frac{N_3}{\tau_{32}} \\ \frac{dN_2}{dt} &= \frac{N_3}{\tau_{32}} - v_g g N_P - \frac{N_2}{\tau_{21}} - \frac{N_2}{\tau_{sp}} \\ \frac{dN_1}{dt} &= v_g g N_P + \frac{N_2}{\tau_{21}} + \frac{N_2}{\tau_{sp}} - \frac{N_1}{\tau_{1g}} \\ \frac{dN_g}{dt} &= \frac{N_1}{\tau_{1g}} - R_p \\ \frac{dN_P}{dt} &= v_g g N_P - \frac{N_P}{\tau_P} + \beta \frac{N_2}{\tau_{sp}} \end{aligned}$$

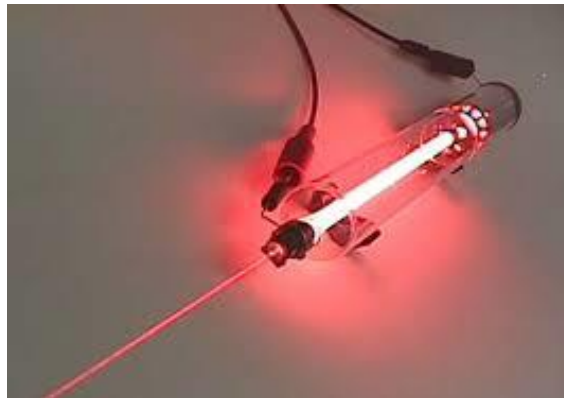


❑ **Pumping** is the process by which atoms are raised from level 1 to level 3 (in three-level laser) or from level 1 to level 4 (in four level laser).

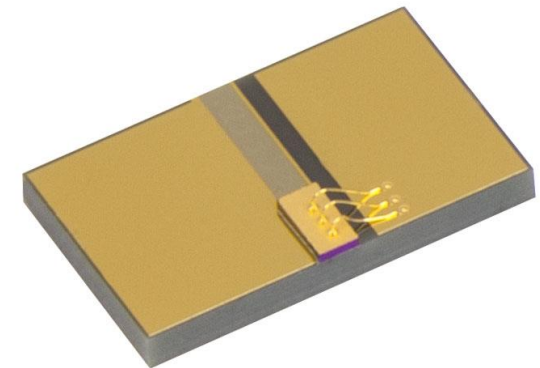
❑ Pumping can use high intensity lamp, diode lasers, or electrical discharge in the active medium.



Diode pumped solid-state laser



Gas laser

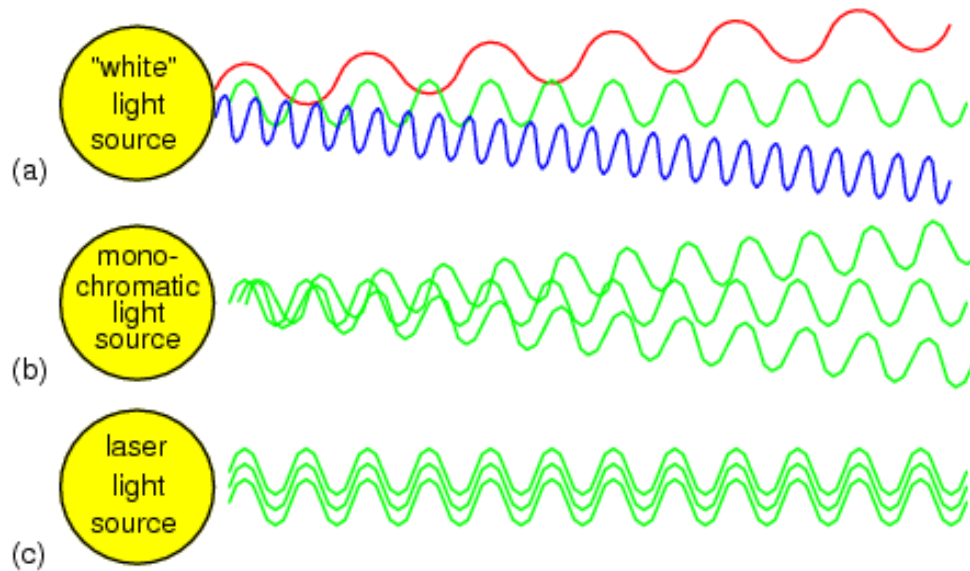


Semiconductor laser



- ❑ Laser concept
- ❑ Laser properties
- ❑ Laser history





☐ Directionality

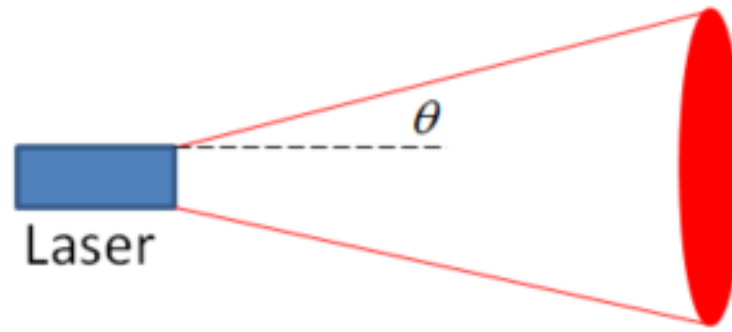
☐ Monochromaticity

☐ Coherence

☐ Brightness

☐ short time duration (maybe not)





❑ The directionality is described by the beam divergence, which is determined by the **diffraction limit**, assume the cavity mirror diameter is D ,

$$\theta_d \approx \frac{\lambda}{D}$$

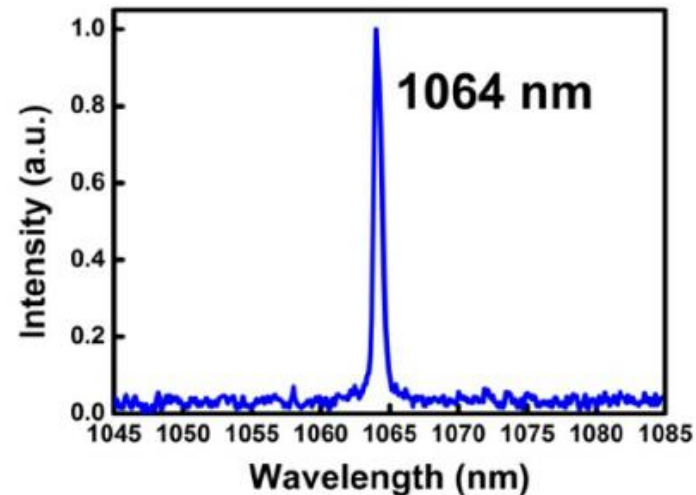
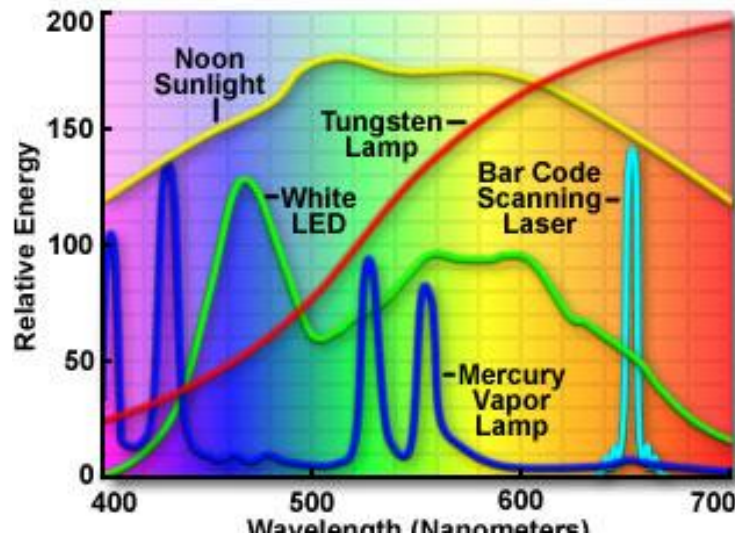
For a He-Ne laser at $0.63 \mu\text{m}$, and a mirror of $D=3 \text{ mm}$, $\theta_d=2\text{e-}4 \text{ rad}=0.02^\circ$

❑ The directionality is related to the cavity type, cavity length, laser medium etc.

❑ Gas laser is better than solid state laser, better than laser diode



Spectra From Common Sources of Visible Light



Nd:YAG laser

❑ The monochromaticity is described by the spectral linewidth.

❑ The spectral purity of lasers come from:

1. The laser medium only emits light of frequency around $h\nu_0 = E_2 - E_1$.

2. The laser cavity only allows light oscillation at the resonance frequencies

of this cavity.

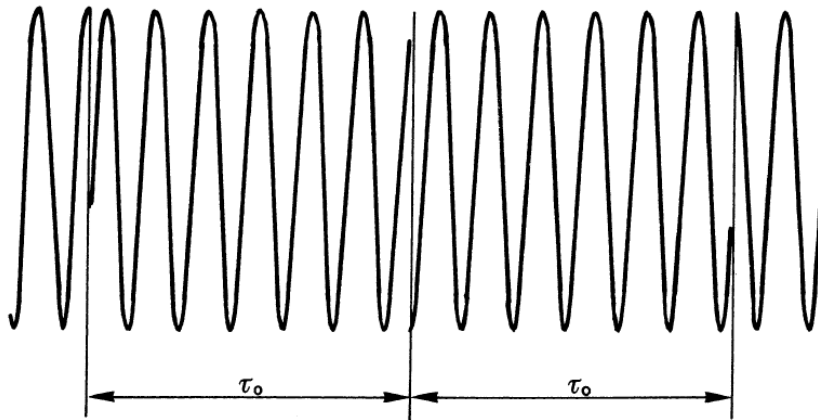


❑ Coherence means the phase correlation relation in the spatial or temporal dimension.

--- The **spatial coherence** is linked with the directionality

--- The **temporal coherence** is linked with the monochromaticity

❑ The coherence is degraded by the discontinuity of the phase



Coherence time vs. spectral linewidth

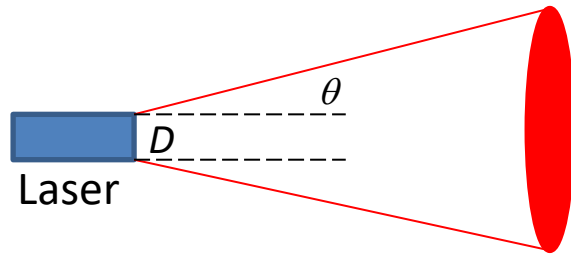
$$\Delta\nu \approx 1 / \tau_c$$

Coherence time vs. coherence length

$$L_c = c\tau_c$$



□ **Brightness:** The light power per unit surface area of the light source per unit solid angle.



When normal to the emission surface, the solid angle (unit is steradian 球面度) is

$$\Omega = \pi \sin^2 \theta$$

$$B \equiv \frac{P}{S\Omega} \quad \text{unit: W/(cm}^2\text{sr)}$$

□ The brightness at diffraction limit

$$\begin{aligned} &= \frac{P}{(\pi R^2)(\pi \sin^2 \theta)} \\ &\approx \frac{P}{(\pi \theta D / 2)^2} \end{aligned}$$

$$B_d \approx \left(\frac{2}{\pi \lambda} \right)^2 P$$



- ❑ Q-switch technique produces short pulses with duration of $\sim$ ns
- ❑ Mode locking technique produces ultrashort pulses with duration of fs to ps
- ❑ Not all the lasers can be used to produce short pulses



- ❑ Physical state: solid state, liquid, and gas lasers. (free electron lasers)
- ❑ Wavelength: infrared, visible, UV, and X-ray lasers. (1 mm---1 nm)
- ❑ Power: CW laser from nW to a few MW; Pulsed laser peak power up to PW (10^{15} W)
- ❑ Pulse duration: from ms down to fs (10^{-15} s)
- ❑ Cavity length: from nm up to km



- ❑ Laser concept
- ❑ Laser properties
- ❑ Laser history

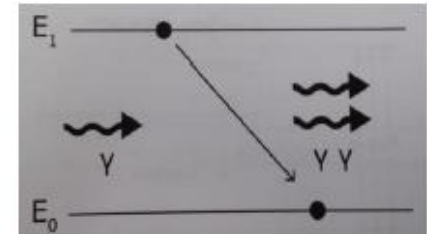
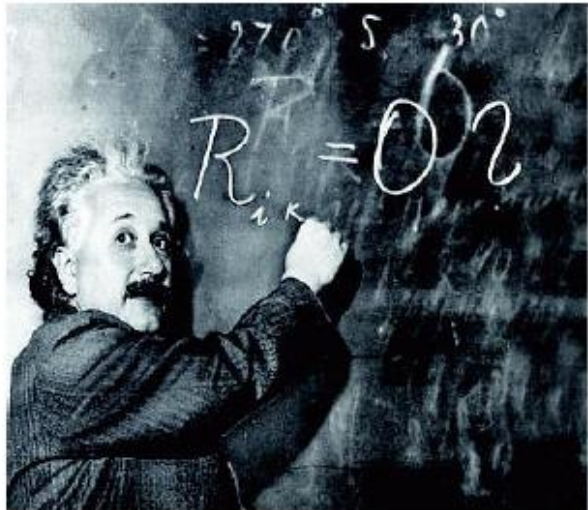


Discovery of stimulated emission in 1917

35

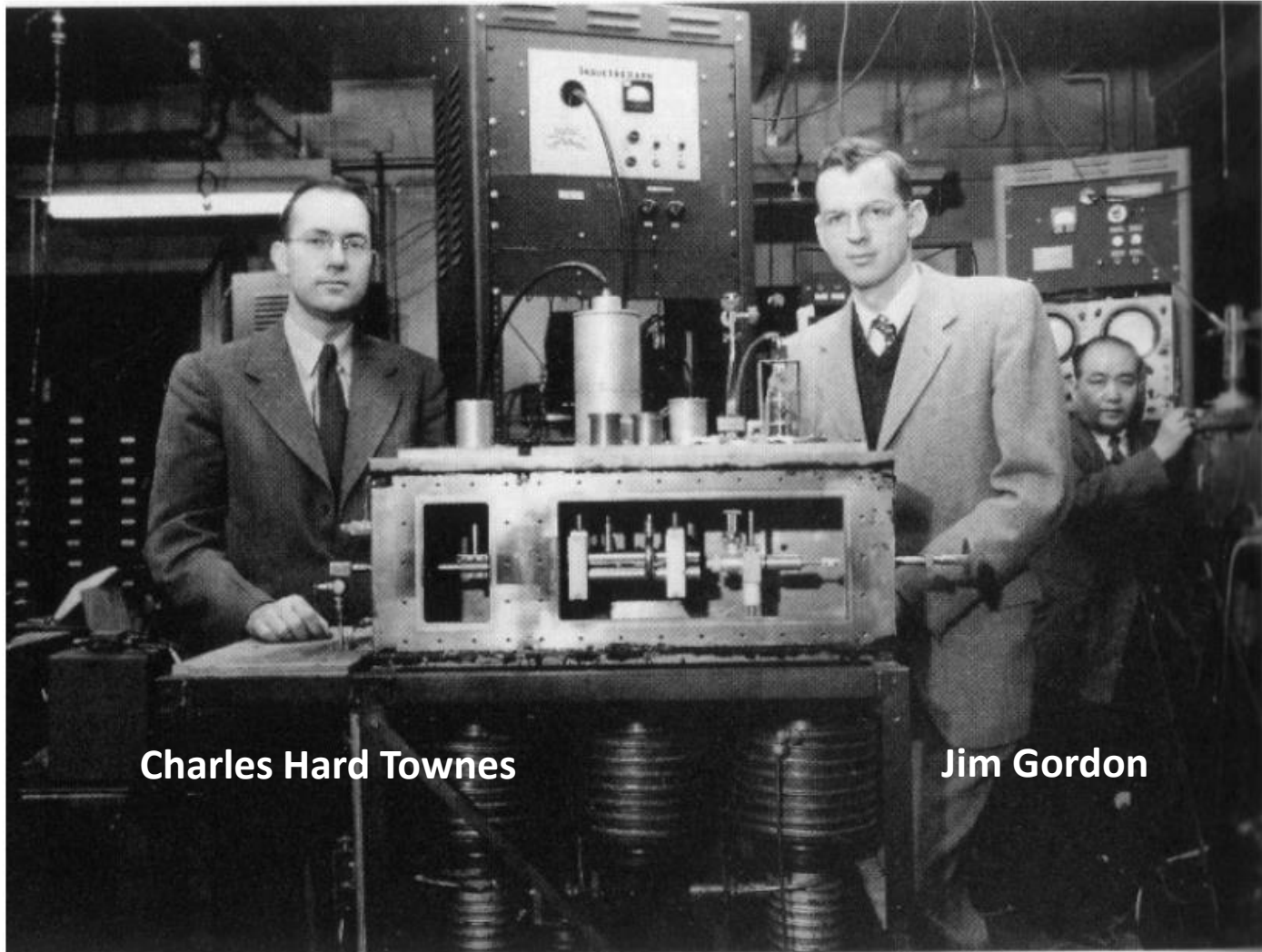
Albert Einstein

* 14.3.1879 (Ulm, Germany) † 18.4.1955, (Princeton, USA)



Maser in 1950s

36



Charles Hard Townes

Jim Gordon

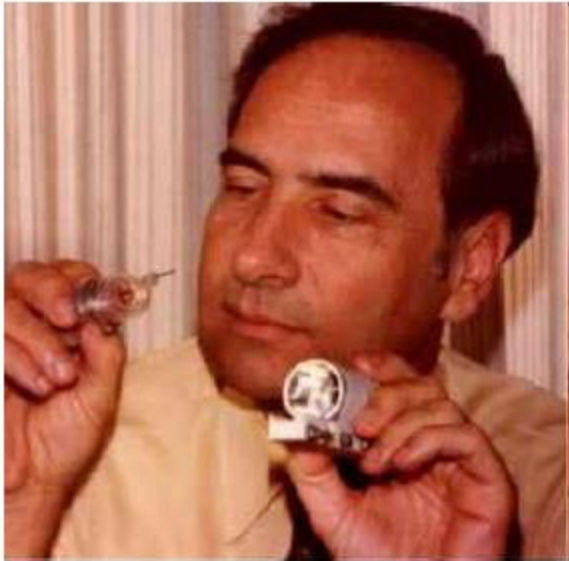
C. H. Townes, *How the Laser Happened*, (Oxford, 1999).



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First Laser in 1960 (Ruby)

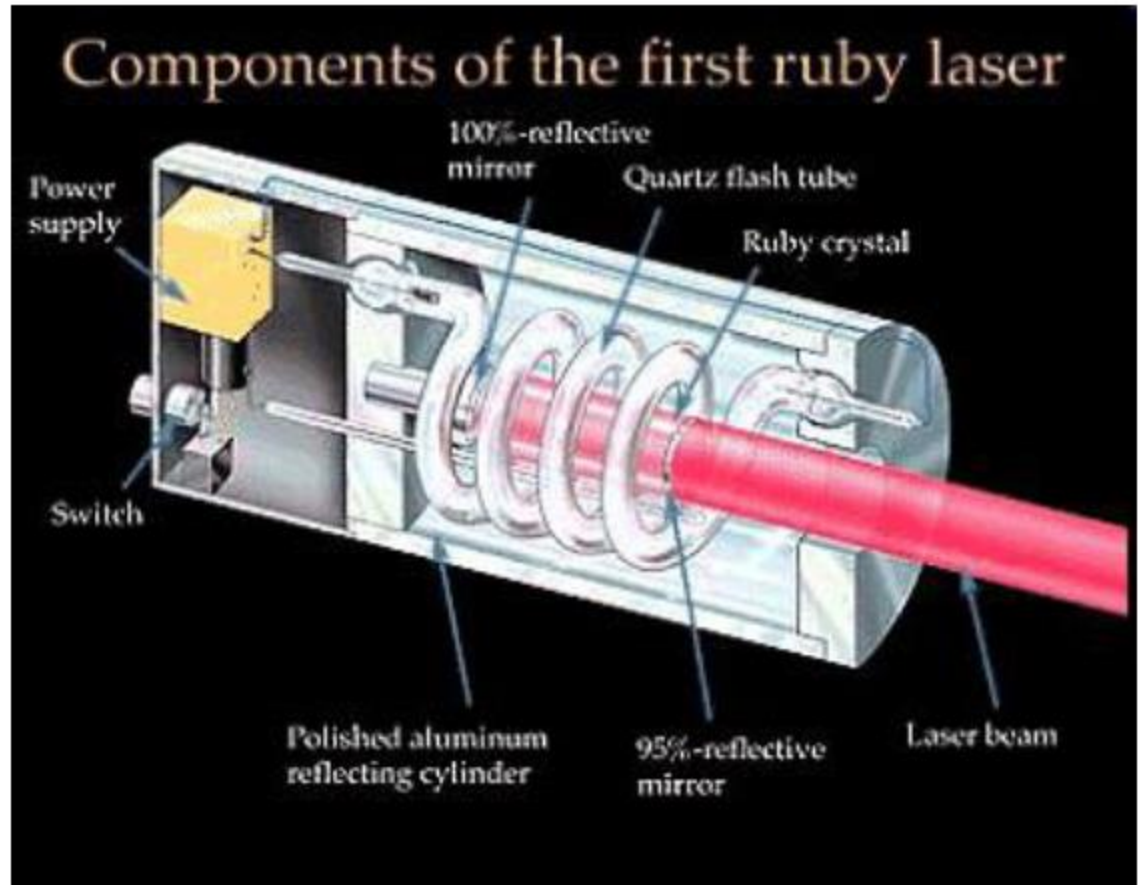
37



Theodore Harold Maiman

* 11.7.1927, Los Angeles, USA

† 5.5.2007, Vancouver, Canada



@694 nm



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Nobel prize in physics in 1964

38

„...for fundamental work in the field of quantum electronics, which has led to the construction of oscillators and amplifiers based on the maser-laser principle“



Charles Hard Townes

* 28.7.1915, Greenville, USA
† 27.1.2015, Oakland, USA

Theoretical work: MASER
principle -> LASER



Nikolay Gennadiyevich Basov

* 14.12.1922, Usman, Russia
† 1.7.2001, Moscow, Russia



Aleksandr Mikhailovich Prokhorov

* 11.7.1916, Atherton, Australia
† 8.1.2002, Moscow, Russia

Concept of optical pumping



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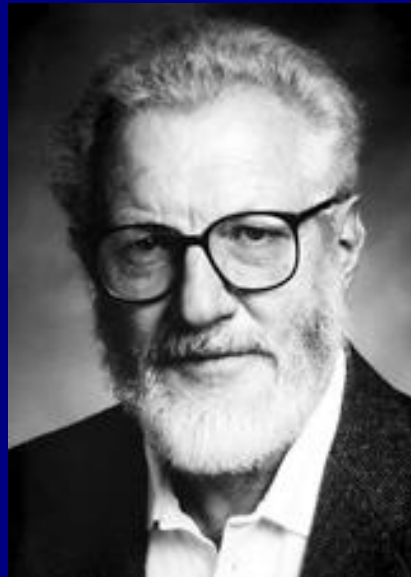
The Nobel Prize in Physics 2000

"for basic work on information and communication technology"

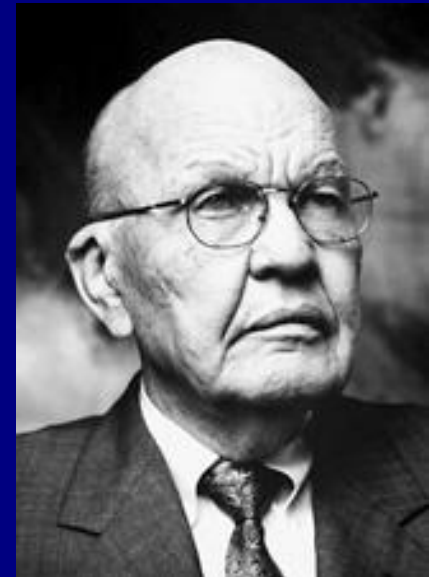
"for developing semiconductor heterostructures used in high-speed- and opto-electronics"



**Zhores I.
Alferov**
b. 1930



**Herbert
Kroemer**
b. 1928



**Jack S.
Kilby**
1923–2005

Laser-related Nobel prizes in Physics

40

1964		Nicolay Gennadiyevich Basov	Soviet Union
		Alexander Prokhorov	Soviet Union
		Charles Hard Townes	United States

□ "for fundamental work in the field of quantum electronics, which has led to the construction of oscillators and amplifiers based on the maser–laser principle"

1981		Nicolaas Bloembergen	Netherlands United States
		Arthur Leonard Schawlow	United States

□ "for their contribution to the development of laser spectroscopy"



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Laser-related Nobel prizes in Physics

41

1997		Steven Chu	United States
		Claude Cohen-Tannoudji	France
		William Daniel Phillips	United States

□ "for development of methods to cool and trap atoms with laser light"

2000		Zhores Ivanovich Alferov	Russia
		Herbert Kroemer	Germany

□ " For developing semiconductor heterostructures used in high-speed-and optoelectronics"



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Laser-related Nobel prizes in Physics

42

2005		Roy J. Glauber	United States
		John L. Hall	United States
		Theodor W. Hänsch	Germany

□ "for their contributions to the development of laser-based precision spectroscopy, including the optical frequency comb technique"

2009		Charles K. Kao	Hong Kong United Kingdom United States
		Willard S. Boyle	Canada United States

□ "for groundbreaking achievements concerning the transmission of light in fibers for optical communication"



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Laser-related Nobel prizes in Physics

43

2014		Isamu Akasaki	 Japan
		Hiroshi Amano	 Japan
		Shuji Nakamura	 Japan  United States

□ "for the invention of efficient blue light-emitting diodes which has enabled bright and energy-saving white light sources"

2017		Rainer Weiss	 Germany  United States
		Kip Thorne	 United States
		Barry Barish	 United States

□ "for decisive contributions to the LIGO detector and the observation of gravitational waves"

LIGO: Laser Interferometer Gravitational-Wave Observatory



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United Nations
Educational, Scientific and
Cultural Organization



International
Year of Light
2015

In proclaiming an International Year focusing on the topic of light science and its applications, the UN has recognized the importance of raising global awareness about how light-based technologies promote sustainable development and provide solutions to global challenges in energy, education, agriculture and health. Light plays a vital role in our daily lives and is an imperative cross-cutting discipline of science in the 21st century. It has revolutionized medicine, opened up international communication via the Internet, and continues to be central to linking cultural, economic and political aspects of the global society.



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- ☐ State-of-the-art of Metamaterials
- ☐ State-of-the-art of LIGO
- ☐ State-of-the-art of Free Electron Lasers

Requirement:

1. Select any one of the three topics
2. In English, in PDF file
3. Template of Journal of Quantum Electronics (**Exact format**)
4. No less than 2 pages
5. Reference no less than 10
6. **Do not plagiarize**
7. Deadline: For SIST, 12/06; For others, 17/06;
8. Email to: 王星光 <wangxg@shanghaitech.edu.cn>





Making the World a Better
Place through Light!